

Effective Field Theories  
From  
String Compactification

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Question:

What is String Theory Good For?

# Potential Answer(s):

- As a model of quantum gravity
- Framework for high energy physics
- Tool for strongly coupled systems
- Tool for revealing maths

# Focus Of This Talk:

- As a model of quantum gravity

● Framework for high energy physics

● Tool for strongly coupled systems

● Tool for revealing maths

# What This Talk Is About

Geometry of Extra Dimensions



“compactification”

Effective Field Theories

# Goals

Short-Term: Classical Geometry  $\rightarrow$  EFT

- What are the rules of the game?
- Spectrum of physical states?
- Physical / Mathematical structures?

# Goals

Short-Term: Classical Geometry  $\rightarrow$  EFT

Longer Term: Quantum Geometry  $\rightarrow$  ???

- Quantum Gravity + QFT?
- Emergent Spacetime?
- ...?

# What This Talk Is About

Geometry of Extra Dimensions

“compactification”

Effective Field Theories



# What's an EFT?

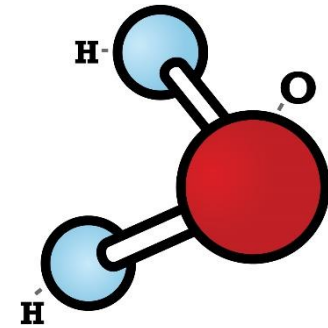
Effective (Quantum) Field Theory

Physics at Energies  $\ll \Lambda_{max}$  (the cutoff)

- Remain agnostic about  $E \sim \Lambda_{max}$
- Describes many systems!

# Everyday Example: Water

Short Distance Description:

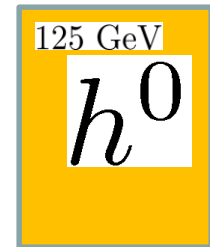


Long Distance Description:



# The Standard Model

	I	II	III	
mass→	3 MeV	1.24 GeV	172.5 GeV	0
charge→	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0
spin→	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
name→	<b>u</b> up	<b>c</b> charm	<b>t</b> top	<b><math>\gamma</math></b> photon
	6 MeV	95 MeV	4.2 GeV	0
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
Quarks	<b>d</b> down	<b>s</b> strange	<b>b</b> bottom	<b>g</b> gluon
	<2 eV	<0.19 MeV	<18.2 MeV	90.2 GeV
	0	0	0	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	<b><math>\nu_e</math></b> electron neutrino	<b><math>\nu_\mu</math></b> muon neutrino	<b><math>\nu_\tau</math></b> tau neutrino	<b>Z</b> weak force
	0.511 MeV	106 MeV	1.78 GeV	80.4 GeV
	-1	-1	-1	$\pm 1$
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
Leptons	<b>e</b> electron	<b><math>\mu</math></b> muon	<b><math>\tau</math></b> tau	<b><math>W^\pm</math></b> weak force



Higgs Boson

Bosons (Forces)

# The Standard Model

- Works great at energies  $\ll 10^{19}$  GeV (Planck Scale)
- Old Idea: Near Planck Scale, complete via strings
- Still Active Area: Standard Model  $\subset$  String Theory

# Other Examples of EFTs

Conformal Field Theories (no scales, just angles)

- Phase Transitions
- AdS/CFT (quantum gravity)
- Conformal Geometry

# What This Talk Is About

Geometry of Extra Dimensions

“compactification”

Effective Field Theories

# Strings?

Even after 40 years: A Work in Progress

One Use: To Study Quantum Gravity



# Strings?

Even after 40 years: A Work in Progress

New Use: To Study Novel EFTs

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AdS/CFT: (QFT via classical gravity)

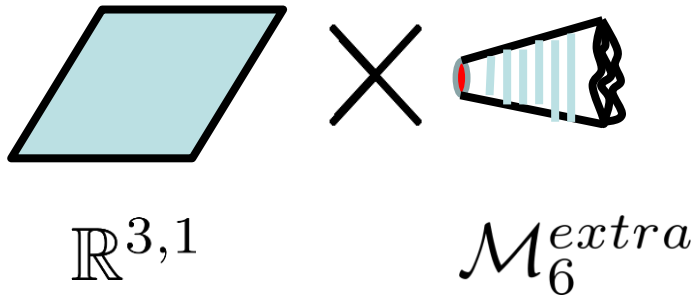
String Compactification: (Geometrize EFT)



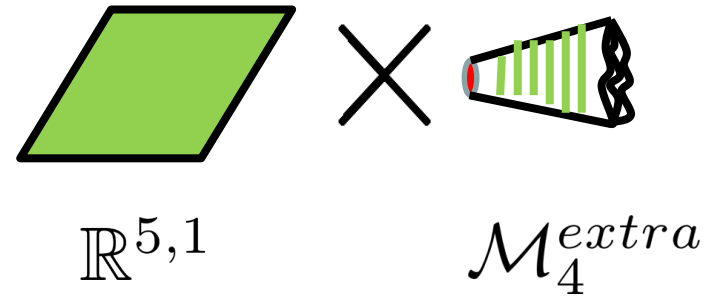
# Ingredients: Extra Dim<sup>n</sup>s

Self-Consistency  $\Rightarrow$  10 Spacetime Dimensions  
(some may be small)

4D Effective Theory



6D Effective Theory





# What This Talk Is About

Geometry of Extra Dimensions

“compactification”

Effective Field Theories

# Illustrative Example

Geometry of Extra Dimensions

string  
compactification

6D Superconformal  
Field Theories

# So What's a 6D CFT?

A physical theory in  $5 + 1$  Dimensions

(basically no distances, only angles)

Spacetime Symmetries:  $SO(6, 2)$

Lorentz (i.e.  $SO(5, 1)$ ) + a few more:

Includes Translations and Scaling + ...

# So What's a 6D SCFT?

A 6D CFT + supersymmetry:

$$Q^2 = 0$$

$$QQ' + Q'Q = 6\text{D Translation}$$

Two Possibilities:

- 8 Independent  $Q$ 's: The “(1,0) Theories”
- 16 Independent  $Q$ 's: The “(2,0) Theories”

# Why Are They Interesting?

## Intrinsic Reasons:

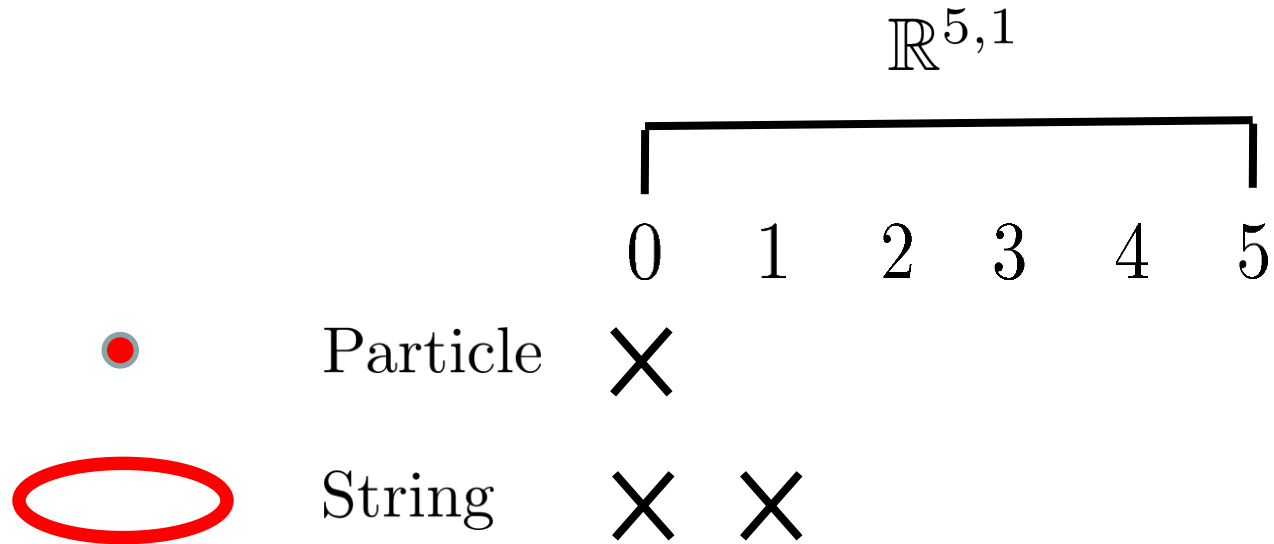
Nahm: SCFTs only for  $D \leq 6$

No examples until mid 1990's!  
(required input from string theory)

Constituents involve *strings* (not just particles)

Note: These are *effective* strings

# Why They Are Interesting



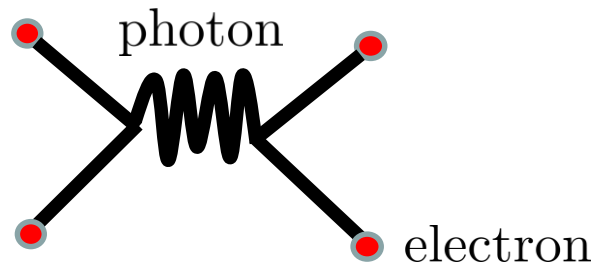
Particles have mass, Strings have tension (mass / length)

SCFT  $\Rightarrow$  Tensionless Strings

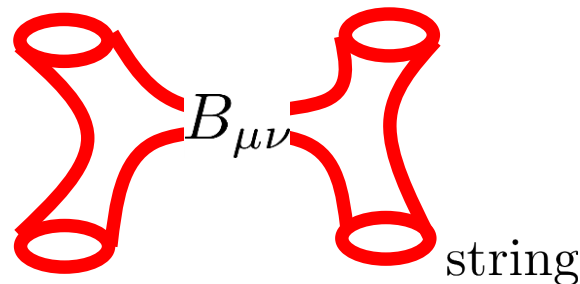


# Conceptually Challenging!

Force carriers for particles: vector bosons  $A_\mu$



Force carriers for strings: tensor bosons  $B_{\mu\nu}$



# Conceptually Important

Major Issue: *!!! Define Quantum Field Theory???*

More Examples  $\Rightarrow$  More Clues to the Definition

# Why They Are Interesting

“Practical” Reasons:

6D (2, 0) Theories on  $\mathbb{R}^{3,1} \times \Sigma_{2D}$  “solve”:

4D  $\mathcal{N} = 2$  Theories with Electrons and Monopoles

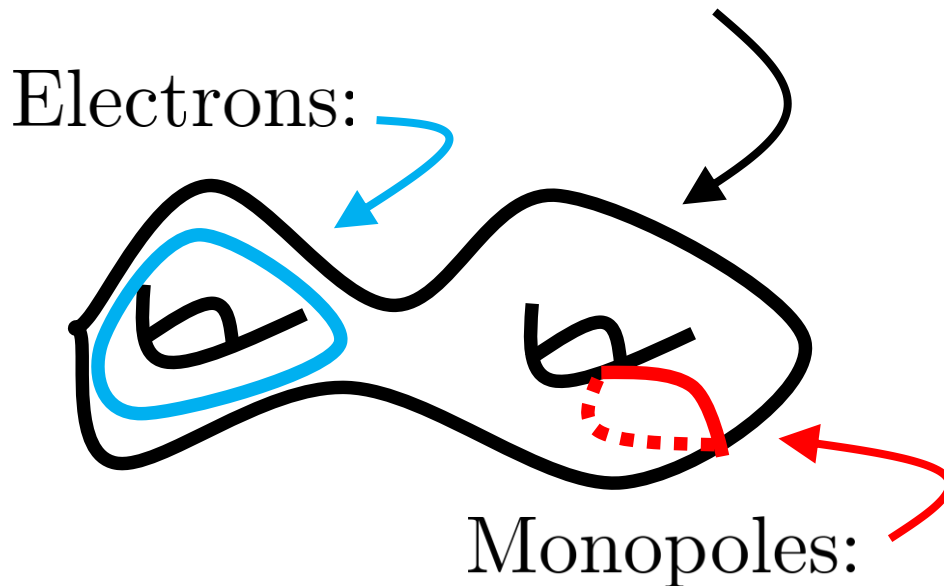
(c.f. Seiberg and Witten '94 + Witten '97 + Gaiotto '09 + ...)

i.e.  $\vec{\nabla} \cdot \vec{E} = \rho_{electric}$  and  $\vec{\nabla} \cdot \vec{B} = \rho_{magnetic}$

# Why They Are Interesting

“Practical” Reasons:

$(2, 0)$  Theory on  $\mathbb{R}^{3,1} \times \Sigma_{2D}$  “solves” 4D Theory



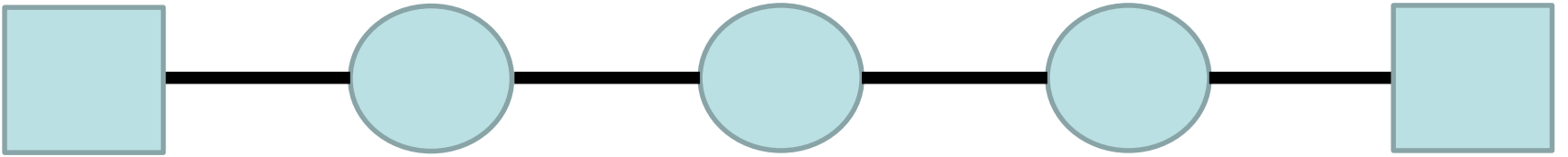
# In this Talk

Use String Theory to

Explain what all 6D SCFTs “look like”

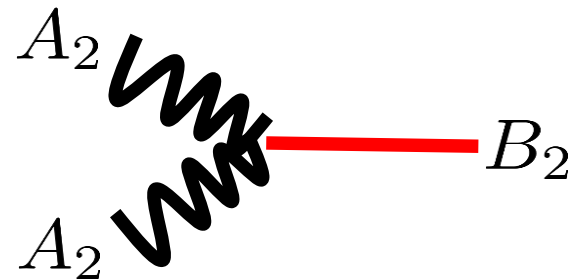
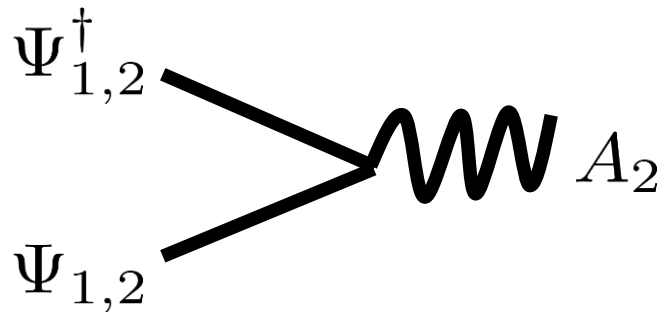
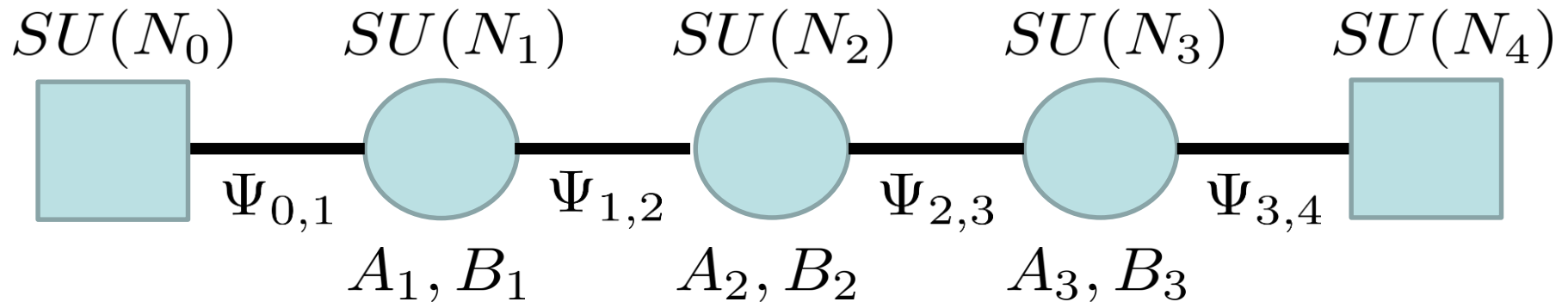
Bottom Up Perspective

Draw a Picture!



# What the Picture Says

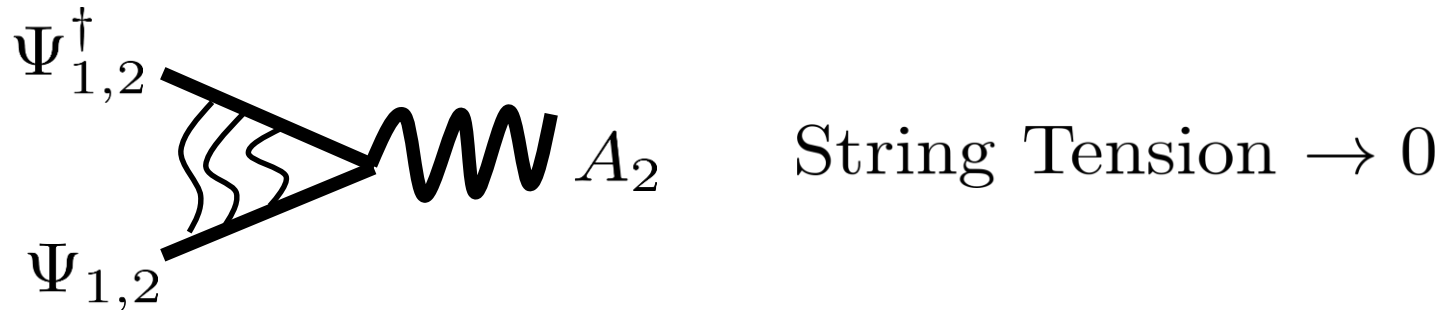
Quantum Consistency  $\Rightarrow N_i = N$  for all  $i$





# SCFT Limit?

Strong Interactions  $\rightarrow$  Scale Invariance



Note: Now it's hard to compute anything!

⋮ So how do we know there's an SCFT at all???

# Stringy Description

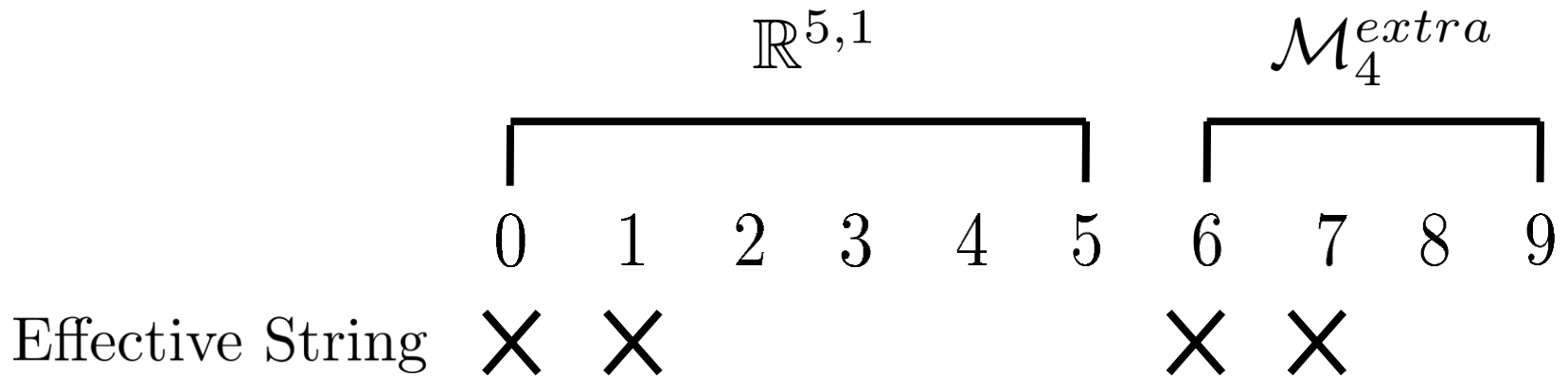
# Need: Tensionless Strings

Can we use Superstrings?

No, Superstring Tension  $\neq 0$

# Effective Strings

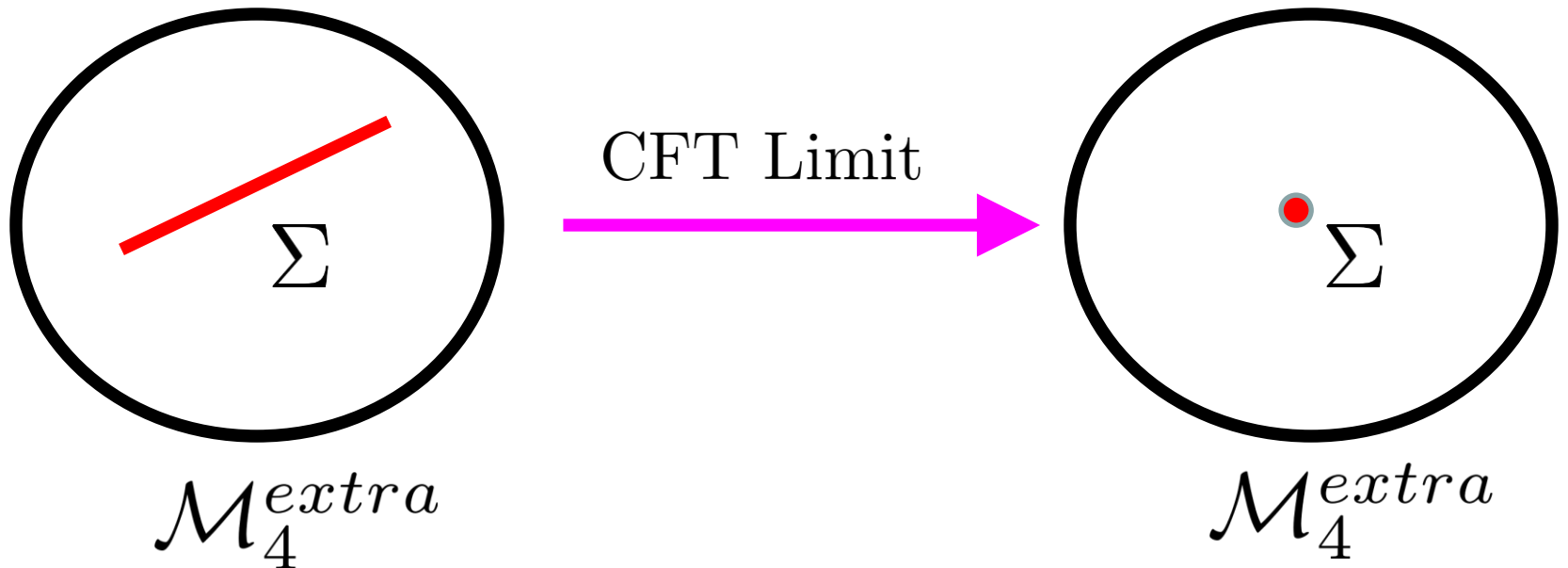
But, we can use 3-branes:



$$\boxed{\text{Tension} = \text{Vol}(\Sigma_{6,7}) \rightarrow 0}$$

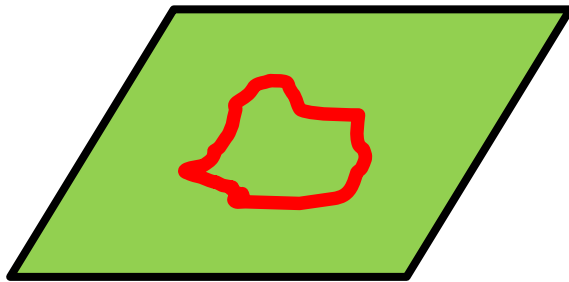
# Name of the Game

Find  $\Sigma$ 's and shrink to zero size



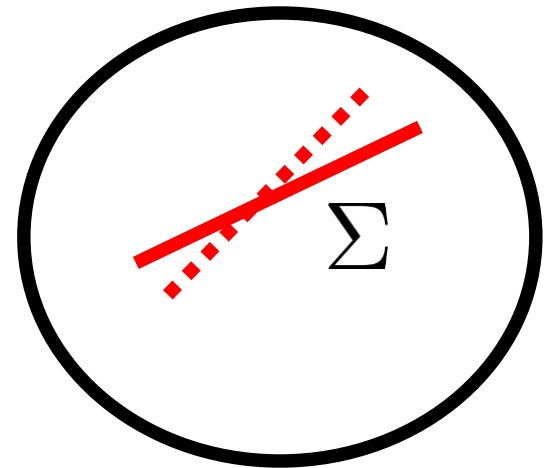
# Name of the Game

Physics:  $-\Sigma \cap \Sigma = \text{String Charge}$   
(which must be integer  $> 0$ )



$\mathbb{R}^{5,1}$

$\times$

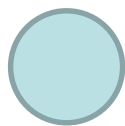


$\mathcal{M}_4^{extra}$

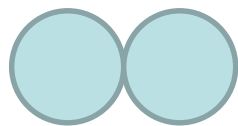
# Special Case: $(2, 0)$ Theories

These have 16  $Q$ 's, and  $\Sigma_i \cap \Sigma_i = -2$

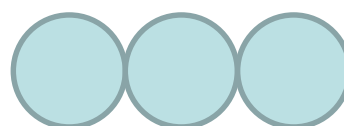
A priori, more than one  $\Sigma$ , e.g.



$\Sigma_1$



$\Sigma_1 \Sigma_2$



$\Sigma_1 \Sigma_2 \Sigma_3$

# Special Case: $(2, 0)$ Theories

Introduce  $A_{ij} = -\Sigma_i \cap \Sigma_j$

CFT Conditions:  $A$  is positive definite

$$\Sigma_i \cap \Sigma_j = 0 \text{ or } 1 \text{ if } i \neq j$$

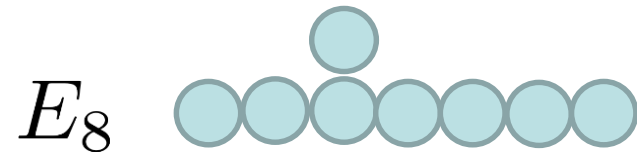
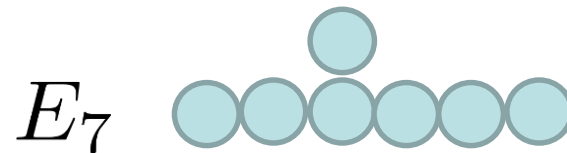
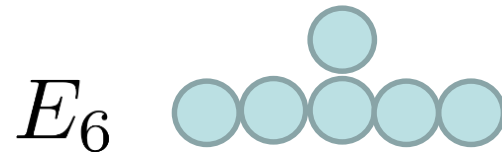
Already Classified!



# $(2, 0)$ ADE Classification

We Can Borrow a Famous Result

Coxeter '34, Witt '41, Dynkin '46, '47; Witten '95



# $(1, 0)$ Theories?

These theories have 8  $Q$ 's (the minimum)

What are the possible  $\mathcal{M}_4^{extra}$ 's?

What kinds of string charges?

# Studied since the 1990's

Many groups:

Witten '95; Strominger '95; Ganor and Hanany '96;

Seiberg and Witten '96; Bershadsky and Johansen '96;

Brunner and Karch '96; Blum and Intriligator '97;

Intriligator '97; Hanany Zaffaroni '97;

+ .....

But: Even now, still viewed as “mysterious” ...

# How to Build More 6D SCFTs (Use F-theory)

JJH et al. '13 - '15

# A Hidden Assumption

So far, we have assumed  $\mathcal{M}_4^{extra}$  Ricci-Flat

Einstein Field Equations in 10D vacuum:

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 0$$

This limits us to  $\Sigma \cap \Sigma = -2$

“Non-Compact Calabi-Yau two-folds”

# More Generally

We can allow  $\mathcal{M}_4^{extra}$  *not* Ricci-Flat

Einstein Field Equations with sources:

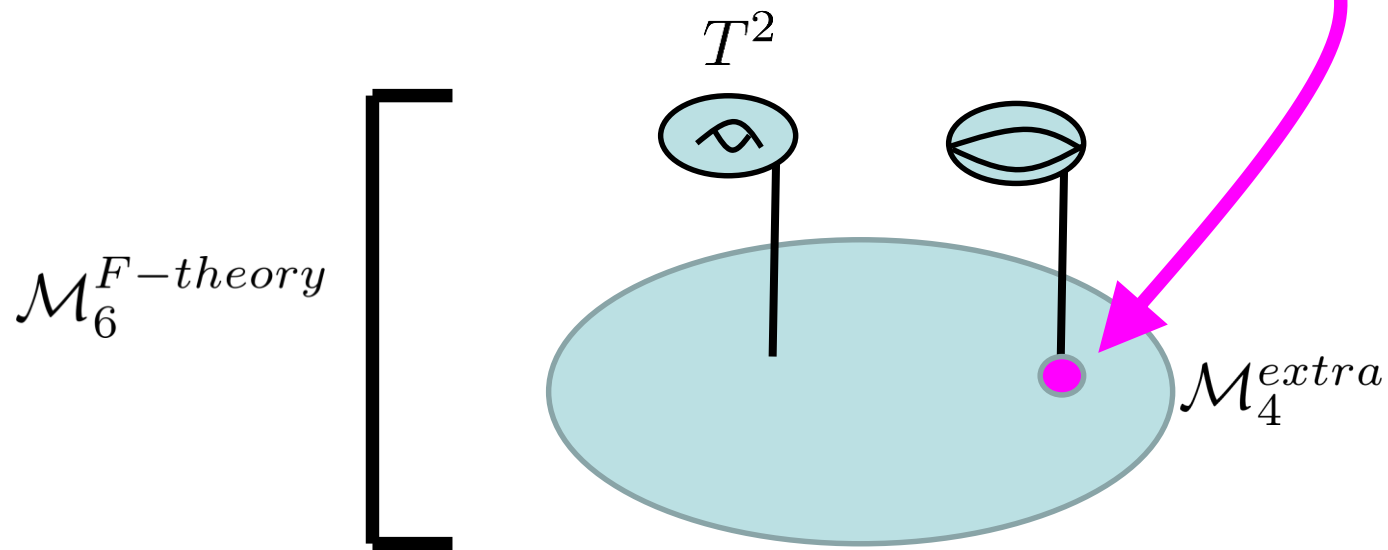
$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = \text{Sources}$$

This allows us to consider  $\Sigma \cap \Sigma \neq -2$

# F-theory Compactification

Vafa '96

Existence: F-theory Geometrizes “Sources”



$\mathcal{M}_6^{F-theory}$  is Ricci-Flat (a Calabi-Yau three-fold)

# F-theory is Useful!

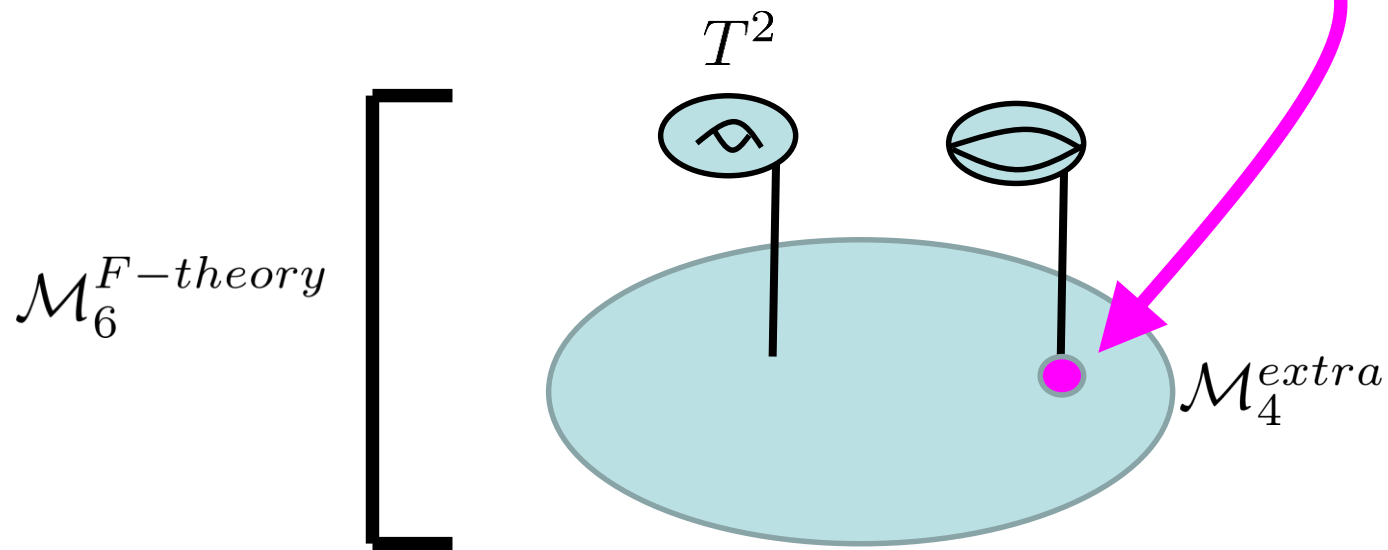
- Dualities: Vector Bundles on  $K3 \leftrightarrow$  Elliptic  $CY_3$   
Vafa '96, Morrison Vafa et al., '97, Friedman Morgan Witten '97 + ...  
JJH, Morrison, Rudelius, Vafa '15
- Particle Physics: Most Realistic Stringy Models to Date  
SM spectrum, flavor ( $\theta_{13}^\nu \sim 0.2$ ), funparticle extra sectors + ...  
JJH et al. '08 - '15 + ...
- 6D SCFTs: Novel Theories without a mass scale in 6D  
JJH et al. '13 - '15 + (some examples from '90's)



# F-theory Compactification

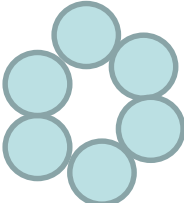
Vafa '96

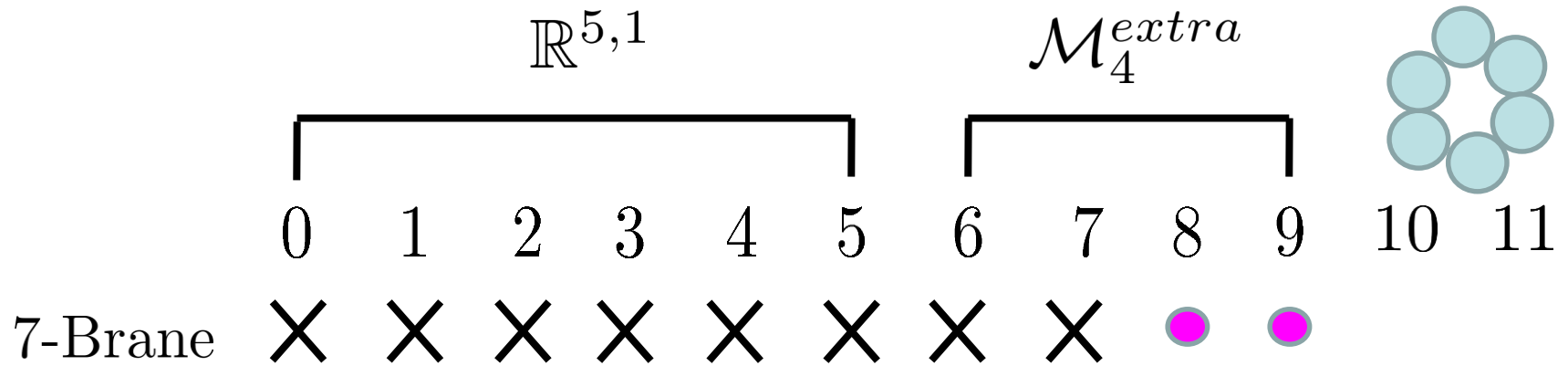
Existence: F-theory Geometrizes “Sources”



$\mathcal{M}_6^{F-theory}$  is Ricci-Flat (a Calabi-Yau three-fold)

# The Sources








$T^2$  can pinch off:   $\Rightarrow$  Extra Physics



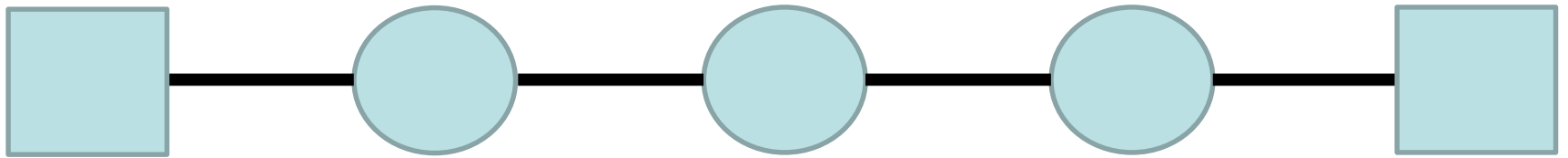
Gauge Coupling:  $\frac{1}{g^2} = \text{Vol}(\Sigma_{67})$

# Fibers and String Charge

c.f. Kodaira '64, '66, Morrison Taylor '12

charge = $n$	pinching of $T^2$	gauge symmetry
3		$\mathfrak{su}_3$
4		$\mathfrak{so}_8$
5		$\mathfrak{f}_4$
6		$\mathfrak{e}_6$
7		$\mathfrak{e}_7$
8		$\mathfrak{e}_7$
12		$\mathfrak{e}_8$

No Pinch-Off  $\Rightarrow$  (2, 0) Theory



$\mathbb{R}^{5,1}$

$\mathcal{M}_4^{extra}$

0

1

2

3

4

5

6

7

8

9

3-Brane

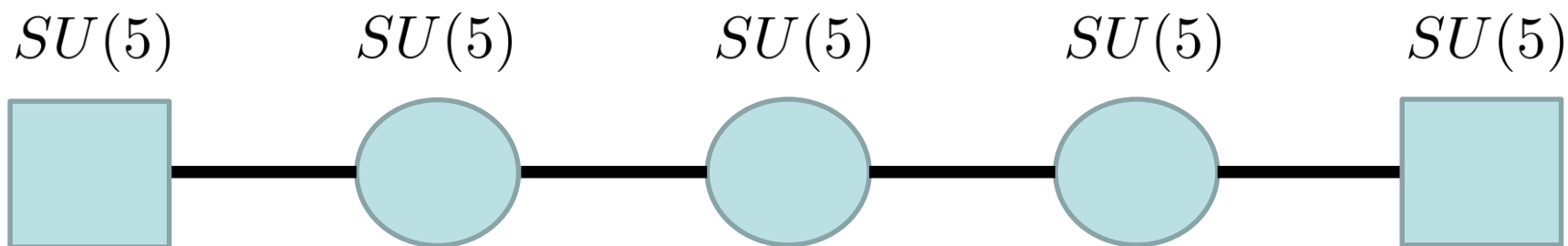
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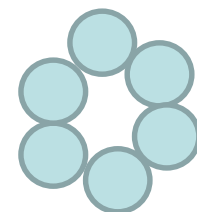
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# Sources $\Rightarrow$ (1, 0) Theory



$\mathbb{R}^{5,1}$

$\mathcal{M}_4^{extra}$



0 1 2 3 4 5 6 7 8 9 10 11

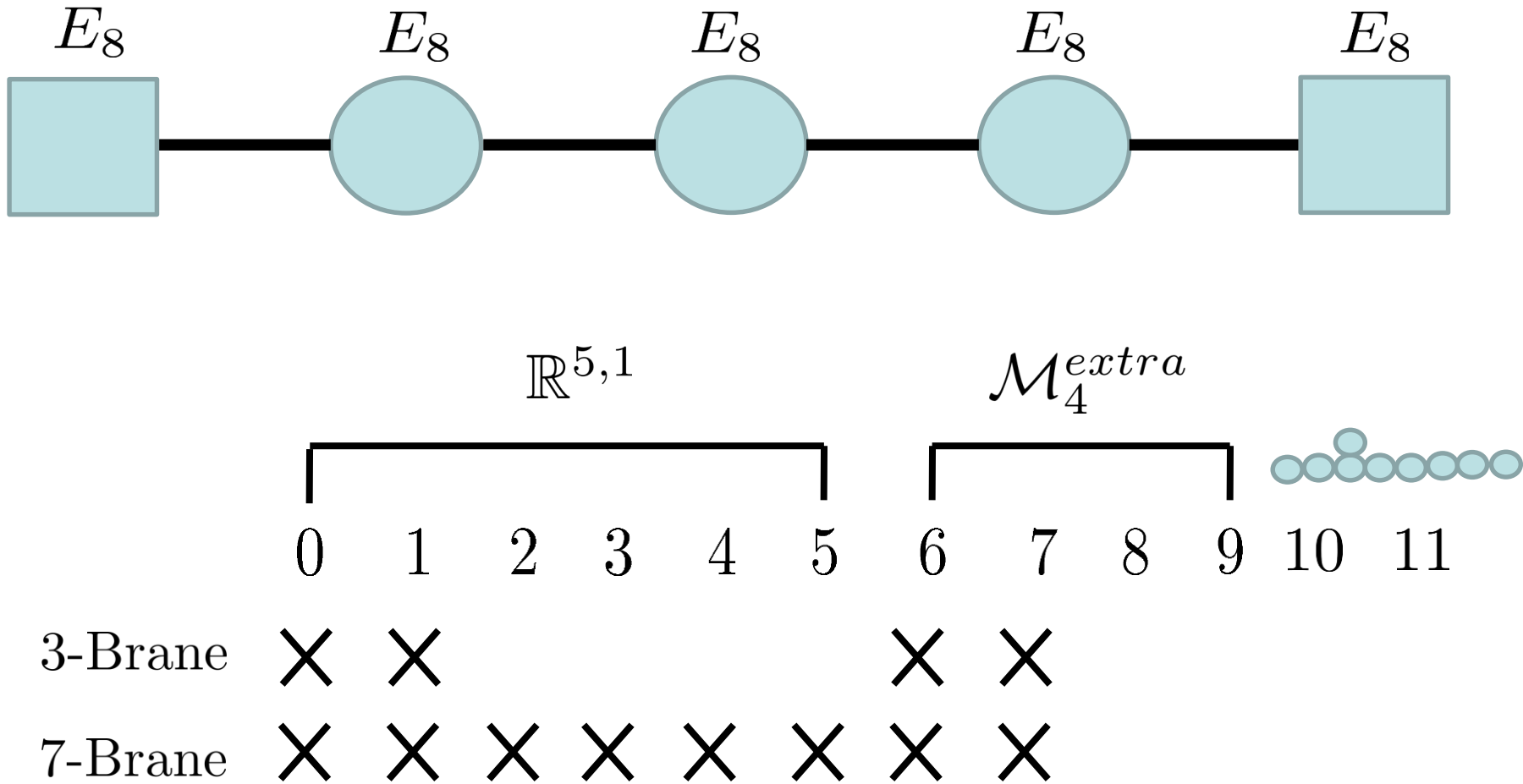
3-Brane

× × × ×

7-Brane

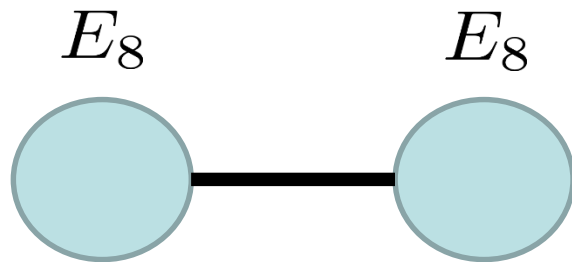
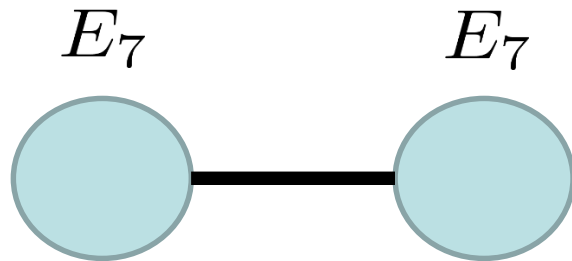
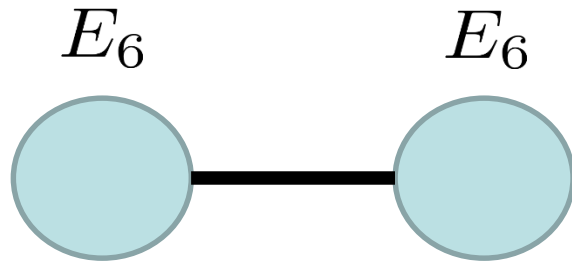
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# Generalizations...

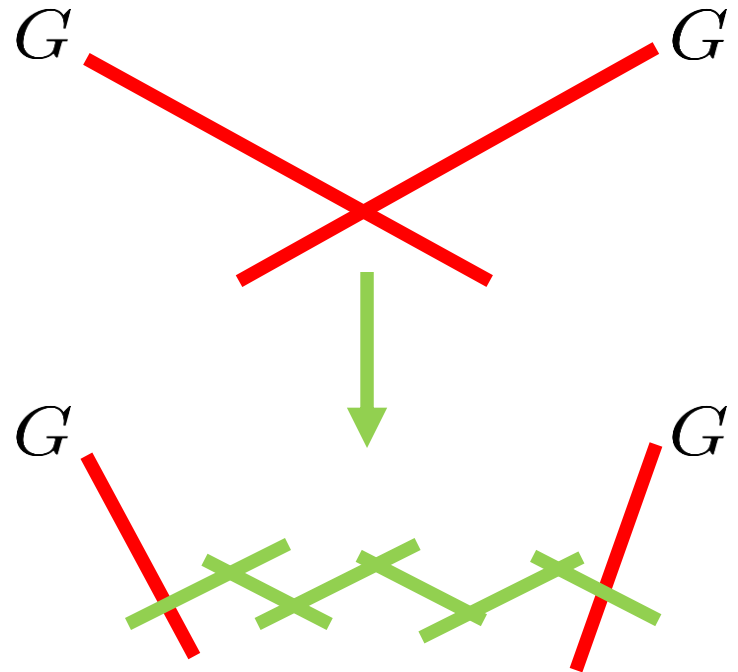


# Links $\neq$ Particles

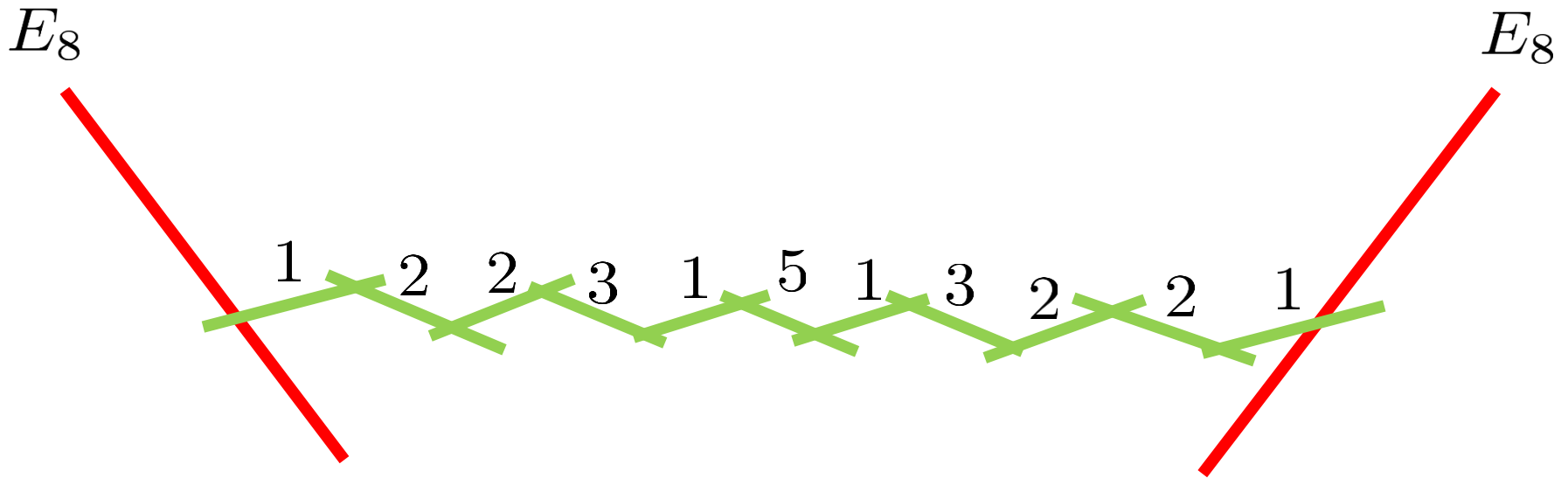
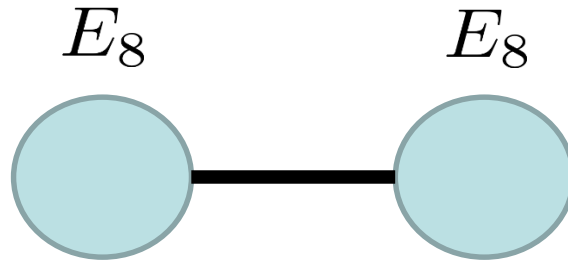
JJH et al. '14



Use F-theory!



The Link is *also* an SCFT!





# How to Build *All* 6D SCFTs

JJH et al. '13 - '15

# Rules of the Game?

In 6D, things are quite rigid...

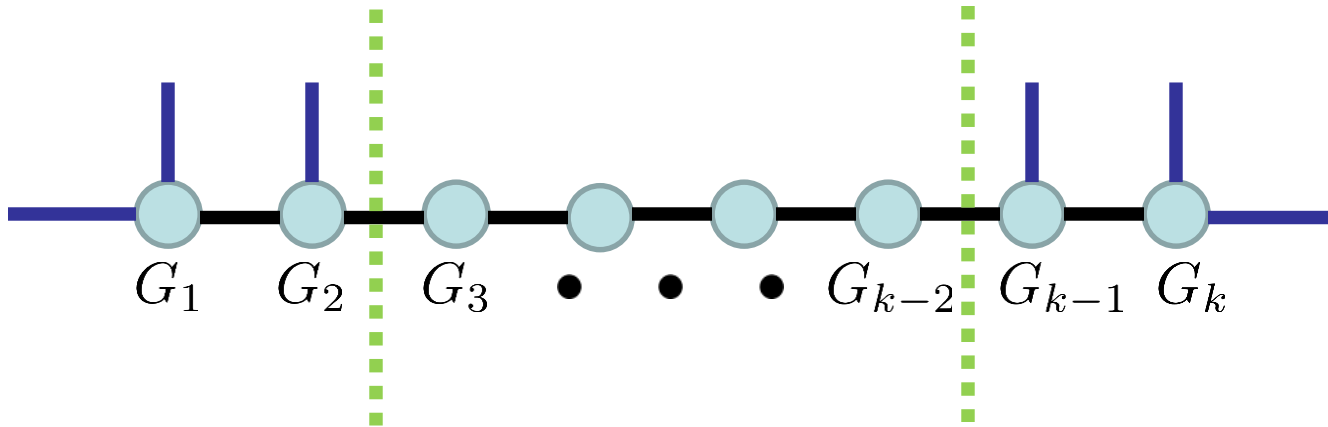
Can we enumerate every possible theory?

This is a purely geometric question!

# Strategy

- 1) Find all  $\mathcal{M}_4^{extra}$ 's which could support an SCFT
- 2) Find all ways to add sources (pinched  $T^2$ )

# Punchline #1



6D SCFTs = Generalized Quivers

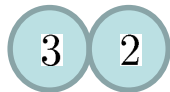
# Punchline #2

## Looks Like Chemistry

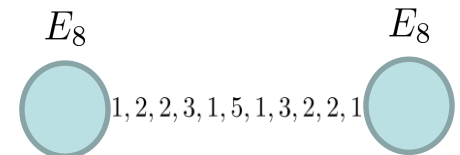
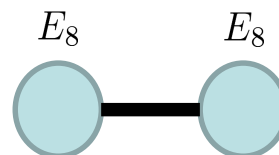
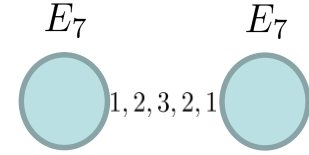
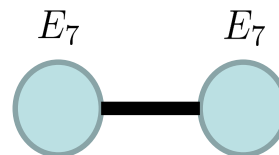
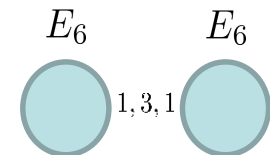
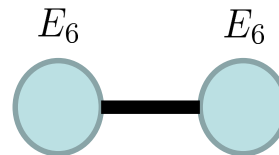
### “Atoms”

c.f. Morrison and Taylor '12

$n$  for  $3 \leq n \leq 12$

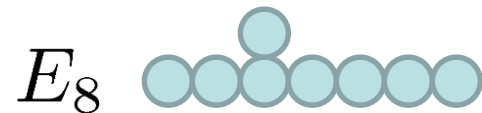
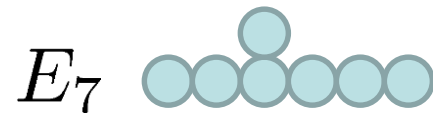
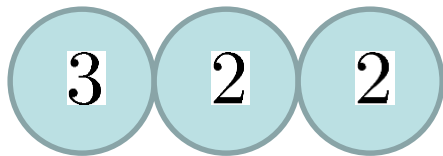
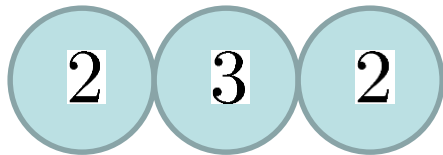
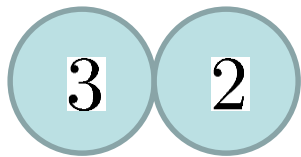
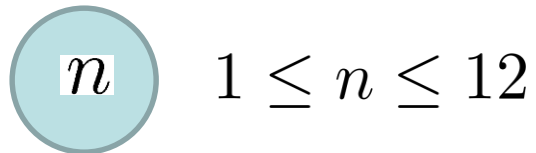


### “Radicals”



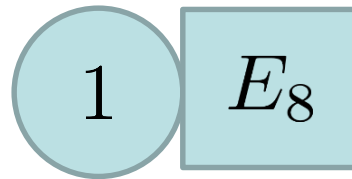
# Building Blocks

c.f. Morrison and Taylor '12



# The $-1$ Building Block

Basic Unit of String Charge = 1

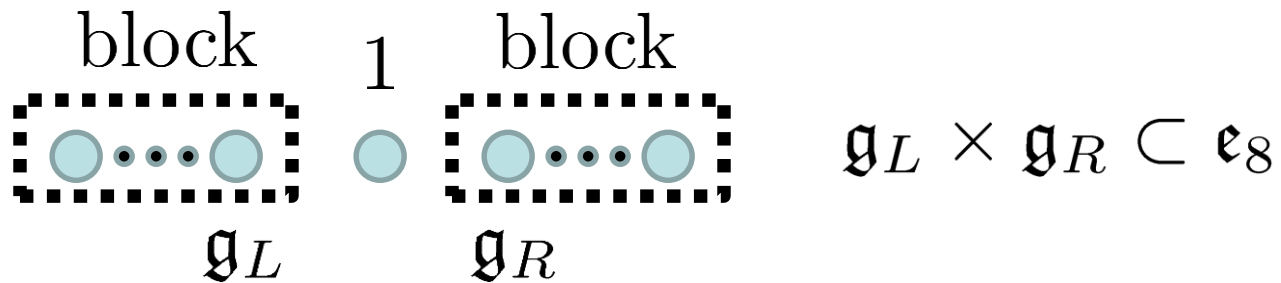


This theory has an  $E_8$  Flavor Symmetry

States transform in multiplets of  $E_8$  irreps

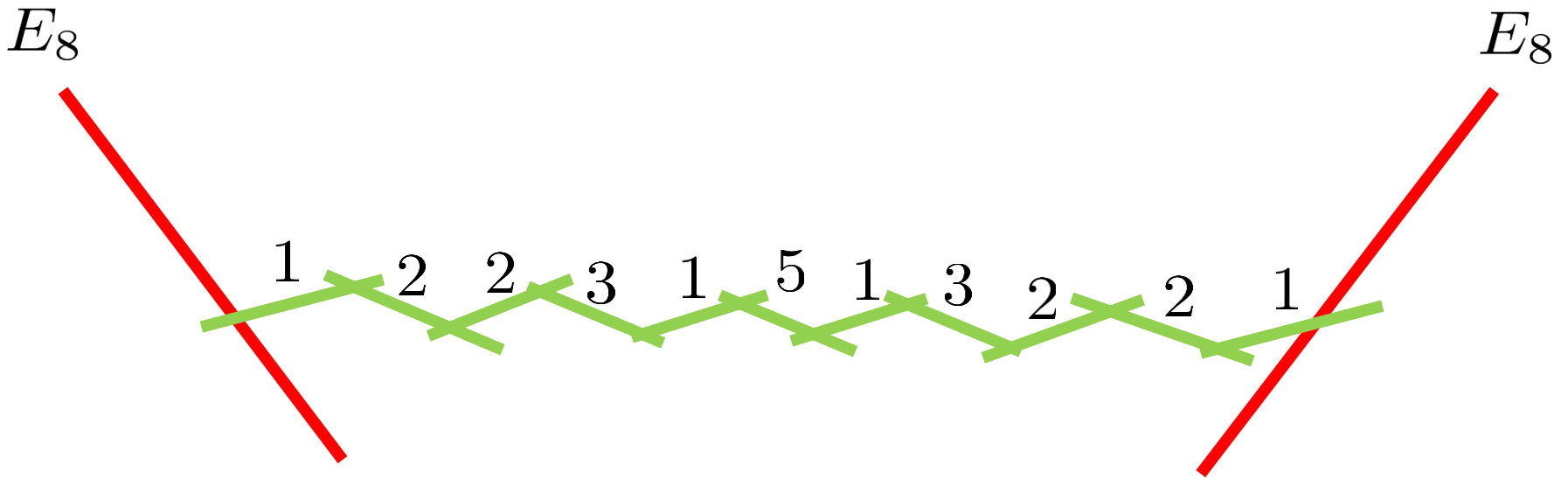
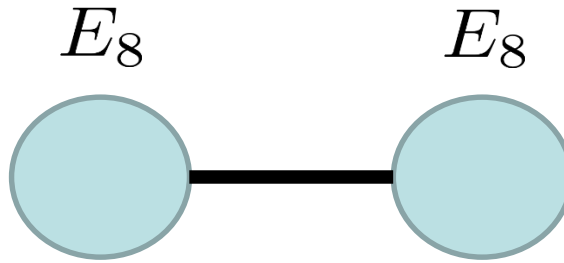
# Gluing

“Gauging a flavor symmetry”





# An Example of Gluing



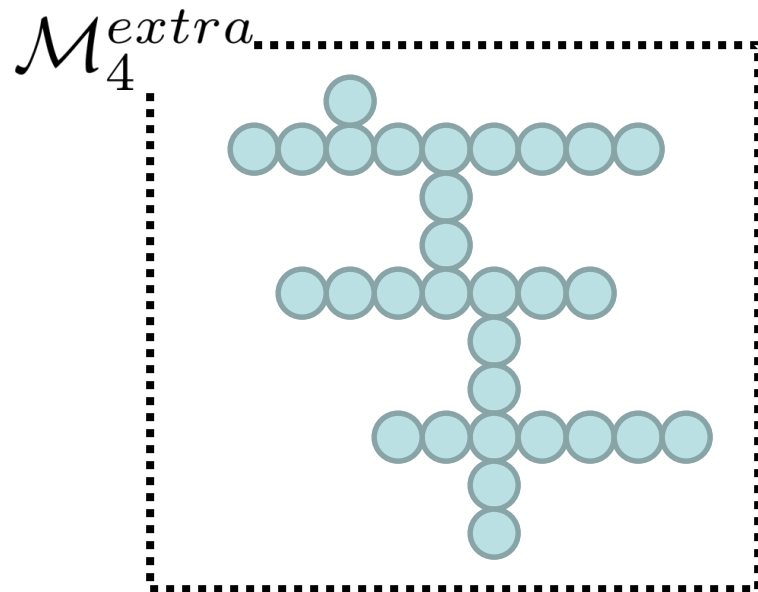
# Constraints on Gluing

JJH, Morrison, Vafa '13

1) No Closed Loops

Corollary:  $\Sigma_i \cap \Sigma_j = 0$  or  $1$  if  $i \neq j$

2) Trees are enough, e.g.



# Useful Terminology: I / II

Split up building blocks into two groups:

	$\mathfrak{so}_8$	$\mathfrak{e}_6$	$\mathfrak{e}_7$	$\mathfrak{e}_7$	$\mathfrak{e}_8$	$\mathfrak{e}_8$	$\mathfrak{e}_8$	$\mathfrak{e}_8$
DE-type:	4,	6,	7,	8,	9,	10,	11,	12

non-DE-type: 1, 2, 3, 23, 232, 223, 5

# Useful Terminology: II / II

Define a Base Quiver:

Nodes: DE-type curves  $G_i$  

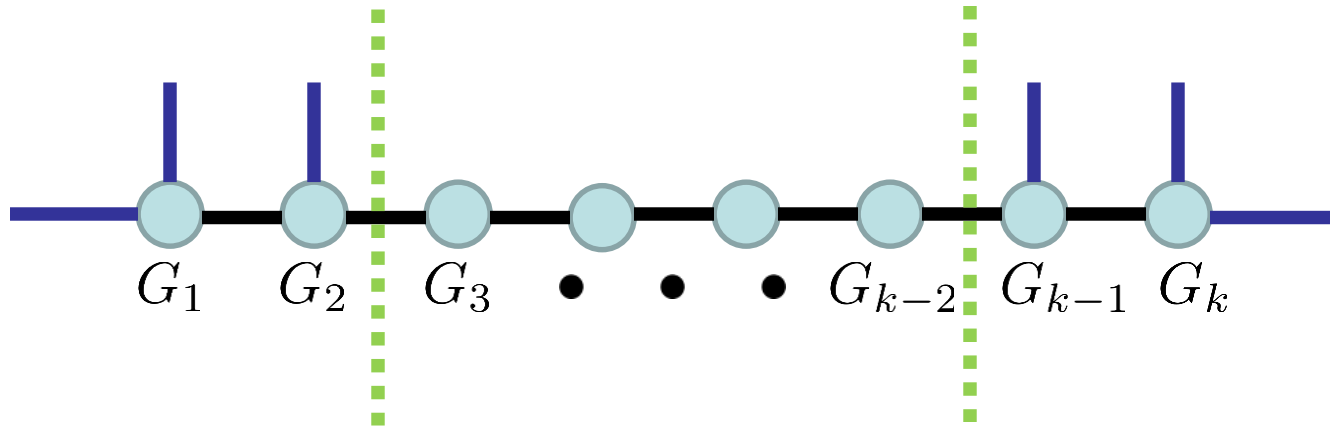
Links: Connecting DE-type curves  $G_i$    $G_j$  

Example:

$(12), 1, 223, 1, 5, 1, 322, 1, (12)$    

# The Big Surprise

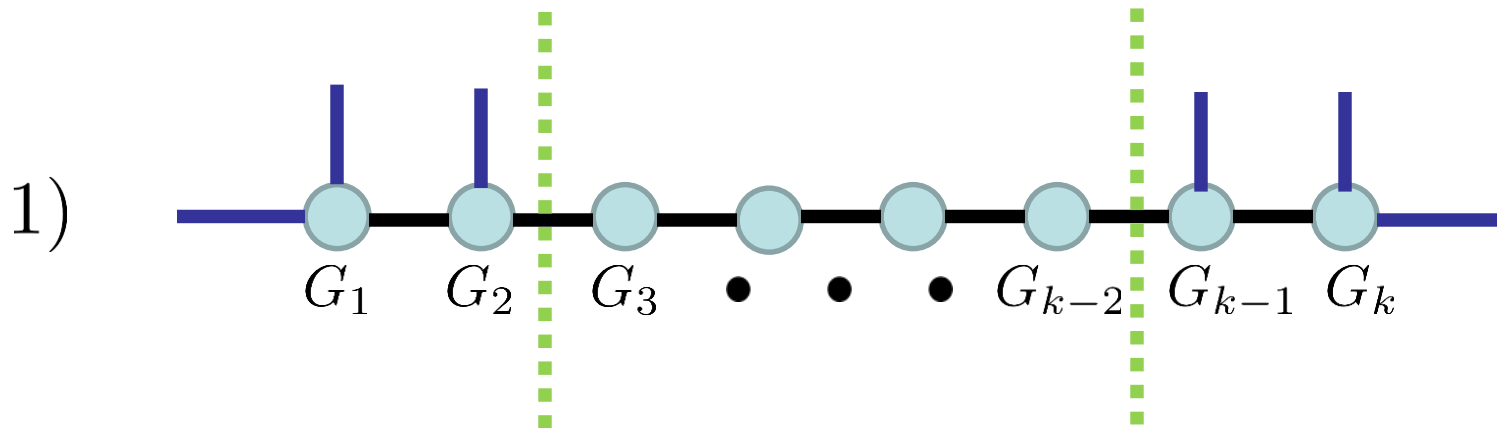
All 6D SCFTs have a *very* simple structure!



$$G_1 \subseteq G_2 \subseteq \cdots \subseteq G_m \supseteq \cdots \supseteq G_{k-1} \supseteq G_k$$

# More Results...

JJH et al. '15



2) Classification of all possible links

3) Classification of all possible gauge groups

So What's Next?

# Near Term Goals

Short-Term: Classical Geometry  $\rightarrow$  QFT

- Compactify to  $\mathbb{R}^{4,1} \times S^1$ , on  $\mathbb{R}^{3,1} \times \Sigma$   
In Progress: JJH et al.

- Operator Content?

Some scaling dimensions now known (JJH '14)

- $\Lambda_{string}^*/\Lambda_{string}$  and spectrum of 6D defects?

To Appear: JJH et al. '15



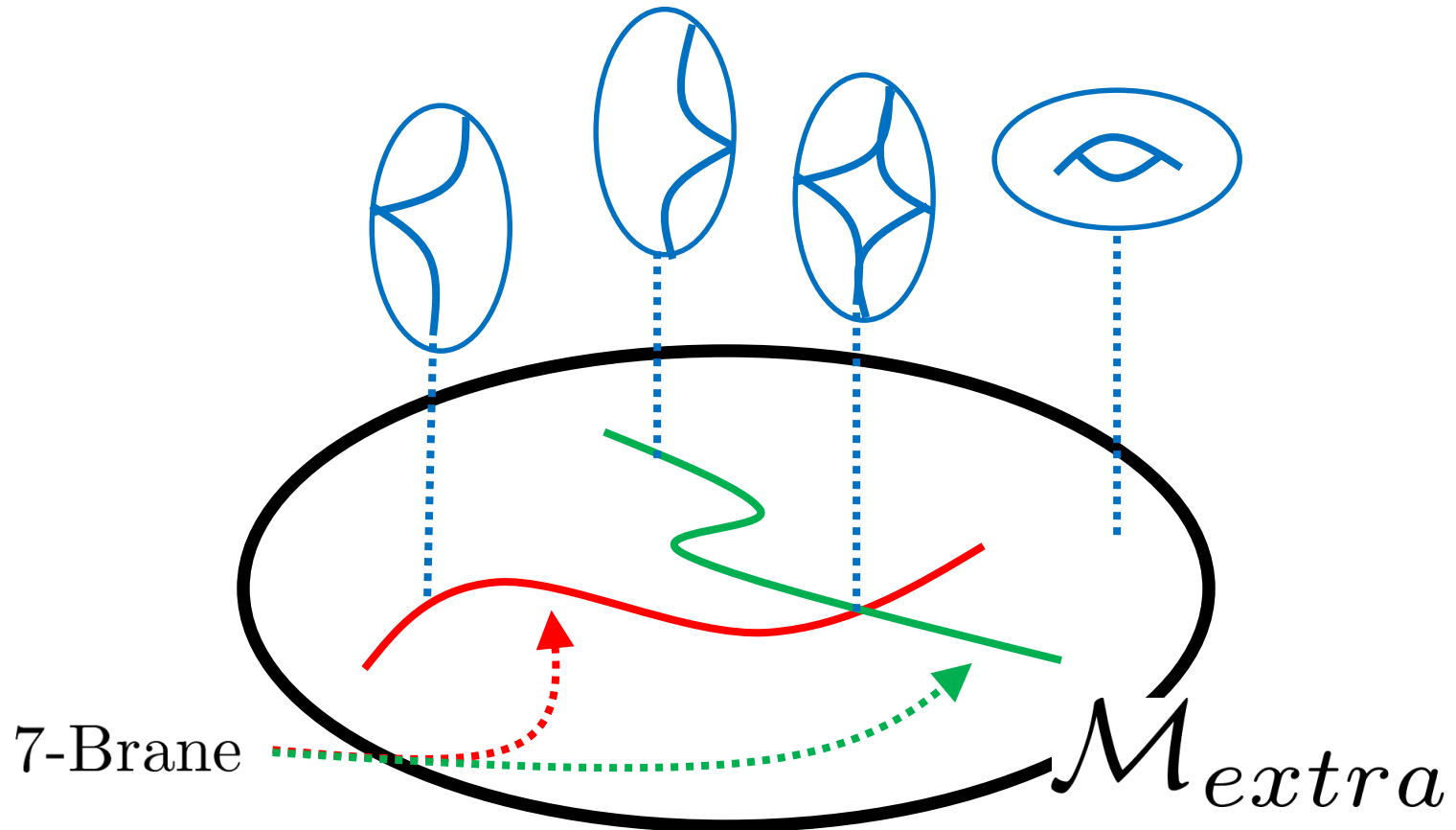
# Broader Goals

Short-Term: Classical Geometry  $\rightarrow$  EFT

- What are the rules of the game?
- Spectrum of physical states?
- Physical / Mathematical Structures?

# Example: Doughnuts

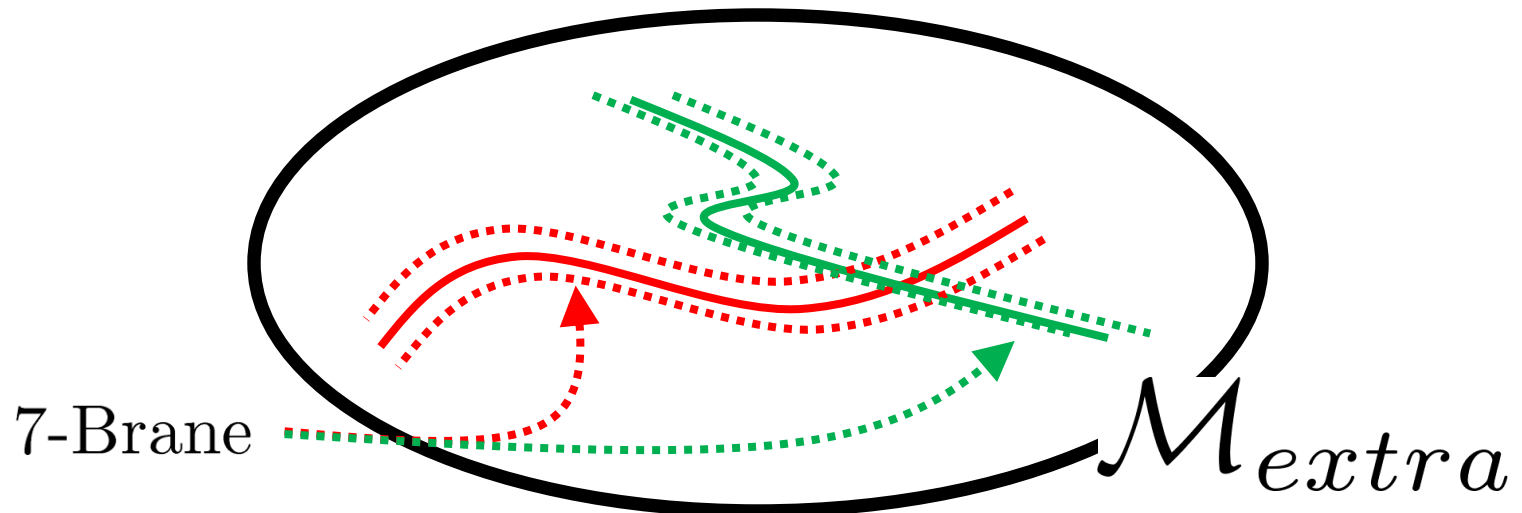
- Pinching Doughnut  $\Rightarrow$  Charge from 7-Brane



# But...

- How About Dipole Moments?

“T-Branes”: Cecotti, Cordova, JH, Vafa '10



# Beyond Doughnuts

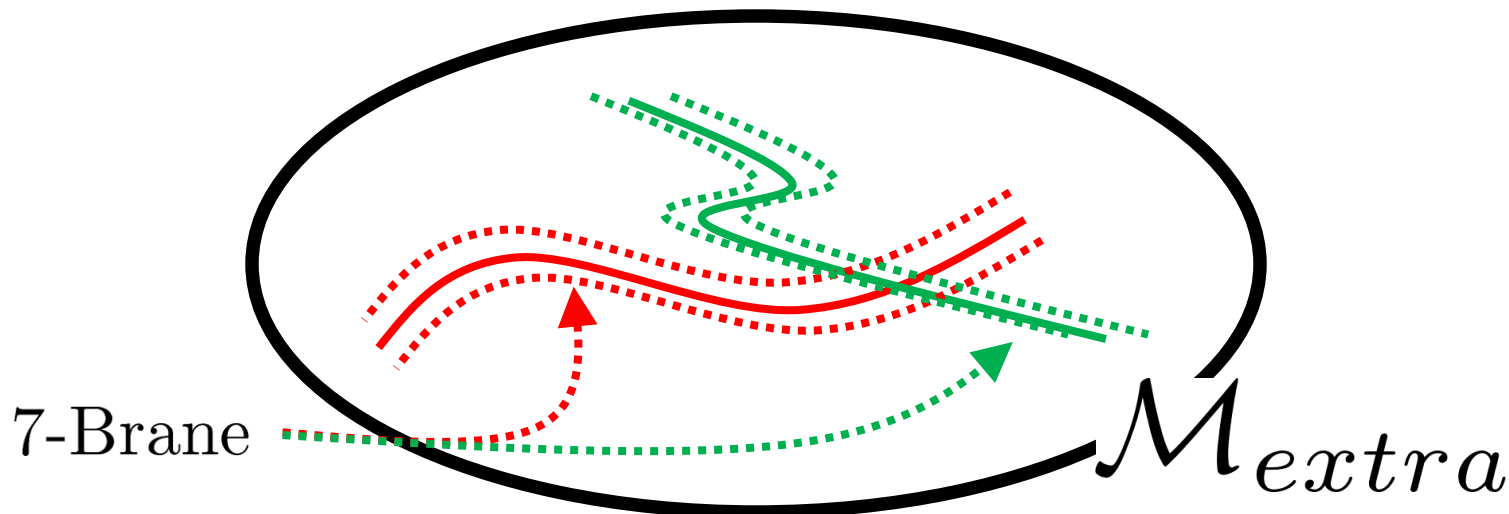
- How About Dipole Moments?

“T-Branes”: Cecotti, Cordova, JH, Vafa '10

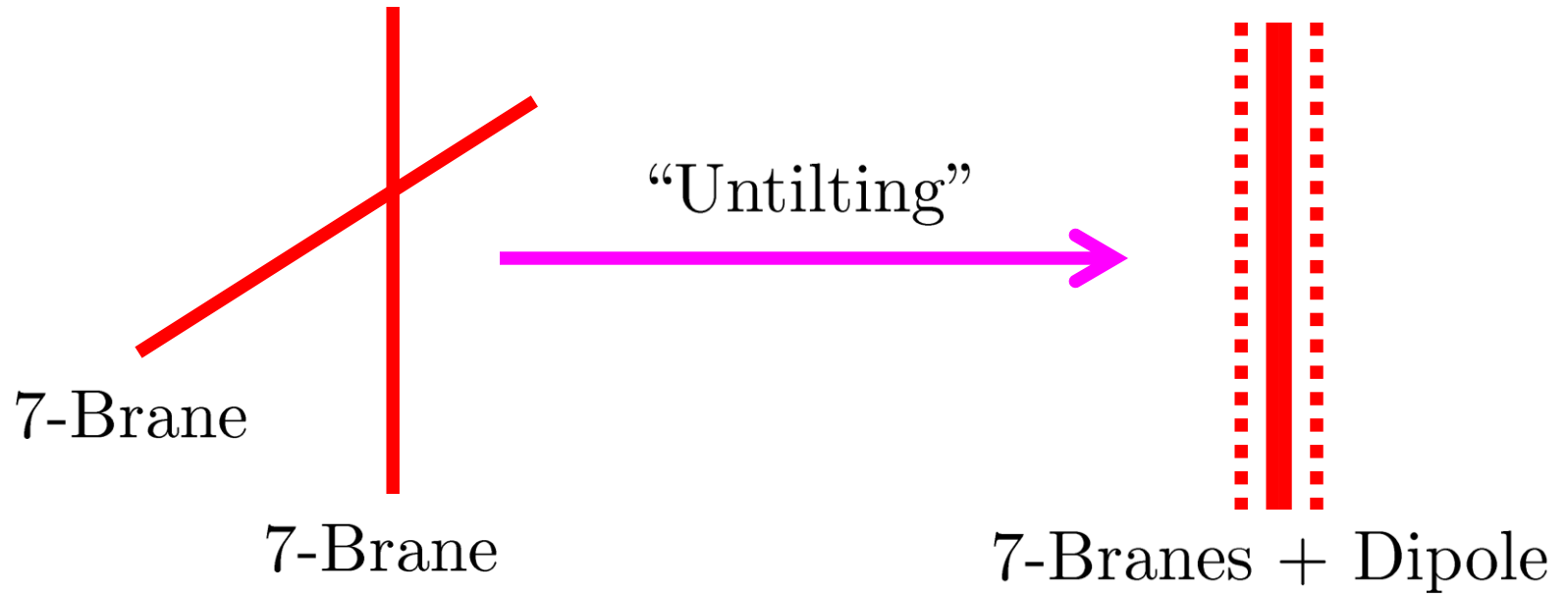
- Recent Progress involves heavy machinery:

“Theory of Limiting Mixed Hodge Structure”

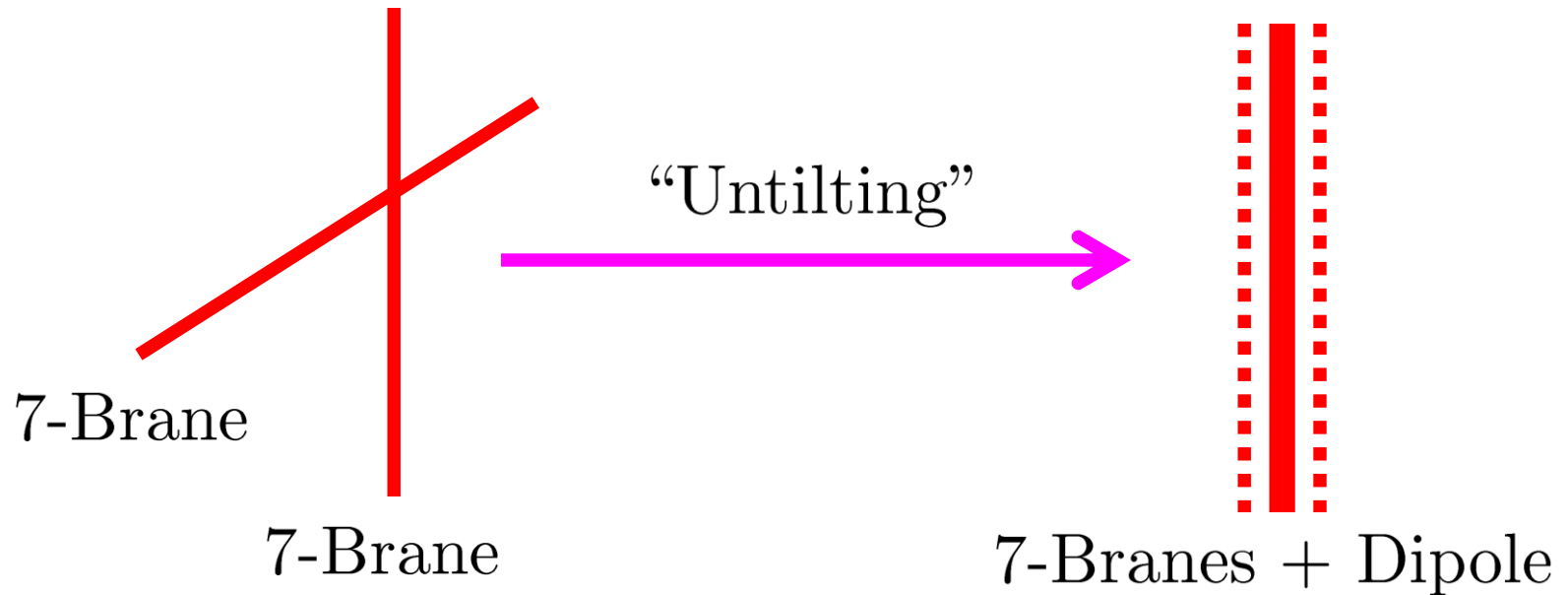
Anderson, JH, Katz '13, In Progress: Anderson, JH Katz Schaposnik '15



# Making a Dipole



# Math Description



$$\mathcal{J}(CY) \rightarrow \mathcal{M}_{HK}$$

↓

$$\mathcal{M}_{cplx}(CY)$$

“Hitchin System”

$$\bar{D}\Phi = 0$$

$$F + [\Phi, \bar{\Phi}] = 0$$

# Broader Goals

Short-Term: Classical Geometry  $\rightarrow$  QFT

Longer Term: Quantum Geometry  $\rightarrow$  ???

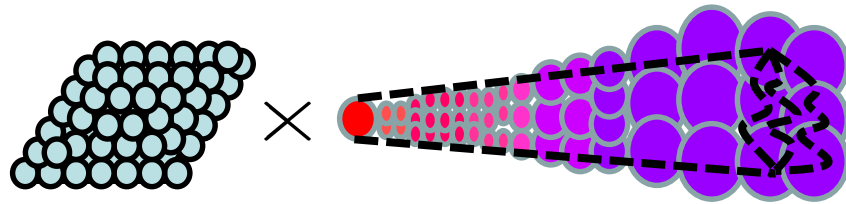
- Quantum Gravity + QFT?
- Emergent Spacetime?
- ...?

# Emergence of Geometry

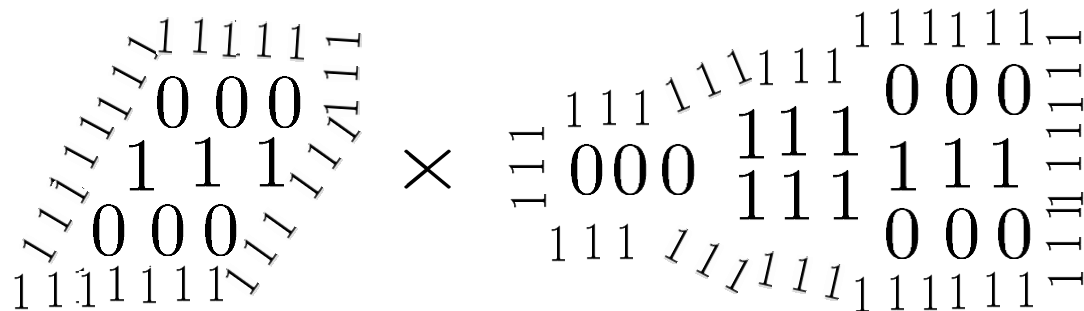
Beyond Classical Geometry:

- Non-Commutative Spacetimes

$$[x, x'] \neq 0:$$

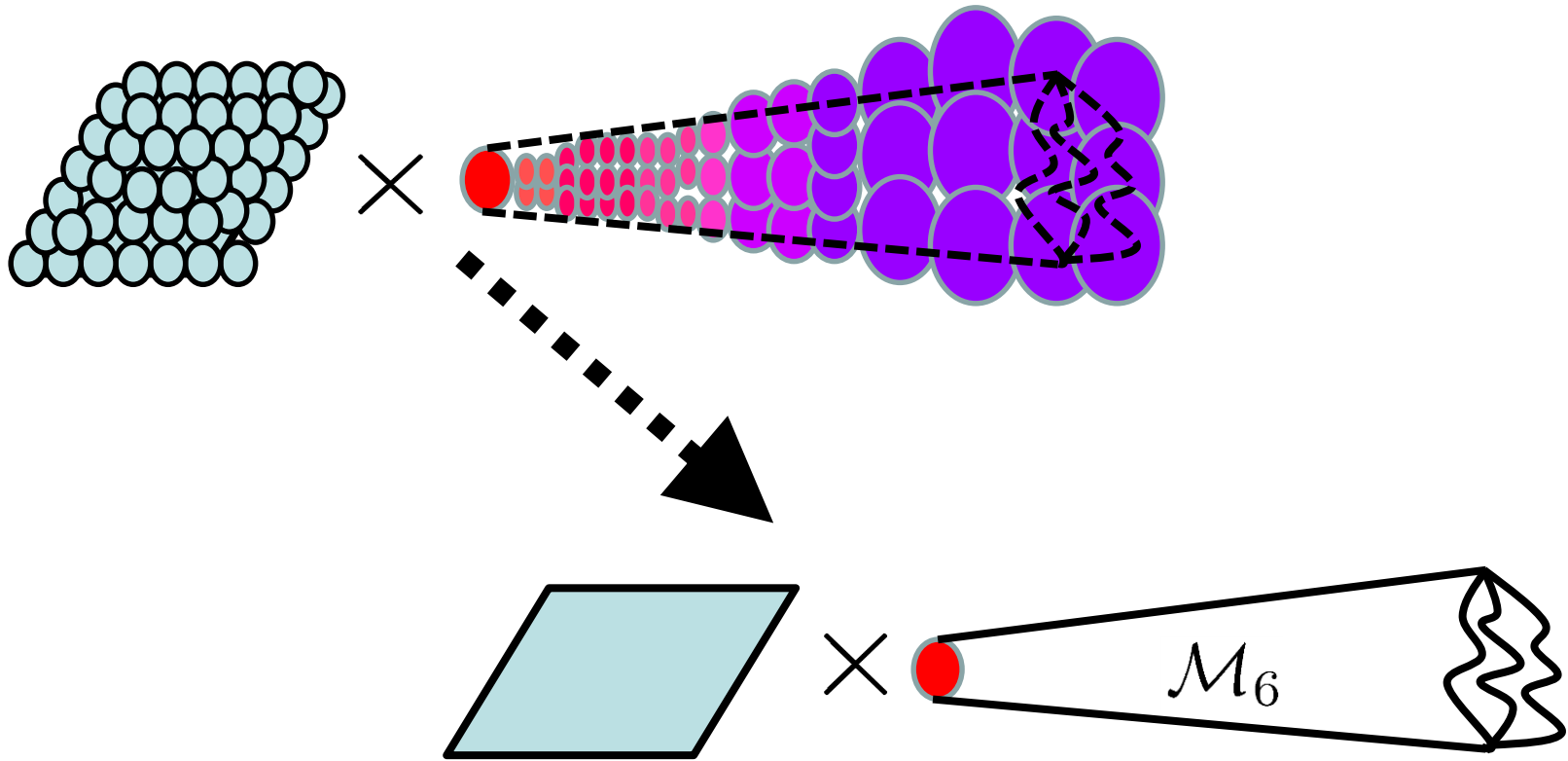


- Information Geometry

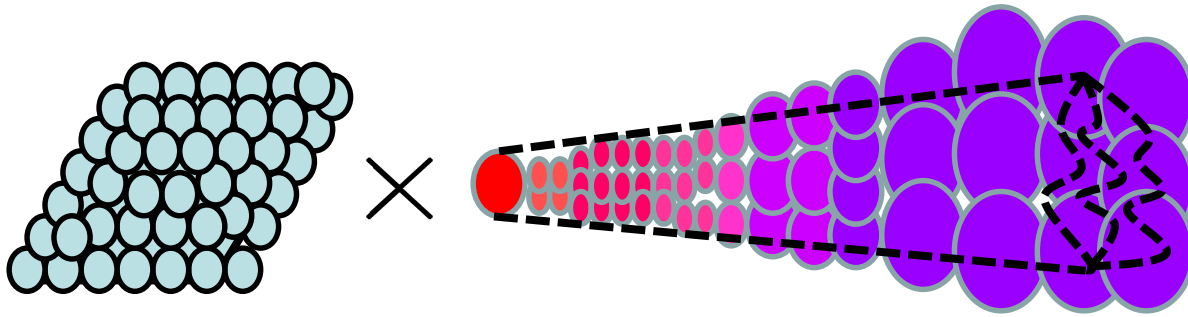




# Emergence of Geometry



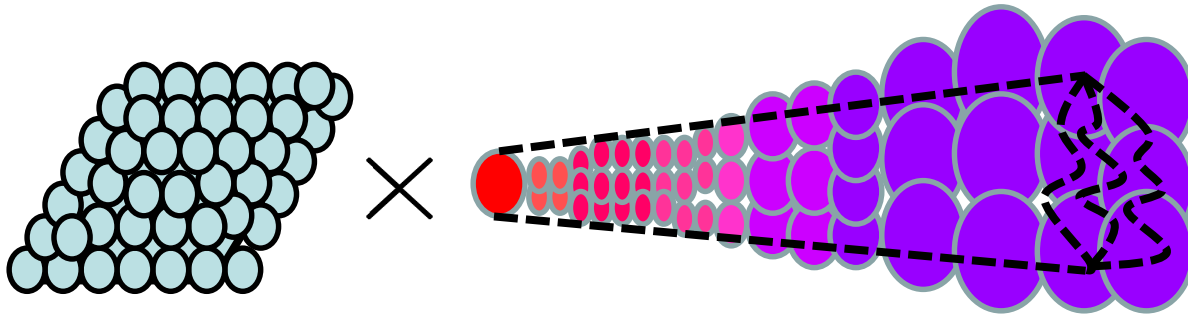
# Emergence of Geometry



- Pixelize:  $[x_{4D}, x'_{4D}] \neq 0$  and  $[x_{6D}, x'_{6D}] \neq 0$

JJH, Verlinde '10 - '14

# Emergence of Geometry



- Pixelize:  $[x_{4D}, x'_{4D}] \neq 0$  and  $[x_{6D}, x'_{6D}] \neq 0$

JJH, Verlinde '10 - '14

- Quantify: How many bits of data in  $\mathcal{M}^{extra}$ ?

How about in 4D? JJH '13 + In progress

Balasubramanian, JJH, Maloney '14

# What This Talk Was About

- Geometry  $\rightarrow$  Effective Field Theories
- DONE: Classification of 6D SCFTs
- Next Up: Extract Universal Features  
Compactify to 5D/4D/3D/2D