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# Instantons in Broken Gauge Theories

Turn on scalar VEV  $\langle \phi \rangle \neq 0$   
instantons are no longer solutions  
of classical eq. of motion

approximate solutions

$$D^\mu F_{\mu\nu} = 0 \quad \leftarrow \text{neglect current} \quad A_\mu \rightarrow i U(x) \partial_\mu U(x)^\dagger \text{ as } |x| \rightarrow \infty$$

$$D^\mu D_\mu \phi^j + \frac{\partial V}{\partial \phi^{*j}}(\phi) = 0 \quad \phi^j \rightarrow U(x) \langle \phi^j \rangle \text{ as } |x| \rightarrow \infty$$

for small instantons:  $\rho \ll \frac{1}{gV}$

give Euclidean action

$$S_{\text{int}} = \frac{8\pi^2}{g^2} \quad S_\phi = 8\pi^2 \rho^2 V^2$$

equiv. to minimizing  $S_\phi$  with fixed  $\phi$  b.c.

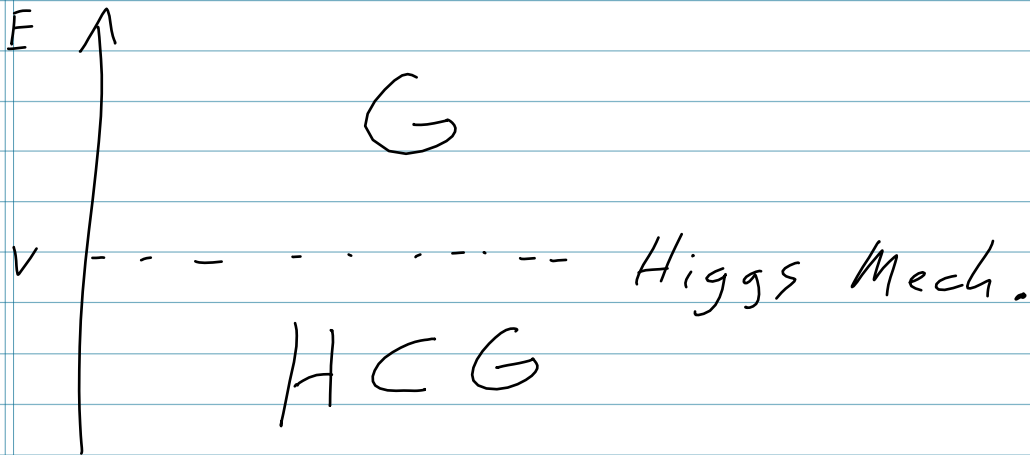
$$\int d^4x_0 \int \frac{d\rho}{\rho^5} e^{-S_{\text{int}} - S_\phi} = \int d^4x_0 \int \frac{d\rho}{\rho^5} (\rho \Lambda)^b e^{-8\pi^2 \rho^2 V^2}$$

dominated  $\rho^2 \sim \frac{b}{16\pi^2 V^2}$

self consistent for  $\frac{b g^2 (\frac{1}{\rho})}{16\pi^2} < 1$

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# Instantons and Effective Theories



if inst.  $G/H$  can be gauge rotated into  $SU(2) \subset H$  then all  $G$  instantons can be accounted for in the effective theory by  $H$  instantons

if not we must add new interactions in the effective theory to match the match physics properly

eg:

$$\begin{aligned}
 SU(N) &\rightarrow U(1) \\
 SU(N) &\rightarrow \text{breaks completely} \\
 SU(N) \times SU(N) &\rightarrow SU(N)_D \\
 SU(N) &\rightarrow SO(N)
 \end{aligned}$$

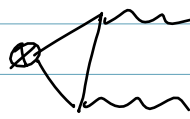
non-trivial  $\pi_3(G/H)$

rule-of-thumb: if there are different # of zero modes for  $G$  and  $H$  instantons new terms are needed

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# Gauge Condensation SUSY Yang-Mills

$SU(N)$   $U(1)_R$  is anomalous



$$\sim (1) - T(\text{Ad}) = N$$

$$\lambda^a \rightarrow e^{i\alpha} \lambda^a$$

$$\Theta_{\text{YM}} \rightarrow \Theta_{\text{YM}} - \underbrace{2N\alpha}$$

# zero modes of  $\lambda^a$   
in one instanton solution

only a symmetry for  $2N\alpha = k 2\pi$

$$\alpha = \frac{k\pi}{N}$$

explicitly broken to

$\mathbb{Z}_{2N}$  subgroup  $U(1)_R$

spurious R symmetry

$$\lambda \rightarrow e^{i\alpha} \lambda$$

$$\tau \rightarrow \tau \rightarrow \frac{N\alpha}{\pi}$$

expect that SUSY YM has no massless particles, just color singlets & composites

$AA, \lambda\lambda, A\lambda, \dots$

integrating out gives  $W_{\text{eff}}(\tau)$

$$\left. \begin{array}{l} \text{R-charge } \mathbb{Z} \\ e^{2\pi i \tau} \rightarrow e^{i2N\alpha} e^{2\pi i \tau} \end{array} \right\} \Rightarrow W_{\text{eff}} = g\mu^3 e^{\frac{2\pi i \tau}{N}}$$

$$W_{\text{eff}} \rightarrow e^{2i\alpha} W_{\text{eff}}$$

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$$\begin{aligned}\langle \lambda \lambda \rangle &= 16\pi i \frac{\partial}{\partial F_c} \ln Z = 16\pi i \frac{\partial}{\partial F_c} \int d^2\theta W_{\text{eff}}(\tau, u) \\ &= 16\pi i \frac{\partial}{\partial \tau} W_{\text{eff}} = 16\pi i 2\pi i \frac{a m^3}{N} e^{\frac{2\pi i \tau}{N}}\end{aligned}$$

dropping non-pert coord, i.e.  $\beta$

$$\langle \lambda \lambda \rangle = -\frac{32\pi^2}{N} a \Lambda^3$$

under  $Z_{2N}$   $\langle \lambda \lambda \rangle \rightarrow e^{2i\alpha} \langle \lambda \lambda \rangle$

invariant of  $\alpha = 0, \pi$ ;  $k = 0, N$   
broken  $Z_2 \Rightarrow N$  degenerate vacua

$$\Theta_{\text{YM}} \rightarrow \Theta_{\text{YM}} + 2\pi \quad \text{or} \quad \tau \rightarrow \tau + 1$$

gives  $N$  different values for  $\langle \lambda \lambda \rangle$

still need to justify assumption  
of no massless states

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## $\beta$ function Mysteries

① the SUSY gauge coupling only runs at one loop  $\beta = \frac{-g^3(3N-F)}{16\pi^2} = \frac{-g^3(3T(A_d) - \sum_i T(r_i))}{16\pi^2}$

② Novikov-Shifman-Vainshtein-Zakharov derived the "exact"  $\beta$ -function

$$\beta(g) = \frac{-g^3}{16\pi^2} \left( \frac{3T(A_d) - \sum_j T(r_j)(1-\gamma_j)}{1 - T(A_d) \frac{g^2}{8\pi^2}} \right)$$

$\gamma_j$  is the anomalous dimension of the matter field mass term

verified up to two loops

③ the one and two loop terms in the  $\beta$  function are scheme independent

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$$g' = g + ag^3 + \mathcal{O}(g^5)$$

$$\beta(g) = b_1 g^3 + b_2 g^5 + \mathcal{O}(g^7)$$

$$\beta(g') = \frac{d\beta}{d\ln\mu} = \frac{\partial \beta}{\partial g} \frac{\partial g}{\partial \ln\mu} = \beta(g) \frac{\partial \beta}{\partial g}$$

$$= \beta(g) \frac{\partial}{\partial g} (g + ag^3 + \mathcal{O}(g^5))$$

$$= \beta(g) (1 + 3ag^2 + \mathcal{O}(g^4))$$

$$= \left( b_1 (g' - ag'^3 + \mathcal{O}(g'^5))^3 \right) \left( 1 + 3ag'^2 + \mathcal{O}(g'^4) \right) \\ \left( + b_2 g'^5 + \mathcal{O}(g'^7) \right)$$

$$= \left( b_1 (g'^3 - 3g'^2 ag'^3 + \mathcal{O}(g'^5)) \right) \left( 1 + 3ag'^2 + \mathcal{O}(g'^4) \right) \\ \left( + b_2 g'^5 + \mathcal{O}(g'^7) \right)$$

$$= b_1 g'^3 + b_2 g'^5 + \mathcal{O}(g'^7)$$

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$$\frac{1}{g_h^2} = \frac{1}{g^2} - \frac{i \Theta_{ym}}{8\pi^2} = \frac{\tau}{4\pi i}$$
$$= \frac{b}{8\pi^2} \ln\left(\frac{\mu}{\Lambda}\right) + \text{inst.}$$

$$\frac{1}{g_h^2(\mu')} = \frac{1}{g_h^2(\mu)} + \frac{b}{8\pi^2} \ln\left(\frac{\mu'}{\mu}\right)$$

$$\mathcal{L}_h = \frac{1}{4} \int d^2\theta \frac{1}{g_h^2} W^a(V_h) W^a(V_h) + \text{h.c.}$$

$$\mathcal{L}_c = \frac{1}{4} \int d^2\theta \left( \frac{1}{g_c^2} - \frac{i \Theta_{ym}}{8\pi^2} \right) W^a(g_c V_c) W^a(g_c V_c)$$

change variables in P.I.  $V_h = g_c V_c$

$$\mathcal{L}(g_c V_c) \neq \mathcal{L}(V_c)$$

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$$\lambda \rightarrow e^{\ln g_c \lambda} = e^{i\alpha} \lambda \quad \alpha = \frac{1}{i} \ln g_c = -i \ln g_c$$

$$d^g(g_c V_c) = dV_c \exp \left( -i \int d^4x \int d^2\theta \frac{2T(Ad)}{8\pi^2} \ln(g_c) W^g(g_c V_c) W^g(g_c V_c) + h.c. \right)$$

$$Z = \int dV_h \exp \left( \frac{i}{4} \int d^4y \int d^2\theta \frac{1}{g_h^2} W^g(V_h) W^g(V_h) + h.c. \right)$$

$$= \int d^g(g_c V_c) \exp \left( \frac{i}{4} \int d^4y \int d^2\theta \frac{1}{g_h^2} W^g(g_c V_c) W^g(g_c V_c) + h.c. \right)$$

$$= \int dV_c \exp \left( \frac{i}{4} \int d^4y \int d^2\theta \left( \frac{1}{g_h^2} - \frac{2T(Ad)}{8\pi^2} \ln g_c \right) W^g(g_c V_c) W^g(g_c V_c) + h.c. \right)$$

$$\frac{1}{g_c^2} = \text{Re} \left( \frac{1}{g_h^2} \right) - \frac{2T(Ad)}{8\pi^2} \ln g_c$$

$$\frac{1}{g_c^2(u')} + \frac{2T(Ad)}{8\pi^2} \ln g_c(u') = \frac{1}{g_c^2(u)} + \frac{2T(Ad)}{8\pi^2} \ln g_c(u) + \frac{b}{8\pi^2} \ln \frac{u}{u'}$$

$$u' \frac{d}{du'} \Rightarrow \frac{-2}{g_c^3(u')} \beta(g_c) + \frac{2T(Ad)}{8\pi^2} \frac{1}{g_c(u')} \beta(g_c) = \frac{b}{8\pi^2}$$

$$\beta(g_c) \left( 1 - \frac{T(Ad)}{8\pi^2} g_c^2 \right) = -\frac{b g_c^3}{16\pi^2}$$

$$\beta(g_c) = \frac{-b g_c^3}{1 - \frac{T(Ad)}{8\pi^2} g_c^2} = \frac{-3T(Ad) g_c^3}{1 - \frac{T(Ad)}{8\pi^2} g_c^2}$$