

# Constructing a Dynamics for Causal Set Quantum Gravity

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UC Davis  
May 2011

- An Introduction to the Causal Set Approach to Quantum Gravity
  - L. Bombelli, J. Lee, D. Meyer and R. Sorkin, 1987
- Dynamics from First Principles
  - Classical Stochastic Dynamics
    - D. Rideout and R. Sorkin, 2001
  - The quantum measure and quantum dynamics.
    - R. Sorkin
    - with F. Dowker and S. Johnston
- Continuum Inspired Dynamics
  - The Benincasa-Dowker Action for causal sets.
    - D. Benincasa and F. Dowker
  - Markov Chain Monte Carlo – First Steps.
    - with J. Henson D. Rideout and R. Sorkin

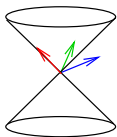
# The Causal Set Hypothesis

The causal set approach is based on two fundamental building blocks:

The Causal Structure Poset

Spacetime Discreteness

# The Causal Structure Poset $(M, \prec)$ Associated with $(M, g)$

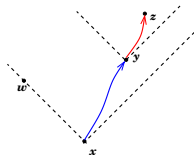


Timelike:  $g_{ab}v^av^b < 0$

Null:  $g_{ab}v^av^b = 0$

Spacelike:  $g_{ab}v^av^b > 0$

## The Causal Structure Poset $(M, \prec)$ Associated with $(M, g)$



- $x \prec y$  if there is a future directed causal curve from  $x$  to  $y$
- If  $(M, g)$  has no closed causal curves, then  $(M, \prec)$  is a **partially ordered set**
  - $M$  is the *set* of events.
  - $\prec$  is:
    - Acyclic:  $x \prec y$  and  $y \prec x \Rightarrow x = y$
    - Reflexive:  $x \prec x$
    - Transitive:  $x \prec y, y \prec z \Rightarrow x \prec z$

How primitive is  $(M, \prec)$  ?

– Zeeman, Penrose, Kronheimer, Hawking, Geroch, Ellis, Malament, etc..

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$(M, \prec)$  determines the conformal class of the metric.

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*If  $f : (M_1, g_1) \rightarrow (M_2, g_2)$  is a causal bijection between two future and past distinguishing spacetimes, then  $f$  is a smooth conformal isometry. Namely,  $f$  and  $f^{-1}$  are smooth and  $f_*g_1 = \Omega^2g_2$ .*

S. W. Hawking, A.R. King, P.J. McCarthy, J. Math. Phys. (1976); D. Malament, J. Math. Phys. (1977); O. Parrikar, S. Surya (2011).

- Causal structure = 9/10<sup>th</sup> of the spacetime geometry.
- Volume element = 1/10<sup>th</sup> of the spacetime geometry.

Spacetime geometry = Causal Structure + Volume

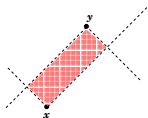


*Be Wise, Discretise! — Mark Kac*

- Planck scale physics:  $l_p = \sqrt{G\hbar/c^3}$

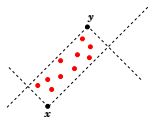
Black Hole Entropy, Resolution of Singularities, Regularisation of QFTs, etc.

- Discreteness can give the spacetime volume element:



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- Planck scale physics:  $l_p = \sqrt{G\hbar/c^3}$   
Black Hole Entropy, Resolution of Singularities, Regularisation of QFTs, etc.
- Discreteness can give the spacetime volume element:  
A spacetime region of volume  $V$  has  $n \sim V/V_p$  Planck volumes



# The Causal Set Hypothesis

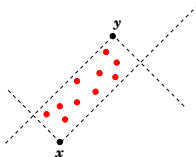
The Causal Structure Poset

Spacetime Discreteness



The underlying structure of spacetime is a *causal set* or locally finite poset  $(C, \prec)$

- Discreteness implemented via *local finiteness*:  $|Fut(x) \cap Past(y)| < \infty$



# Reconstructing Spacetime From a Causal Set

Spacetime geometry = Causal Structure + Volume

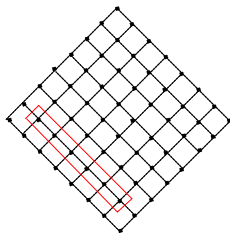
Causal Structure  $\rightarrow$  Partially Ordered Set

Spacetime Volume  $\rightarrow$  Number

Order + Number  $\sim$  Spacetime geometry

# Reconstructing Spacetime From a Causal Set

- Regular lattice does not preserve Number-Volume correspondence

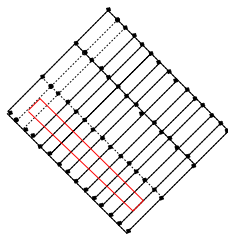


- Random lattice generated via a Poisson process:

$$P_V(n) \equiv \frac{1}{n!} e^{-\rho V} (\rho V)^n, \quad \langle N \rangle = \rho V$$

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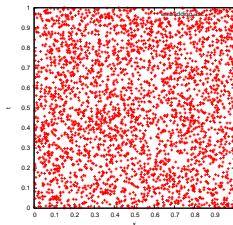
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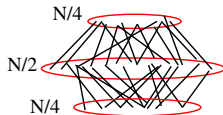
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- Local Lorentz invariance: there are no preferred directions – L.Bombelli, J.Henson, R. Sorkin, Mod.Phys.Lett. 2009

# Spacetime-like causal sets

- A generic causal set looks nothing like spacetime



- $C$  is “approximated” by  $(M, g)$  if it admits a “faithful embedding”  $\Phi : C \rightarrow (M, g)$ 
  - Order relation in  $C \leftrightarrow$  induced causal order in  $\Phi(C)$
  - $\Phi(C) \subset (M, g)$  is a high probability Poisson sprinkling in  $(M, g)$

The Inverse Problem: Reconstructing continuum geometry and topology from the causal set

*Timelike Distance, Dimension, Homology, D’Alembertian, Scalar Curvature..*



# Dynamics for Causal sets

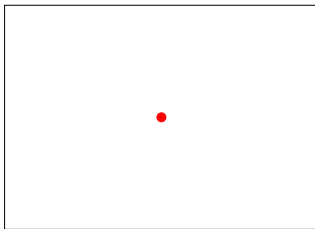
- From first principles
  - Classical Sequential Growth and Observables
  - Quantum Sequential Growth (Quantum Measure formulation and the construction of Observables)
  - Biggest Challenge: Emergence of Einstein gravity, continuum spacetime
- Continuum inspired Dynamics:  $Z = \sum_{c \in \Omega} e^{iS[c]/\hbar}$ 
  - A Non-local Action
  - Wick Rotation without changing the sample space.
  - Markov Chain Monte Carlo methods
    - Local moves and KR posets.
    - A 2D model of causal set quantum gravity – some interesting leads.
  - Biggest Challenge: What are the covariant observables?

- Classical Sequential Growth

– D.P. Rideout, R.D. Sorkin, *Phys. Rev D* (2000)

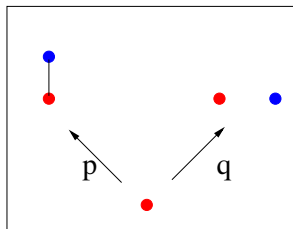
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- Classical Sequential Growth – D.P. Rideout, R.D. Sorkin, *Phys. Rev D* (2000)
- Transitive percolation:  $p$ : probability of adding in a link and  $q = 1 - p$ : probability for no relation.
- Principles:
  - General Covariance or Label Independence,
  - Bell-causality condition

# Classical Stochastic Theory

Classical Stochastic Dynamics is a Probability Measure Space:  $(\Omega, \mathcal{A}, \mu)$

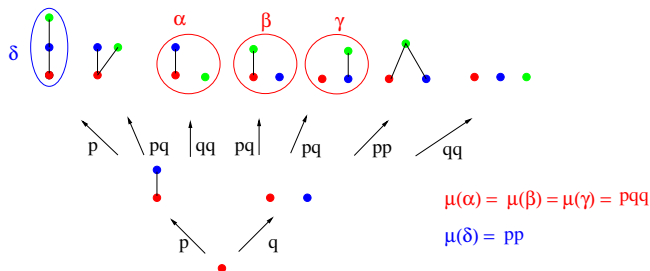
- **Sample Space  $\Omega$** : space of histories.
- **Event Algebra  $\mathcal{A}$** : set of all propositions about the system.
  - $\mathcal{A}$  is closed under finite set union, intersection and complementation.
  - $\Omega \in \mathcal{A}$ .
- **Probability Measure  $\mu : \mathcal{A} \rightarrow [0, 1]$** : finitely additive

Kolmogorov Sum Rule:  $\mu(\alpha_1 \sqcup \alpha_2) = \mu(\alpha_1) + \mu(\alpha_2)$

Lesson: An observable is a measurable set

# Covariant Observables

- Sequential growth generates causal sets that are labelled.
  - $\Omega$  : space of all “completed” labelled, *past finite* causal sets
  - $\mathcal{A}$  is generated by the cylinder sets  $\{\text{cyl}(c_i)\}$  where  $c_i$  are labelled causal sets of size  $n < \infty$ .  
 Example:  $\text{cyl}(\dots)$ =set of all causal sets whose first two elements form a 2-antichain.
  - $\mu$  in terms of  $p$ :





# Covariant Observables

- Sequential growth generates causal sets that are labelled.
- Finite time events are not covariant.
  - Complete  $\mathcal{A}$  to include infinite time events:  $\mathcal{S}_{\mathcal{A}}$  is the sigma algebra generated from  $\mathcal{A}$ 
    - $\mathcal{S}$  is an algebra
    - $\mathcal{S}$  is closed under countable unions and intersections

*Example from classical random walk:* Walker eventually returns to the origin.

- $(\Omega, \mathcal{A}, \mu) \rightarrow (\Omega, \mathcal{S}_{\mathcal{A}}, \mu^*)$

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- Take the quotient of  $(\Omega, \mathcal{S}_{\mathcal{A}}, \mu^*)$  with respect to relabellings:  $(\Omega', \mathcal{S}', \mu')$

Physical characterisation of this space in terms of past sets.

G. Brightwell, H.F. Dowker, R.S. Garcia, J. Henson, R.D. Sorkin, *Phys. Rev. D*(2003)

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Is there an analog of this for the quantum case?

Quantum theory can be thought of as a generalisation of classical stochastic theories.

Quantum Dynamics is a Quantum Measure Space  $(\Omega, \mathcal{A}, \mu)$

R. D. Sorkin, Mod. Phys. Lett. A 9, 3119 (1994), R. D. Sorkin, J. Phys. Conf. Ser. (2007), Fay Dowker, Yousef Ghazi-Tabatabai, J.Phys.A(2008)

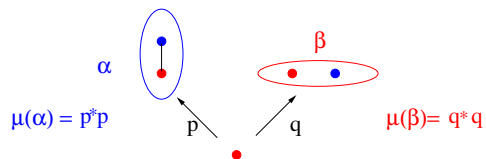
- $\Omega$  and  $\mathcal{A}$  same as in classical stochastic theories.
- $\mu$  is non-additive:  $\mu(\alpha \sqcup \beta) = \mu(\alpha) + \mu(\beta) + I(\alpha, \beta)$

## Quantum Sum Rule

$$\mu(\alpha_1 \sqcup \alpha_2 \sqcup \alpha_3) = \mu(\alpha_1 \sqcup \alpha_2) + \mu(\alpha_1 \sqcup \alpha_3) + \mu(\alpha_2 \sqcup \alpha_3) - \mu(\alpha_1) - \mu(\alpha_2) - \mu(\alpha_3).$$

- What is the interpretation of  $\mu$ ?
  - Principle of Preclusion: If  $\mu(\alpha) = 0$ , then  $\alpha$  doesn't happen or is *precluded*
  - The Anhomomorphic Logic/Coevent/Piombino Interpretation.  
R. D. Sorkin, J. Phys. Conf. Ser. (2007),  
Fay Dowker, Yousef Ghazi-Tabatabai, J.Phys.A(2008)

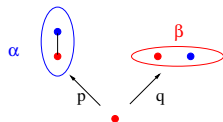
- $p, q \in \mathbb{C}$ .



What is  $\mu(\alpha \sqcup \beta)$ ?

- $p, q \in \mathbb{C}$ .
- Decoherence functional  $D : \Omega \times \Omega \rightarrow \mathbb{C}$ .
  - Properties:
    - Hermetian:  $D(\alpha, \beta) = D^*(\beta, \alpha)$
    - Finitely Biadditive:  $D(\sqcup_{i=1}^n \alpha_i, \beta) = \sum_{i=1}^n D(\alpha_i, \beta)$
    - Normalised:  $D(\Omega, \Omega) = 1$
    - Strongly Positive: For any finite collection  $\{\alpha_i\}$ ,  $M_{ij} = D(\alpha_i, \alpha_j)$  is positive semi-definite.
  - Example: For a unitary system,  $D(\gamma, \gamma') = A^*(\gamma)A(\gamma')\delta(\gamma(T) - \gamma'(T))$

- $p, q \in \mathbb{C}$ .



- Complex Percolation:  $D(\alpha, \beta) \equiv A^*(\alpha)A(\beta)$

$$\mu(\alpha \sqcup \beta) = |p|^2 + |q|^2 + 2\operatorname{Re}(p^*q)$$

$$p + q = 1 \Rightarrow |p| + |q| = 1 + \zeta \quad \zeta \geq 0$$

What is the analogue of the Caratheodary-Hahn extension theorem for the quantum measure?



# Quantum Measure as a Vector Measure

- The Quantum Measure as a Quantum Vector Measure:  $(\Omega, \mathcal{A}, \mu_V)$

$\mu_V$  takes values in the *histories Hilbert Space*.

- Caratheodary-Hahn-Kluvanek theorem:

An extension  $(\Omega, \mathcal{A}, \mu_V) \rightarrow (\Omega, \mathcal{S}_{\mathcal{A}}, \mu_V^*)$  exists and is unique  
*provided  $\mu_V$  satisfies certain convergence conditions*

- Quantum Measure only extends for “Real-Complex” Percolation:  $p \in [0, 1]$ 
  - Real amplitudes, but  $D$  is still non-additive.

$$D(\alpha \sqcup \beta) = \mu_V(\alpha)^2 + \mu_V(\beta)^2 + 2\mu_V(\alpha)\mu_V(\beta)$$

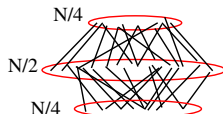
- Observables identical to those of classical transitive percolation.
- Open questions:
  - Is it enough to get some if not all observables?
  - What fundamental principles should we choose?

# Continuum-Inspired Approach

- Covariant sum-over-histories formulation:

$$Z = \sum_{C \in \Omega} A(C), \quad \text{eg : } A(C) = \exp^{iS(C)}$$

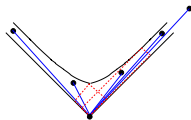
- In the  $N \rightarrow \infty$  limit,  $\Omega$  is dominated ( $\sim e^{N^2/4}$ ) by the 3-level **Kleitman-Rothschild** causal sets.



- Entropy v/s action: Can spacetime emerge from the theory?

# The Benincasa-Dowker Action

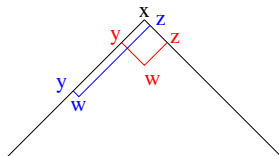
- What is the nearest neighbour of an event?



Eg: Minkowski causal set has an infinite valency.

- Scalar field in a slowly varying frame:

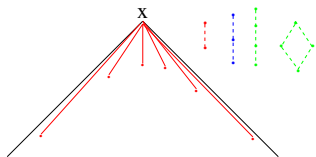
$$\square\phi(x) \sim \phi(x) - \phi(y) - \phi(z) + \phi(w)$$



# The Benincasa-Dowker Action

$$\begin{aligned}
 B\phi(x) &= \frac{4}{l_p^2} \left[ -\frac{1}{2}\phi(x) + \left( \sum_{y \in N_1^-(x)} -2 \sum_{y \in N_2^-(x)} + \sum_{y \in N_3^-(x)} \right) \phi(y) \right] \\
 &= \frac{4}{\sqrt{6}l_p^2} \left[ -\phi(x) + \left( \sum_{y \in N_1^-(x)} -9 \sum_{y \in N_2^-(x)} + 16 \sum_{y \in N_3^-(x)} -8 \sum_{y \in N_4^-(x)} \right) \phi(y) \right],
 \end{aligned}$$

– R. Sorkin, gr-qc/0703099, D. Benincasa and F. Dowker PRL, 2010



• For curved spacetime:  $\lim_{l \rightarrow 0} B\phi(x) = (\square - \frac{1}{2}R(x))\phi(x)$

•  $\frac{1}{\hbar} S^{(2)}[C] = N - 2N_1 + 4N_2 - 2N_3$

$\frac{1}{\hbar} S^{(4)}[C] = N - N_1 + 9N_2 - 16N_3 + 8N_4$

# The Mesoscale

- Need to Introduce an intermediate scale  $l_k \gg l_p$  to dampen fluctuations.
- Gives rise to a family of actions:

$$S(\epsilon)/\hbar = \epsilon^2 \times \left( N - 2\epsilon^2 \sum_{n=0}^{N-2} f(n, \epsilon) \right)$$

$$\epsilon = l_p/l_k \in [0, 1]$$

$$f(n, \epsilon) = (1 - \epsilon)^n - 2\epsilon n(1 - \epsilon)^{n-1} + \frac{1}{2}\epsilon^2 n(n-1)(1 - \epsilon)^{n-2} \quad (1)$$

- As  $\epsilon \rightarrow 1$ , recover the Benincasa-Dowker Action.

# Wick Rotation and the Thermodynamic Partition Function

- Wick Rotation:

- $S_1[\zeta, C] = \zeta N - \zeta^{-1} 2N_1 / + \zeta 4N_2 - \zeta^{-1} 2N_3$

- $\zeta \rightarrow i\zeta \Rightarrow iS_1[\zeta, C] \rightarrow S_1^E[\zeta, C] = -(\zeta N + \zeta^{-1} 2N_1 / + \zeta 4N_2 + \zeta^{-1} 2N_3)$

- $\zeta \times S_2[C] = \zeta(N - 2N_1 / + 4N_2 - 2N_3)$

- $\zeta \rightarrow i\zeta \Rightarrow i\zeta S_2[C] \rightarrow -\zeta S_2^E[C]$

- Space of Configurations  $\Omega$  is still “Lorentzian”.

$$Z_1 = \sum_{C \in \Omega} e^{S_1^E[C, \zeta]} \quad Z_2 = \sum_{C \in \Omega} e^{-\zeta S_2^E[C]}$$

– with J. Henson, D. Rideout, R. Sorkin

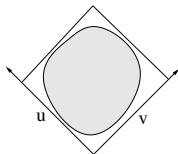
# Markov Chain MonteCarlo Methods

- Link Move:
  - Pick a pair  $x, y \in C$
  - If  $x \prec y$ 
    - if  $x \prec_L y$ , then remove the link
    - Else do nothing
  - If  $x, y$  are unrelated, and “suitable”, then add a link.

If  $z \prec w$  such that  $z \prec x$  and  $y \prec w$ , then they are unsuitable. (Eg. of a kinematical rejection)
- This move equilibrates and can reproduce the Uniform Distribution
- Problem with KR posets: the moves are not efficient enough for these.

## 2D Causal set QG – A Simpler Problem

- Interval spacetime:  $g_{ab}dx^a dx^b = -\Omega^2(u, v) du dv$ .



- The Causal Set Analogue:  $U = \{u_1, u_2, \dots, u_N\}$  and  $V = \{v_1, v_2, \dots, v_N\}$

$$x \prec y \Leftrightarrow u(x) < u(y) \quad \text{and} \quad v(x) < v(y)$$

$\Phi(C) = U \cap V$  is a 2D ORDER

All causal sets that faithfully embed into interval spacetimes are 2D orders.

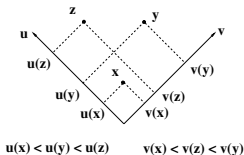
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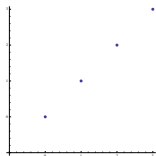
– with G. Brightwell and J. Henson

# MonteCarlo for 2D orders

- $Z = \sum_{2Dorders} \exp(-\zeta S_{2D}^E(\epsilon)).$
- The Move:
  - $U = (u_1, u_2, \dots u_i, \dots u_j, \dots u_N), V = (v_1, v_2, \dots v_i, \dots v_j, \dots v_N)$
  - Pick a pair  $(u_i, v_i)$  and  $(u_j, v_j)$  at random and exchange:  $u_i \leftrightarrow u_j$
  - $U' = (u_1, u_2, \dots u_j, \dots u_i, \dots u_N), V' = (v_1, v_2, \dots v_i, \dots v_j, \dots v_N)$

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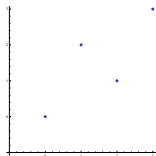
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- (1)  $U = (1, 2, 3, 4), V = (1, 2, 3, 4)$  – The 4-Chain



- (2) Exchange:  $u_2 \leftrightarrow u_3$

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  - $U = (u_1, u_2, \dots, u_i, \dots, u_j, \dots, u_N), V = (v_1, v_2, \dots, v_i, \dots, v_j, \dots, v_N)$
  - Pick a pair  $(u_i, v_i)$  and  $(u_j, v_j)$  at random and exchange:  $u_i \leftrightarrow u_j$
  - $U' = (u_1, u_2, \dots, u_j, \dots, u_i, \dots, u_N), V' = (v_1, v_2, \dots, v_i, \dots, v_j, \dots, v_N)$
- (3)  $U' = (1, 3, 2, 4), V' = (1, 2, 3, 4)$  – The Diamond

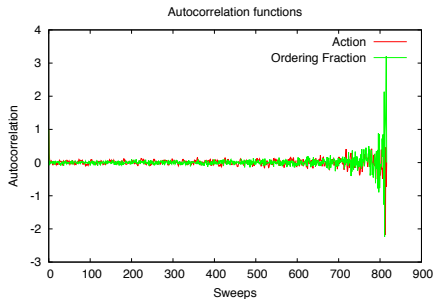


# Progress with Simulations

- $N = 50$  with about 800 sweeps.

Autocorrelation Function:  $\chi(t) = \int dt' (\mathcal{O}(t') - \langle \mathcal{O} \rangle)(\mathcal{O}(t' + t) - \langle \mathcal{O} \rangle)$

MonteCarlo Methods in Statistical Physics, Newman and Barkema

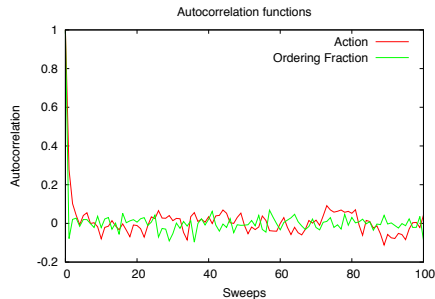


# Progress with Simulations

- $N = 50$  with about 800 sweeps.

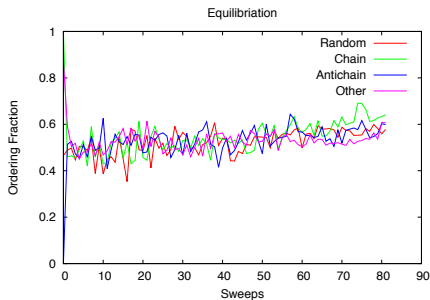
Autocorrelation Function:  $\chi(t) = \int dt' (\mathcal{O}(t') - \langle \mathcal{O} \rangle)(\mathcal{O}(t' + t) - \langle \mathcal{O} \rangle)$

MonteCarlo Methods in Statistical Physics, Newman and Barkema



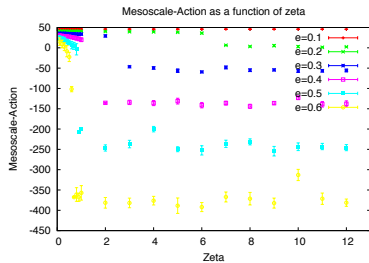
# Progress with Simulations

- $N = 50$  with about 800 sweeps.



# Progress with Simulations

- $N = 50$  with about 800 sweeps.
- Signs of a cross-over?





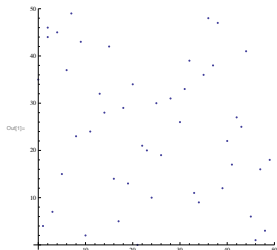
# Progress with Simulations

- $N = 50$  with about 800 sweeps.
- Signs of a cross-over?



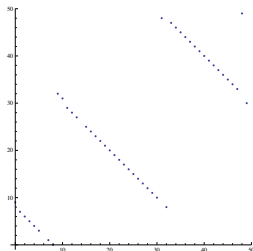
# Progress with Simulations

- $N = 50$  with about 800 sweeps.
- Signs of a cross-over?
- Small zeta Phase



## Progress with Simulations

- $N = 50$  with about 800 sweeps.
- Signs of a cross-over?
- Small zeta Phase
- Indications of a “crystalline” phase?



- What do we really get when we analytically continue back?
- Behaviour of cross over as a function of  $N$ .
- Other observables?