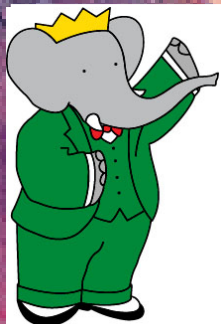


# The White Elephant: Upsilon Physics at the BaBar B-factory

[flickr.com/photos/shoshannabauer/2215305114/](https://www.flickr.com/photos/shoshannabauer/2215305114/)

**Stephen Sekula**  
*The Ohio State University*

*Presented at*  
*the University of California - Davis*  
*October 21, 2008*



**BABAR**

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T · H · E  
**OHIO**  
**STATE**  
UNIVERSITY

# Programme

- **The bottomonium system: prospects for discovery**
- **The BaBar/PEP-II ~~B~~-factory**

$$B^0 = (\bar{b} d)$$

$$B^+ = (\bar{b} u)$$

$$\Upsilon = (\bar{b} b)$$

- **A matter of QCD – the  $\eta_b$**
- **A matter of new physics – the light Higgs**
- **Prospects for further discovery**

# The Bottomonium System: Prospects for Discovery

1977

16 authors

Observation of a Dimuon Resonance at 9.5 GeV in 400-GeV Proton-Nucleus Collisions

S. W. Herb, D. C. Hom, L. M. Lederman, J. C. Sens,<sup>(a)</sup> H. D. Snyder, and J. K. Yoh  
*Columbia University, New York, New York 10027*

and

J. A. Appel, B. C. Brown, C. N. Brown, W. R. Innes, K. Ueno, and T. Yamanouchi  
*Fermi National Accelerator Laboratory, Batavia, Illinois 60510*

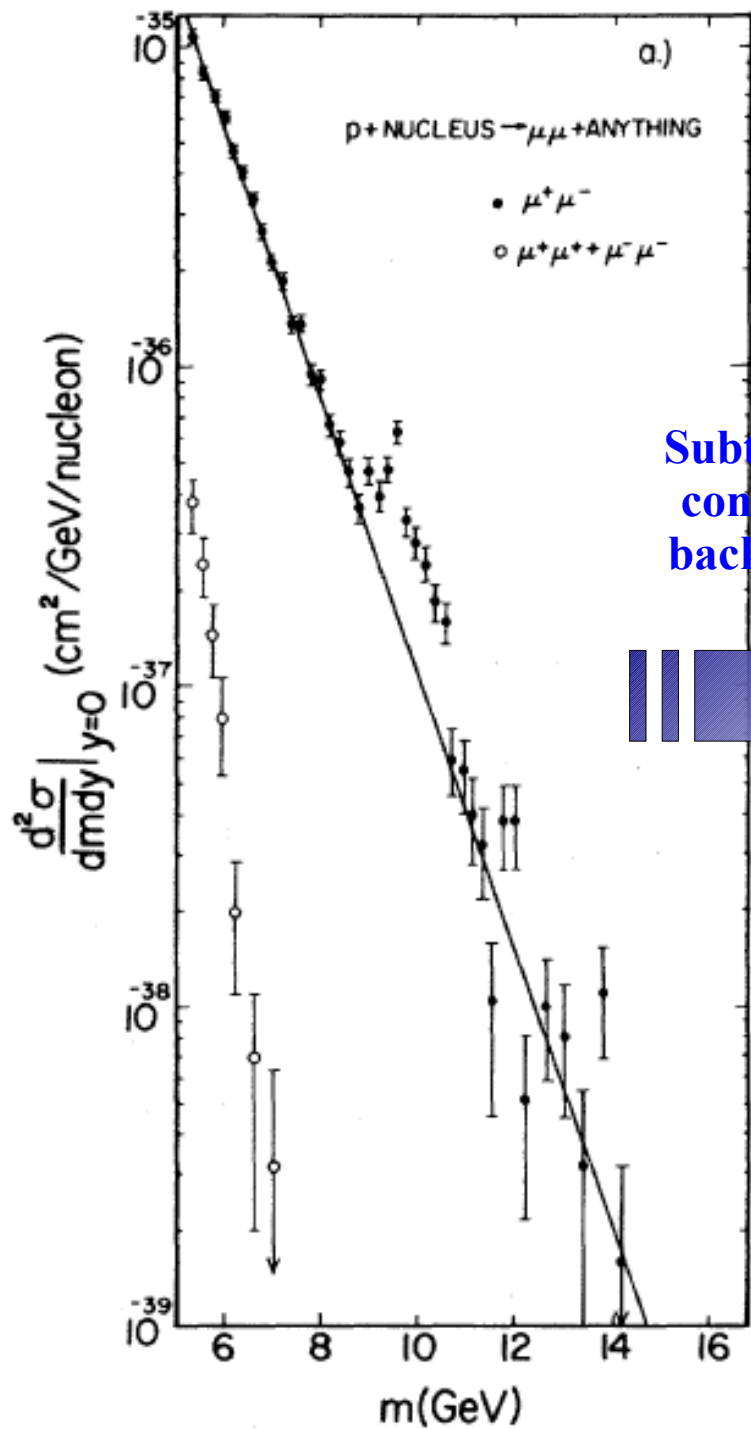
and

A. S. Ito, H. Jöstlein, D. M. Kaplan, and R. D. Kephart  
*State University of New York at Stony Brook, Stony Brook, New York 11974*

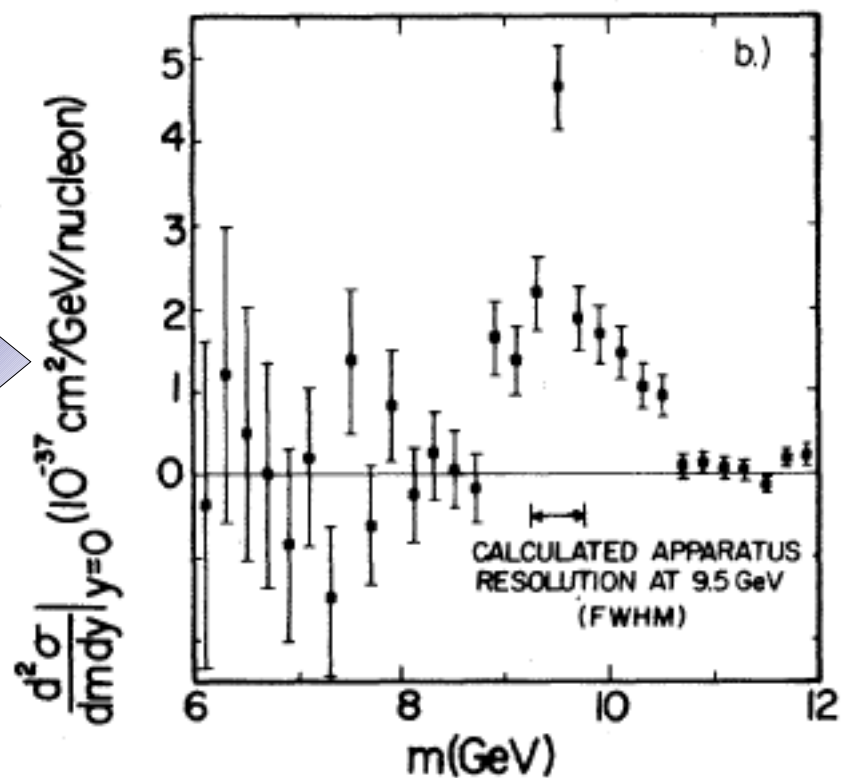
(Received 1 July 1977)

Accepted without review at the request of Edwin L. Goldwasser under policy announced 26 April 1976

Dimuon production is studied in 400-GeV proton-nucleus collisions. A strong enhancement is observed at 9.5 GeV mass in a sample of 9000 dimuon events with a mass  $m_{\mu^+\mu^-} > 5$  GeV.



Subtract the  
continuum  
background



*The Upsilon is discovered, and  
identified as the first resonance of a  
new quark – the bottom quark*

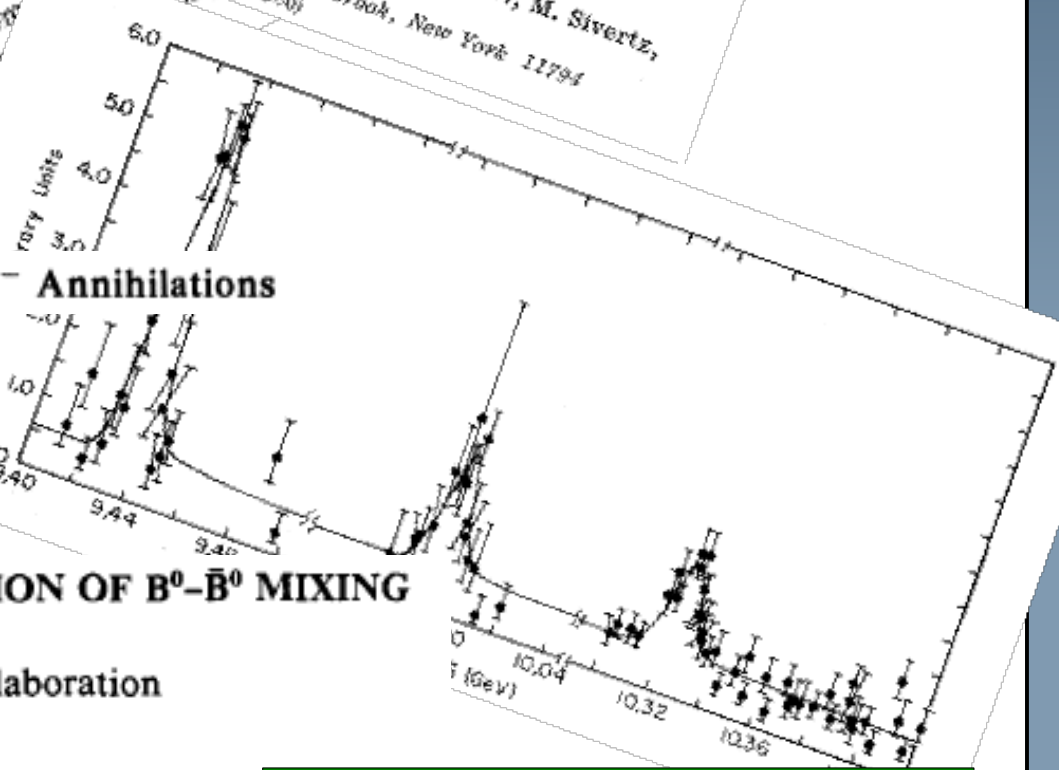
# $T(9.5)$ as Bound States of New

Stanford Linear Accelerator Center, Stanford University,  
 C. E. Carlson  
 and  
 R. Souza  
 Stanford Linear Accelerator Center, Stanford University,  
 McGill University, Montreal, Quebec H3C  
 (Received 3 August 1977)

show that the observed enhanced  
 and subsequent cascade decays  
 bound states of  $\psi'$

## Observation of $Y, Y',$ and $Y''$ at the Cornell Electron Storage Ring

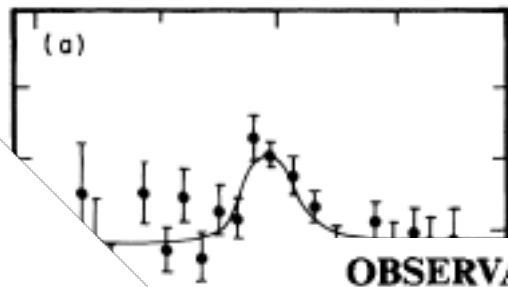
T. Böhringer, F. Costantini,<sup>(a)</sup> J. Dobbins, P. Franzini, K. Han, S. W. Herb, D. M. Kaplan,  
 L. M. Lederman,<sup>(b)</sup> G. Mageras, D. Peterson, E. Rice, and J. K. Yeh  
 Columbia University, New York, New York 10027  
 and  
 G. Finocchiaro, J. Lee-Franzini, R. D. Schamberger, Jr., M. Sivertz,  
 L. J. Spencer, and P. M. Tuts  
 The State University of New York at Stony Brook, Stony Brook, New York 11794  
 (Received 16 February 1980)



## Observation of a Fourth Upsilon State in $e^+e^-$ Annihilations

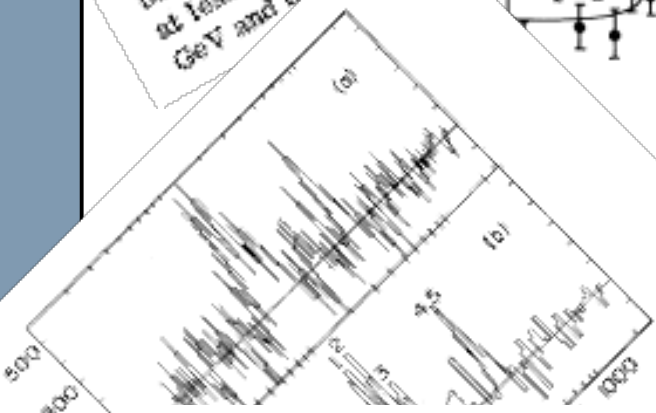
Stanford  
 and Physics

The cascade-gluon  
 be interpreted as  $\psi'$   
 at least two and  $\psi''$   
 GeV and ch.



## OBSERVATION OF $B^0-\bar{B}^0$ MIXING

ARGUS Collaboration



## Observation of the Lowest P-Wave $b\bar{b}$ Bound States

the  $T(9.5)$ ,  
 lang sub-  
 photon sig.  
 with four  
 photons,  
 ally the ph

Stephen Sekula - OSU

The floodgates opened,  
 ushering in 30 years of  
 discovery!

2007



**$\Upsilon(3S)$**   $I^G(J^{PC}) = 0^-(1^{--})$

**$\Upsilon(3S)$  MASS**

VALUE (GeV)	DOCUMENT ID	TECN	COMMENT
<b>10.3582 ± 0.0005</b>	<sup>1</sup> ARTAMONOV 00	MD1	$e^+e^- \rightarrow$ hadrons
••• We do not use the following data for averages, fits, limits, etc. •••			
10.3553 ± 0.0005	<sup>2,3</sup> BARU	86B REDE	$e^+e^- \rightarrow$ hadrons
<sup>1</sup> Reanalysis of BARU 86B using new electron mass (COHEN 87).			
<sup>2</sup> Reanalysis of ARTAMONOV 00.			
<sup>3</sup> Superseded by ARTAMONOV 00.			

**$\Upsilon(3S)$  WIDTH**

VALUE (keV)	DOCUMENT ID	COMMENT
<b>20.32 ± 1.95 OUR EVALUATION</b>		See the Note on "Width Determinations of the $\Upsilon$ States"

**$\Upsilon(3S)$  DECAY MODES**

Mode	Fraction ( $\Gamma_i/\Gamma$ )	Scale factor/ Confidence level
$\Gamma_1$ $\Upsilon(3S)$ anything	(10.6 ± 0.8) %	
$\Gamma_2$ $\Upsilon(2S)\pi^+\pi^-$	(2.8 ± 0.6) %	S=2.2
$\Gamma_3$ $\Upsilon(2S)\pi^0\pi^0$	(2.00 ± 0.32) %	
$\Gamma_4$ $\Upsilon(2S)\gamma\gamma$	(5.0 ± 0.7) %	
$\Gamma_5$ $\Upsilon(1S)\pi^+\pi^-$	(4.48 ± 0.21) %	
$\Gamma_6$ $\Upsilon(1S)\pi^0\pi^0$	(2.06 ± 0.28) %	
$\Gamma_7$ $\Upsilon(1S)\eta$	< 2.2 × 10 <sup>-3</sup>	CL=90%
$\Gamma_8$ $\tau^+\tau^-$	(2.29 ± 0.30) %	
$\Gamma_9$ $\mu^+\mu^-$	(2.18 ± 0.21) %	S=2.1
$\Gamma_{10}$ $e^+e^-$	seen	
<b>Radiative decays</b>		
$\Gamma_{11}$ $\gamma\chi_{b2}(2P)$	(13.1 ± 1.6) %	S=3.4
$\Gamma_{12}$ $\gamma\chi_{b1}(2P)$	(12.6 ± 1.2) %	S=2.4
$\Gamma_{13}$ $\gamma\chi_{b0}(2P)$	(5.9 ± 0.6) %	S=1.4
$\Gamma_{14}$ $\gamma\chi_{b0}(1P)$	(3.0 ± 1.1) × 10 <sup>-3</sup>	
$\Gamma_{15}$ $\gamma\eta_b(2S)$	< 6.2 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{16}$ $\gamma\eta_b(1S)$	< 4.3 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{17}$ $\gamma X \rightarrow \gamma + \geq 4$ prongs	[a] < 2.2 × 10 <sup>-4</sup>	CL=95%

[a] 1.5 GeV <  $m_X$  < 5.0 GeV

**$\Upsilon(2S)$**   $I^G(J^{PC}) = 0^-(1^{--})$

**$\Upsilon(2S)$  MASS**

VALUE (GeV)	DOCUMENT ID	TECN	COMMENT
<b>10.02326 ± 0.00031 OUR AVERAGE</b>			
10.0235 ± 0.0005	<sup>1</sup> ARTAMONOV 00	MD1	$e^+e^- \rightarrow$ hadrons
10.0231 ± 0.0004	BARBER 84	REDE	$e^+e^- \rightarrow$ hadrons
••• We do not use the following data for averages, fits, limits, etc. •••			
10.0236 ± 0.0005	<sup>2,3</sup> BARU	86B REDE	$e^+e^- \rightarrow$ hadrons
<sup>1</sup> Reanalysis of BARU 86B using new electron mass (COHEN 87).			
<sup>2</sup> Reanalysis of ARTAMONOV 00.			
<sup>3</sup> Superseded by ARTAMONOV 00.			

**$\Upsilon(2S)$  WIDTH**

VALUE (keV)	DOCUMENT ID	COMMENT
<b>31.98 ± 2.63 OUR EVALUATION</b>		See the Note on "Width Determinations of the $\Upsilon$ States"

**$\Upsilon(2S)$  DECAY MODES**

Mode	Fraction ( $\Gamma_i/\Gamma$ )	Scale factor/ Confidence level
$\Gamma_1$ $\Upsilon(1S)\pi^+\pi^-$	(18.8 ± 0.6) %	
$\Gamma_2$ $\Upsilon(1S)\pi^0\pi^0$	(9.0 ± 0.8) %	
$\Gamma_3$ $\tau^+\tau^-$	(2.00 ± 0.21) %	
$\Gamma_4$ $\mu^+\mu^-$	(1.93 ± 0.17) %	S=2.2
$\Gamma_5$ $e^+e^-$	(1.91 ± 0.16) %	
$\Gamma_6$ $\Upsilon(1S)\pi^0$	< 1.1 × 10 <sup>-3</sup>	CL=90%
$\Gamma_7$ $\Upsilon(1S)\eta$	< 2 × 10 <sup>-3</sup>	CL=90%
$\Gamma_8$ $J/\psi(1S)$ anything	< 6 × 10 <sup>-3</sup>	CL=90%
$\Gamma_9$ $d$ anything	(3.4 ± 0.6) × 10 <sup>-5</sup>	
$\Gamma_{10}$ hadrons	(94 ± 11) %	
<b>Radiative decays</b>		
$\Gamma_{11}$ $\gamma\chi_{b1}(1P)$	(6.9 ± 0.4) %	
$\Gamma_{12}$ $\gamma\chi_{b2}(1P)$	(7.15 ± 0.35) %	
$\Gamma_{13}$ $\gamma\chi_{b0}(1P)$	(3.8 ± 0.4) %	
$\Gamma_{14}$ $\gamma f_0(1710)$	< 5.9 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{15}$ $\gamma f_2'(1525)$	< 5.3 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{16}$ $\gamma f_2(1270)$	< 2.41 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{17}$ $\gamma f_2(2220)$		
$\Gamma_{18}$ $\gamma\eta_b(1S)$	< 5.1 × 10 <sup>-4</sup>	CL=90%
$\Gamma_{19}$ $\gamma X \rightarrow \gamma + \geq 4$ prongs	[a] < 1.95 × 10 <sup>-4</sup>	CL=95%

**$\Upsilon(1S)$**   $I^G(J^{PC}) = 0^-(1^{--})$

**$\Upsilon(1S)$  MASS**

VALUE (GeV)	DOCUMENT ID	TECN	COMMENT
<b>9460.30 ± 0.36 OUR AVERAGE</b>			Error includes scale factor of 3.3.
9460.51 ± 0.09 ± 0.05	<sup>1</sup> ARTAMONOV 00	MD1	$e^+e^- \rightarrow$ hadrons
9459.97 ± 0.11 ± 0.07	MACKAY 84	REDE	$e^+e^- \rightarrow$ hadrons
••• We do not use the following data for averages, fits, limits, etc. •••			
9460.60 ± 0.09 ± 0.05	<sup>2,3</sup> BARU	92B REDE	$e^+e^- \rightarrow$ hadrons
9460.39 ± 0.12	BARU 86	REDE	$e^+e^- \rightarrow$ hadrons
9460.0 ± 0.4	<sup>3,4</sup> ARTAMONOV 84	REDE	$e^+e^- \rightarrow$ hadrons
<sup>1</sup> Reanalysis of BARU 92B and ARTAMONOV 84 using new electron mass (COHEN 87).			
<sup>2</sup> Superseding BARU 86.			
<sup>3</sup> Superseded by ARTAMONOV 00.			
<sup>4</sup> Value includes data of ARTAMONOV 82.			

**$\Upsilon(1S)$  WIDTH**

VALUE (keV)	DOCUMENT ID	COMMENT
<b>54.02 ± 1.75 OUR EVALUATION</b>		See the Note on "Width Determinations of the $\Upsilon$ States"

**$\Upsilon(1S)$  DECAY MODES**

Mode	Fraction ( $\Gamma_i/\Gamma$ )	Confidence level
$\Gamma_1$ $\tau^+\tau^-$	(2.60 ± 0.10) %	
$\Gamma_2$ $e^+e^-$	(2.38 ± 0.11) %	
$\Gamma_3$ $\mu^+\mu^-$	(2.48 ± 0.05) %	
<b>Hadronic decays</b>		
$\Gamma_4$ $\eta(958)$ anything	(2.94 ± 0.24) %	
$\Gamma_5$ $J/\psi(1S)$ anything	(6.5 ± 0.7) × 10 <sup>-4</sup>	
$\Gamma_6$ $\chi_{c0}$ anything	< 5 × 10 <sup>-3</sup>	90%
$\Gamma_7$ $\chi_{c1}$ anything	(2.3 ± 0.7) × 10 <sup>-4</sup>	
$\Gamma_8$ $\chi_{c2}$ anything	(3.4 ± 1.0) × 10 <sup>-4</sup>	
$\Gamma_9$ $\psi(2S)$ anything	(2.7 ± 0.9) × 10 <sup>-4</sup>	
$\Gamma_{10}$ $\rho\pi$	< 2 × 10 <sup>-4</sup>	90%
$\Gamma_{11}$ $\pi^+\pi^-$	< 5 × 10 <sup>-4</sup>	90%
$\Gamma_{12}$ $K^+K^-$	< 5 × 10 <sup>-4</sup>	90%
$\Gamma_{13}$ $\rho\pi$	< 5 × 10 <sup>-4</sup>	90%
$\Gamma_{14}$ $\pi^0\pi^+\pi^-$	< 1.84 × 10 <sup>-5</sup>	90%
$\Gamma_{15}$ $D^*(2010)^\pm$ anything		
$\Gamma_{16}$ $d$ anything	(2.86 ± 0.28) × 10 <sup>-5</sup>	

**$\Upsilon(1S)$  DECAY MODES (continued)**

Mode	Fraction ( $\Gamma_i/\Gamma$ )	Confidence level
<b>Radiative decays</b>		
$\Gamma_{17}$ $\gamma\pi^+\pi^-$	(6.3 ± 1.8) × 10 <sup>-5</sup>	
$\Gamma_{18}$ $\gamma\pi^0\pi^0$	(1.7 ± 0.7) × 10 <sup>-5</sup>	
$\Gamma_{19}$ $\gamma\pi^0\eta$	< 2.4 × 10 <sup>-6</sup>	90%
$\Gamma_{20}$ $K^+K^-$ with $2 < m_{K^+K^-} < 3$ GeV	(1.14 ± 0.13) × 10 <sup>-5</sup>	
<b>GeV</b>		
$\Gamma_{21}$ $\gamma\rho\pi$ with $2 < m_{\rho\pi} < 3$ GeV	< 6 × 10 <sup>-6</sup>	90%
$\Gamma_{22}$ $\gamma 2\pi^+ 2\pi^-$	(7.0 ± 1.5) × 10 <sup>-4</sup>	
$\Gamma_{23}$ $\gamma 3\pi^+ 3\pi^-$	(5.4 ± 2.0) × 10 <sup>-4</sup>	
$\Gamma_{24}$ $\gamma 4\pi^+ 4\pi^-$	(7.4 ± 3.5) × 10 <sup>-4</sup>	
$\Gamma_{25}$ $\gamma\pi^+\pi^-K^+K^-$	(2.9 ± 0.9) × 10 <sup>-4</sup>	
$\Gamma_{26}$ $\gamma 2\pi^+ 2\pi^-$	(2.5 ± 0.9) × 10 <sup>-4</sup>	
$\Gamma_{27}$ $\gamma 3\pi^+ 3\pi^-$	(2.5 ± 1.2) × 10 <sup>-4</sup>	
$\Gamma_{28}$ $\gamma 2\pi^+ 2\pi^- K^+K^-$	(2.4 ± 1.2) × 10 <sup>-4</sup>	
$\Gamma_{29}$ $\gamma\pi^+\pi^-\rho\pi$	(1.5 ± 0.6) × 10 <sup>-4</sup>	
$\Gamma_{30}$ $\gamma 2\pi^+ 2\pi^-\rho\pi$	(4 ± 6) × 10 <sup>-5</sup>	
$\Gamma_{31}$ $\gamma 2K^+ 2K^-$	(2.0 ± 2.0) × 10 <sup>-5</sup>	
$\Gamma_{32}$ $\gamma\eta(958)$	< 1.9 × 10 <sup>-6</sup>	90%
$\Gamma_{33}$ $\eta\eta$	< 1.0 × 10 <sup>-6</sup>	90%
$\Gamma_{34}$ $\gamma f_0(980)$	< 3 × 10 <sup>-5</sup>	90%
$\Gamma_{35}$ $\gamma f_2'(1525)$	(3.7 ± 1.1) × 10 <sup>-5</sup>	
$\Gamma_{36}$ $\gamma f_2(1270)$	(1.01 ± 0.09) × 10 <sup>-4</sup>	
$\Gamma_{37}$ $\gamma\eta(1405)$	< 9.2 × 10 <sup>-5</sup>	90%
$\Gamma_{38}$ $\gamma f_0(1500)$	< 1.5 × 10 <sup>-5</sup>	90%
$\Gamma_{39}$ $\gamma f_0(1710)$	< 2.6 × 10 <sup>-4</sup>	90%
$\Gamma_{40}$ $\gamma f_0(1710) \rightarrow \gamma K^+K^-$	< 7 × 10 <sup>-6</sup>	90%
$\Gamma_{41}$ $\gamma f_0(1710) \rightarrow \gamma\pi^0\pi^0$	< 1.4 × 10 <sup>-6</sup>	90%
$\Gamma_{42}$ $\gamma f_0(1710) \rightarrow \gamma\eta\eta$	< 1.8 × 10 <sup>-6</sup>	90%
$\Gamma_{43}$ $\gamma f_0(2050)$	< 3.3 × 10 <sup>-5</sup>	90%
$\Gamma_{44}$ $\gamma f_0(2200) \rightarrow \gamma K^+K^-$	< 2 × 10 <sup>-4</sup>	90%
$\Gamma_{45}$ $\gamma f_2(2220) \rightarrow \gamma K^+K^-$	< 8 × 10 <sup>-7</sup>	90%
$\Gamma_{46}$ $\gamma f_2(2220) \rightarrow \gamma\pi^+\pi^-$	< 6 × 10 <sup>-7</sup>	90%
$\Gamma_{47}$ $\gamma f_2(2220) \rightarrow \gamma\rho\pi$	< 1.1 × 10 <sup>-6</sup>	90%
$\Gamma_{48}$ $\eta\eta(2225) \rightarrow \gamma\phi\phi$	< 3 × 10 <sup>-3</sup>	90%
$\Gamma_{49}$ $\gamma X$	[a] < 3 × 10 <sup>-5</sup>	90%
$\Gamma_{50}$ $\gamma X\bar{X}$	[b] < 1 × 10 <sup>-3</sup>	90%
$\Gamma_{51}$ $\gamma X \rightarrow \gamma + \geq 4$ prongs	[c] < 1.78 × 10 <sup>-4</sup>	95%
<b>Other decays</b>		
$\Gamma_{52}$ invisible	< 2.5 × 10 <sup>-3</sup>	90%

**The RPP 2006 summary tables for the Upsilon states below  $B\bar{B}$  threshold take up 4 pages – less than 50% of the allowed decays are known**

For inclusive branching fractions, e.g.  $B \rightarrow D^0$  anything,  $\theta$  usually are multiplicities, not branching fractions. They can be chosen one.

Table with 2 columns: Mode and Fraction (F<sub>1</sub>/F). Rows include various meson decays like  $D^0 \rightarrow \pi^+ \pi^-$ ,  $D^0 \rightarrow \pi^+ \pi^- \pi^0$ , etc.

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Table with 2 columns: Mode and Fraction (F<sub>1</sub>/F). Rows include various meson decays like  $D^0 \rightarrow \pi^+ \pi^-$ ,  $D^0 \rightarrow \pi^+ \pi^- \pi^0$ , etc.

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Table with 2 columns: Mode and Fraction (F<sub>1</sub>/F). Rows include various meson decays like  $D^0 \rightarrow \pi^+ \pi^- \pi^0 \pi^0$ ,  $D^0 \rightarrow \pi^+ \pi^- \pi^0 \pi^0 \pi^0$ , etc.

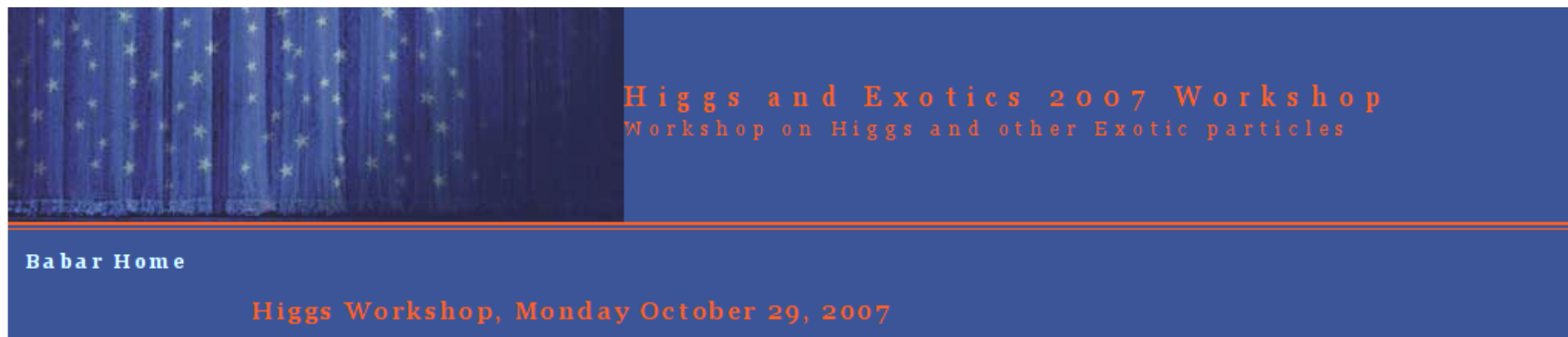
By contrast, just the B<sup>0</sup> meson summary tables fill 10 pages of the RPP 2006

# The case for BaBar taking data at one of the narrow Upsilon resonances built over time, and involved the whole collaboration. Here are just a few snapshots . . .

June Collaboration Meeting, 2007

Ideas for searching for a low-mass Higgs [\(pdf\)](#) [\(ppt\)](#) [\(video\)](#)

October, 2007



December Collaboration Meeting, 2007

	Run Strategy
17:30-17:40	Upsilon (3S) SM Physics <a href="#">(pdf)</a> <a href="#">(ppt)</a> <a href="#">(video)</a>
17:40-17:50	Upsilon (3S) non-SM Physics <a href="#">(pdf)</a> <a href="#">(ppt)</a> <a href="#">(video)</a>
17:50-18:10	Upsilon (5S) Physics <a href="#">(pdf)</a> <a href="#">(ppt)</a> <a href="#">(video)</a>
18:10-18:25	Off-resonance data <a href="#">(pdf)</a> <a href="#">(ppt)</a> <a href="#">(video)</a>

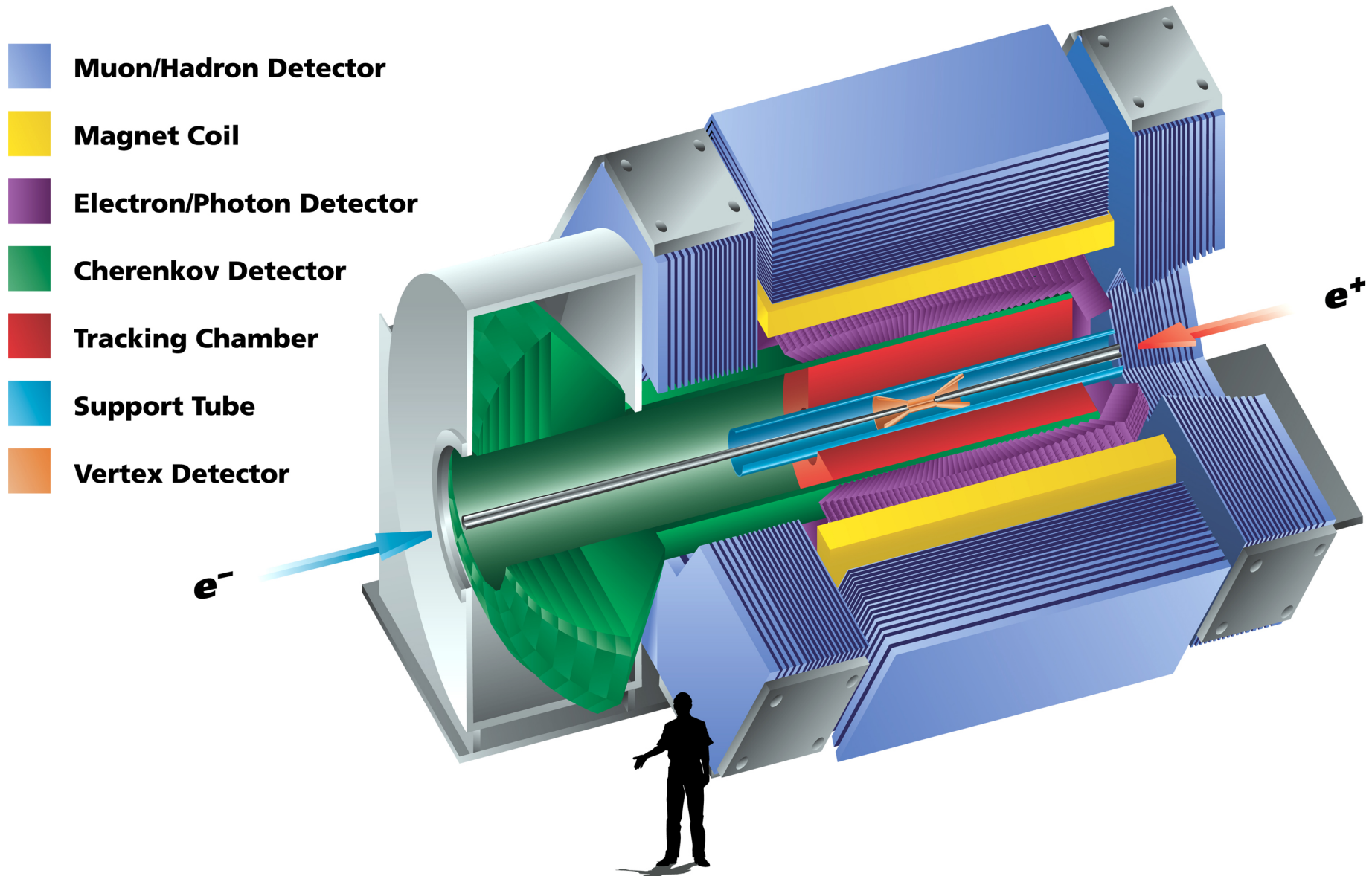
After December 17, 2007:

## The Physics Case for Running the B-factory at the $\Upsilon(3S)$ Resonance

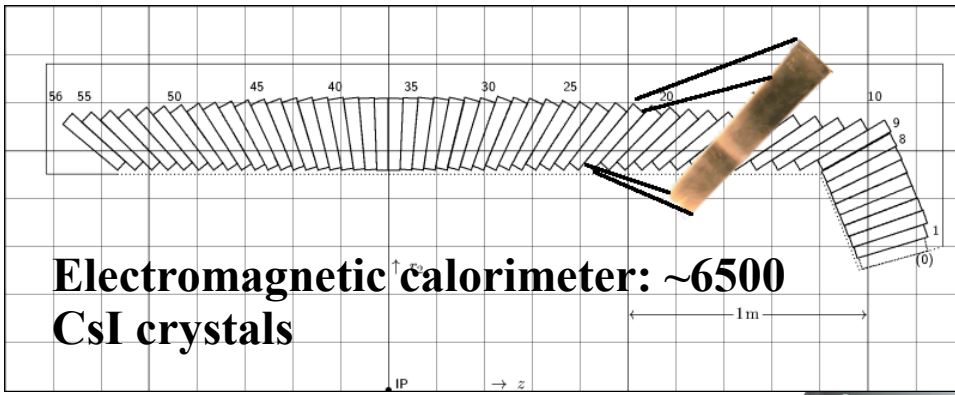
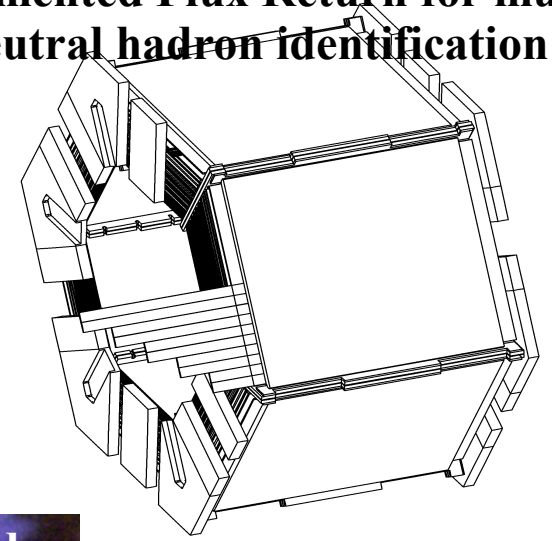
# The BaBar/PEP-II b-Factory



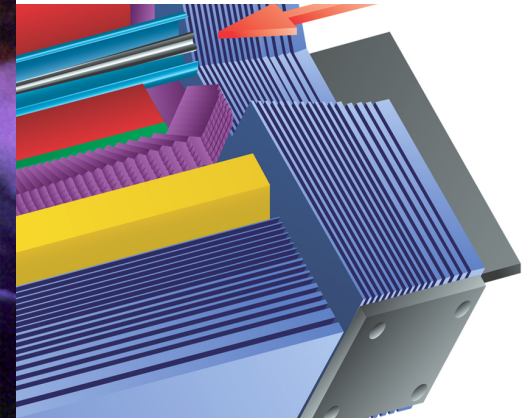
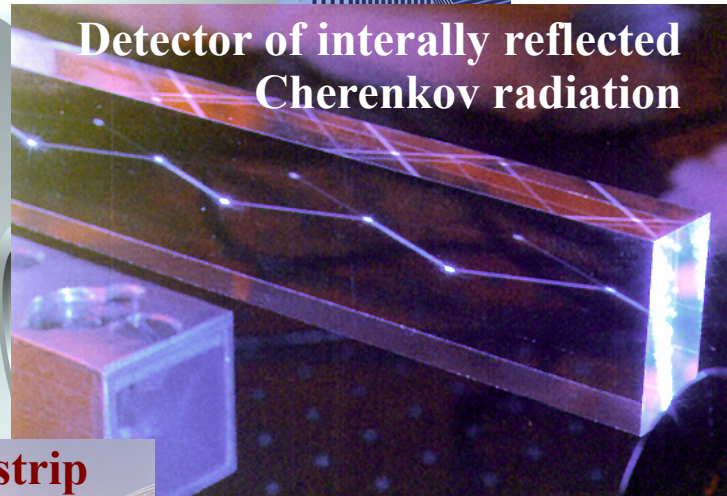
# BABAR Detector



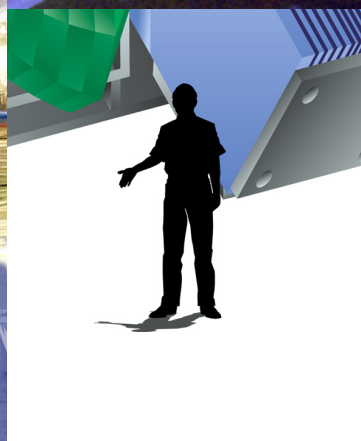
# Instrumented Flux Return for muon and neutral hadron identification



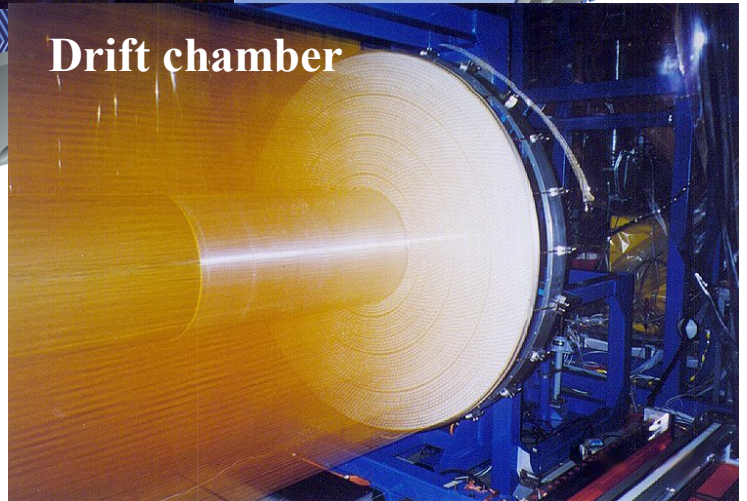
- Electron/Photon Detector
- Cherenkov Detector
- Tracking Chamber
- Support Tube
- Vertex Detector

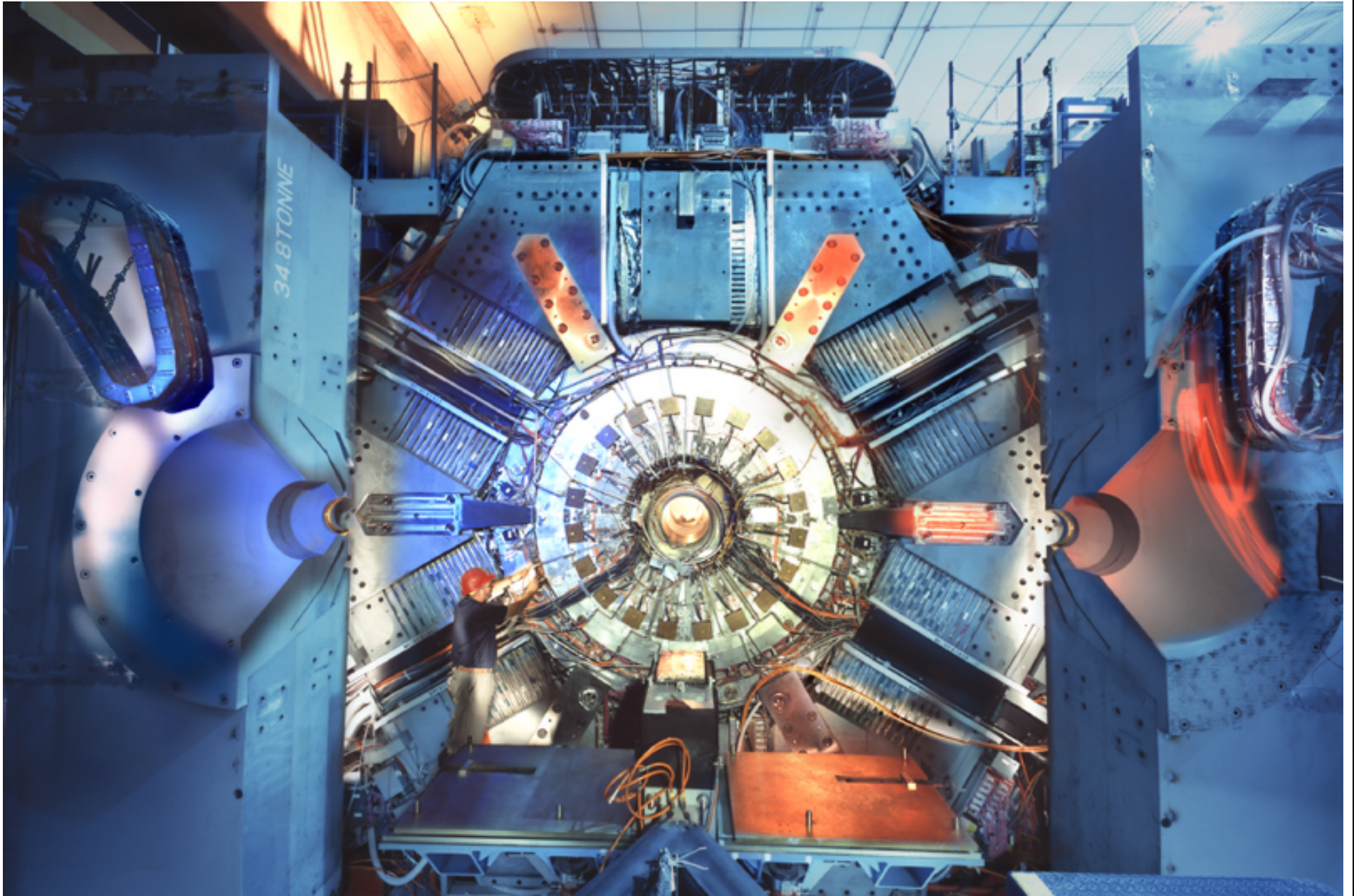


5-layer, double-sided silicon strip vertex tracker



Stephen Sekula - OSU

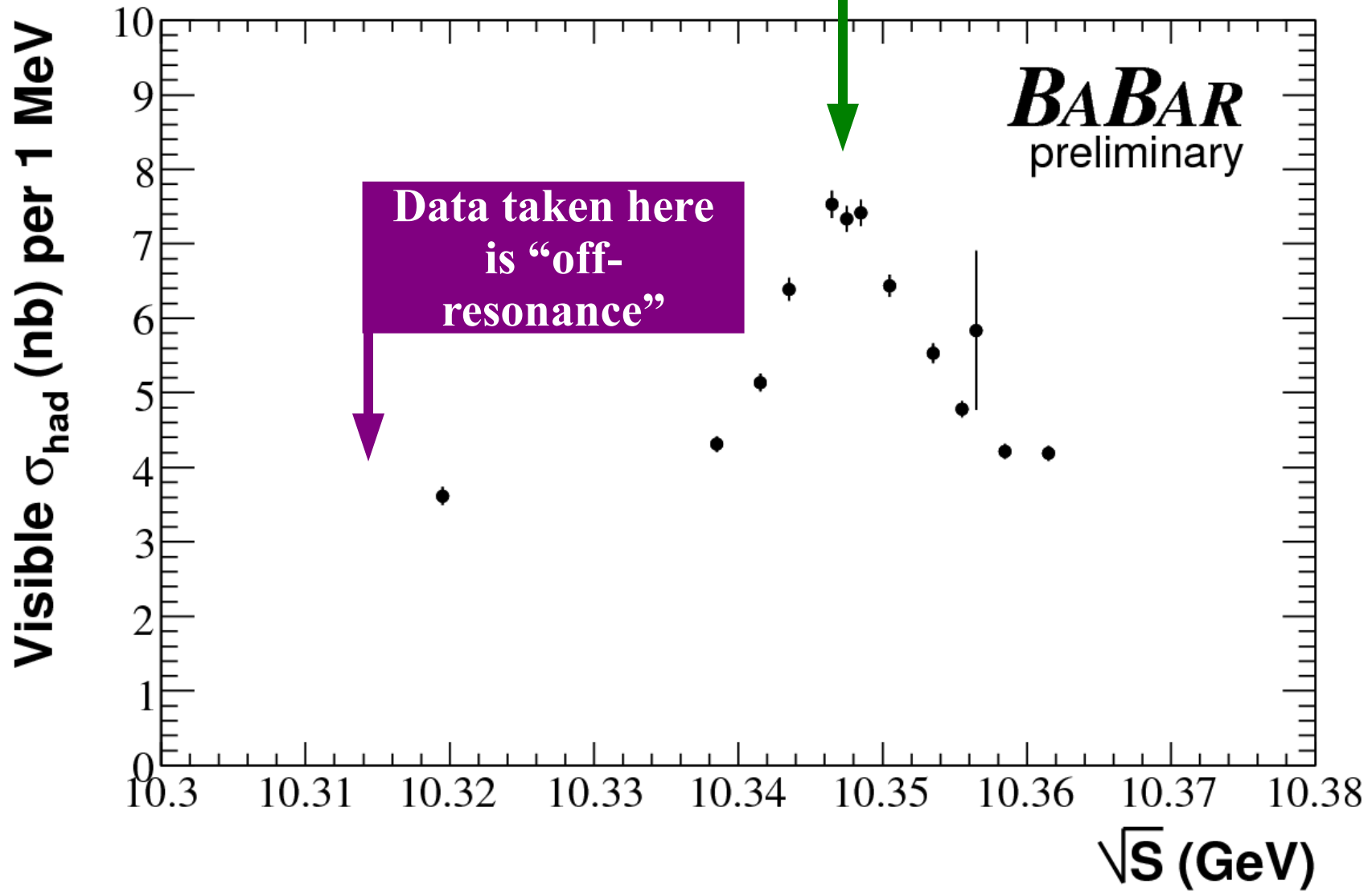


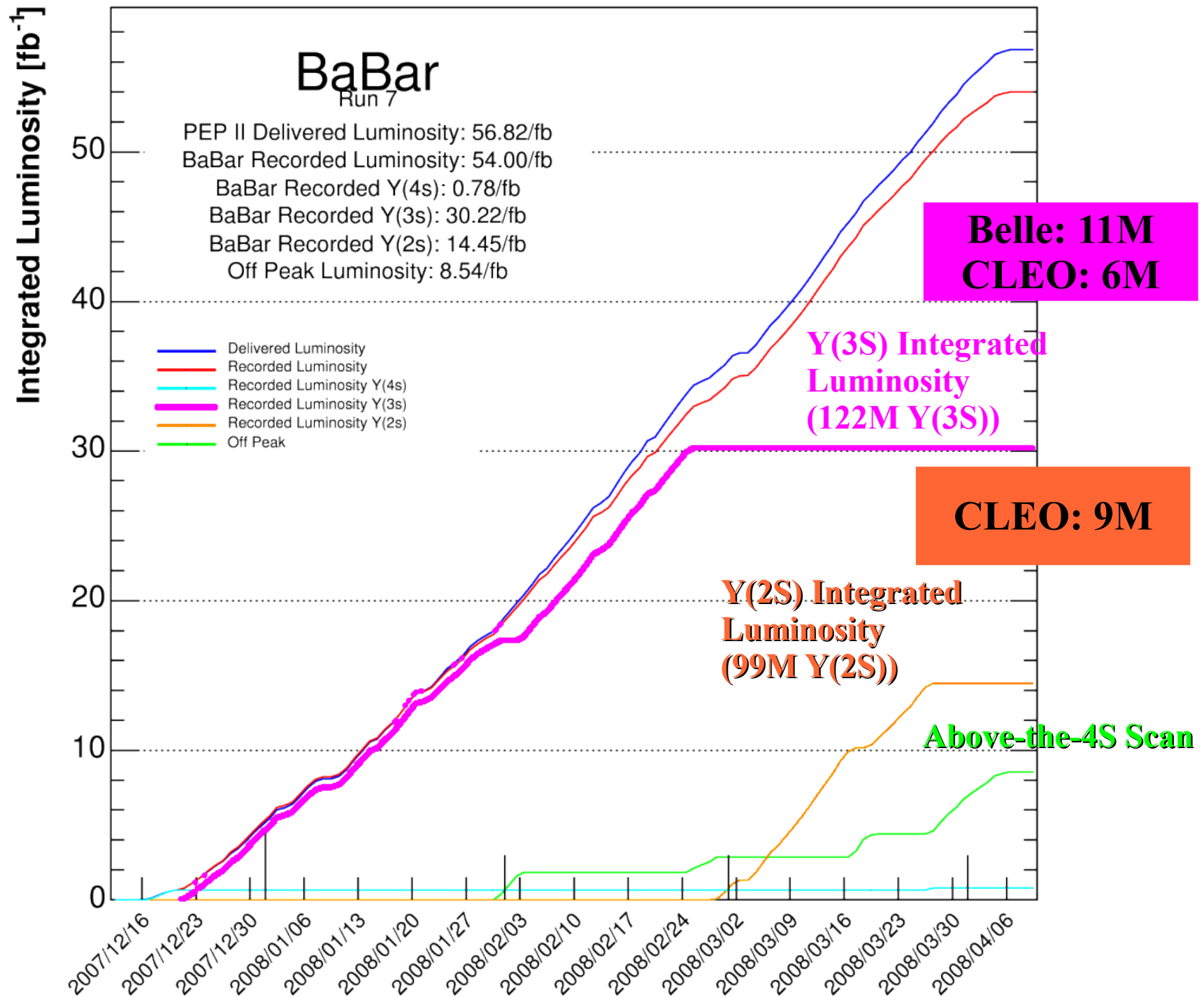




Scan Data from Dec. 22, 2007

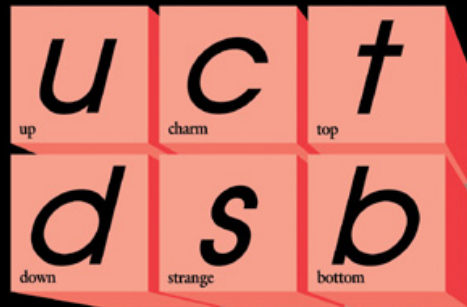
Data taken here is  
“on-resonance”



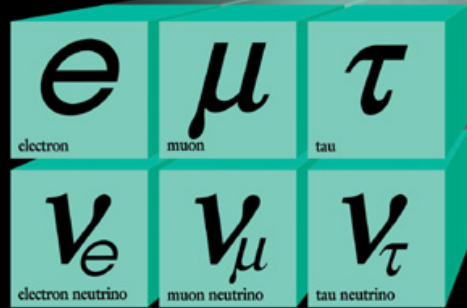
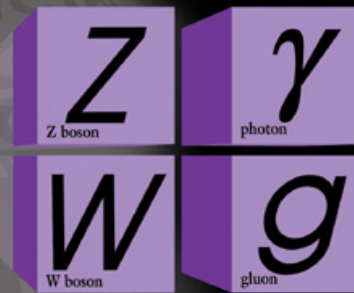


A matter of QCD:  
The search for the  $\eta_b$

# Quarks



# Forces




# Leptons

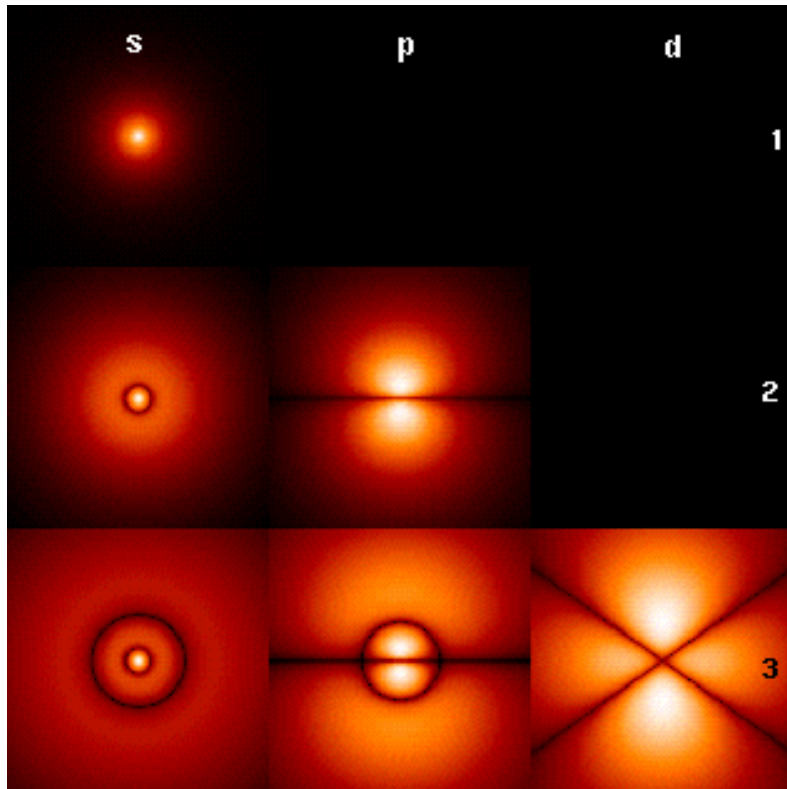
Visible Matter

# Remember your Quantum Mechanics

**What are the allowed states of a pair of spin-1/2 particles?**

**SPIN:**  $\uparrow\downarrow, \downarrow\uparrow, \uparrow\uparrow, \downarrow\downarrow$    $S_{b\bar{b}} = 0, 1$

**ORBITAL:**  $L=0, 1, 2, \dots$  (S, P, D, ...)

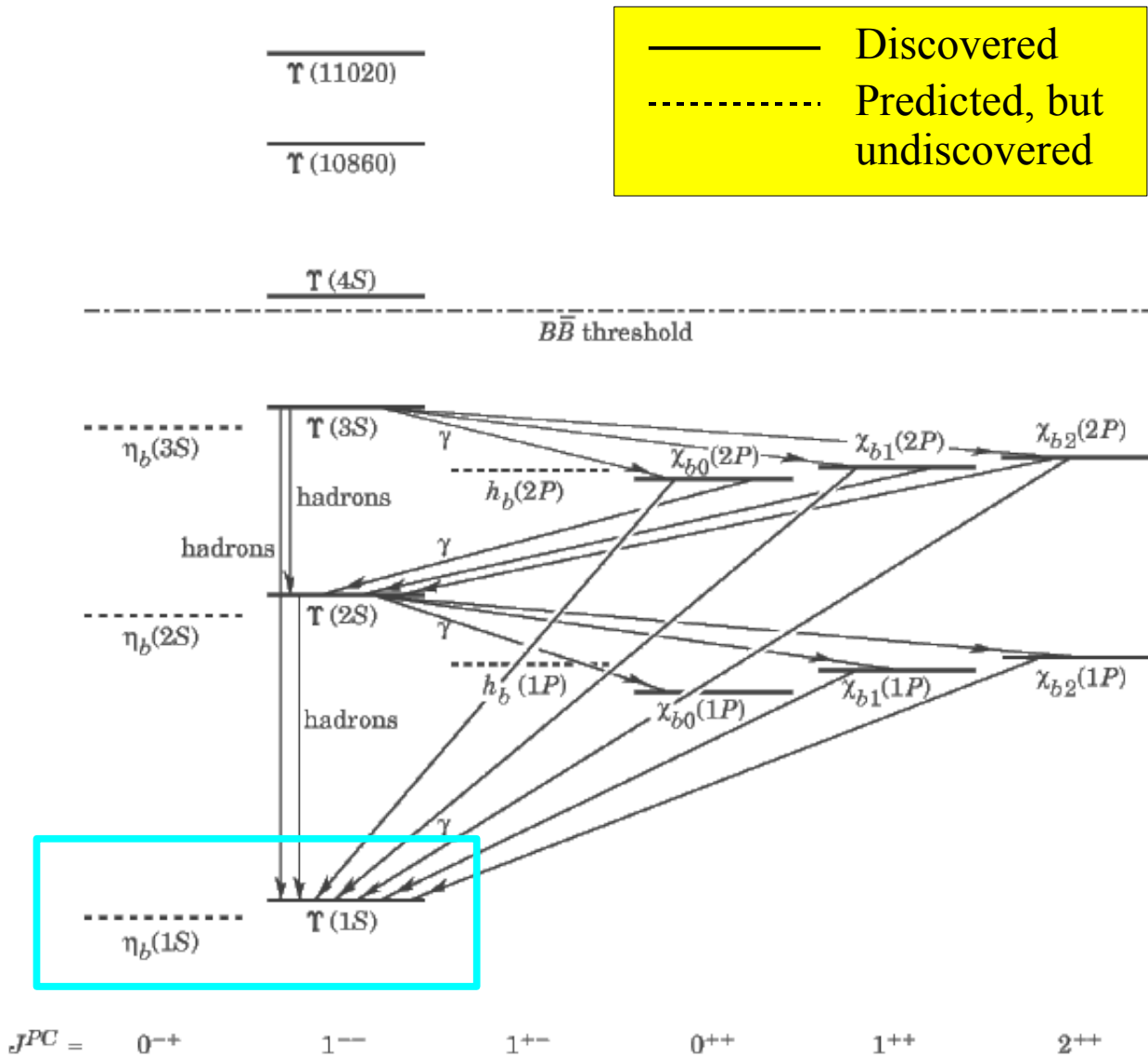


**TOTAL ANGULAR MOMENTUM (J):**  
 $|L - S| < J < L + S$

**THE FIRST FEW STATES:**

L	S	J	State
0	0	0	$\eta_b(1S, 2S, \dots)$
0	1	1	$\Upsilon(1S, 2S, \dots)$
1	0	1	$h_b(1P, 2P, \dots)$
1	1	0,1,2	$\chi_{bJ}(1P, 2P, \dots)$

# Spectroscopy: Find the bottomonium ground state



QCD is assumed to be the dominant factor in defining the spectrum of states. Predictions proceed from this . . .

**Hyperfine splitting predictions ( $1^3S_1 - 1^1S_0$ )**

- pNRQCD: **(39-44)MeV** (~25% uncertainty)
- Potential models: **(46-87) MeV**
- **Lattice QCD: (40-71)MeV (10-25% uncertainty)**

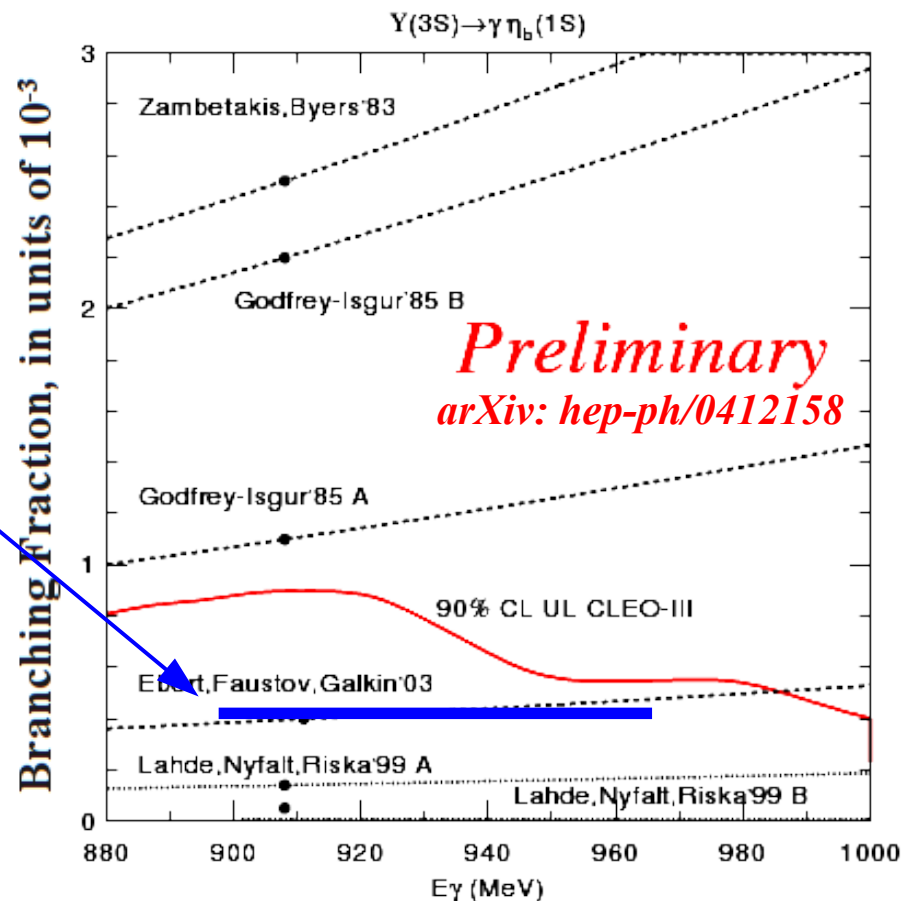
# What were the best existing experimental constraints?

$$\Upsilon(nS) \rightarrow \gamma \eta_b$$

Published CLEO limits  
PRL 94 032001 (2005)

$$e^+ e^- \rightarrow e^+ e^- \gamma^* \gamma^* (\rightarrow \eta_b)$$

Expt	final state	$\Gamma_{\gamma\gamma} \times \mathcal{B}$ (keV)
ALEPH	4 charged	< 0.048
	6 charged	< 0.132
L3	$K^+ K^- \pi^0$	< 2.83
	4 charged	< 0.21
	4 charged $\pi^0$	< 0.50
	6 charged	< 0.33
	6 charged $\pi^0$	< 5.50
	$\pi^+ \pi^- \eta'$	< 3.00
DELPHI	4 charged	< 0.093
	6 charged	< 0.270
	8 charged	< 0.780



*30 years after the discovery of the Upsilon, the ground state of bottomonium had eluded detection*

# Analysis Strategy

## Blind Analysis

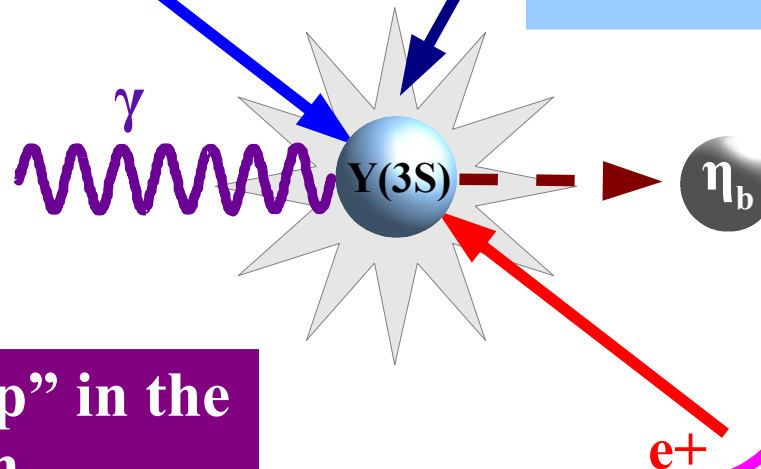
We never look at the signal region in the final data set until the analysis method is finalized.

Full dataset: 122M  $Y(3S)$

mesons

use a small sample (9%) for tuning the selection  
use  $(109 \pm 1) \times 10^6$   $Y(3S)$  for final result

$$E_y^* = \frac{m_{Y(3S)}^2 - m_{\eta_b}^2}{2m_{Y(3S)}^2}$$



Search for a “bump” in the photon spectrum

use maximum likelihood fit, including backgrounds and a possible signal

Monte Carlo Simulations

used for modeling signal and specific backgrounds  
tune selection criteria



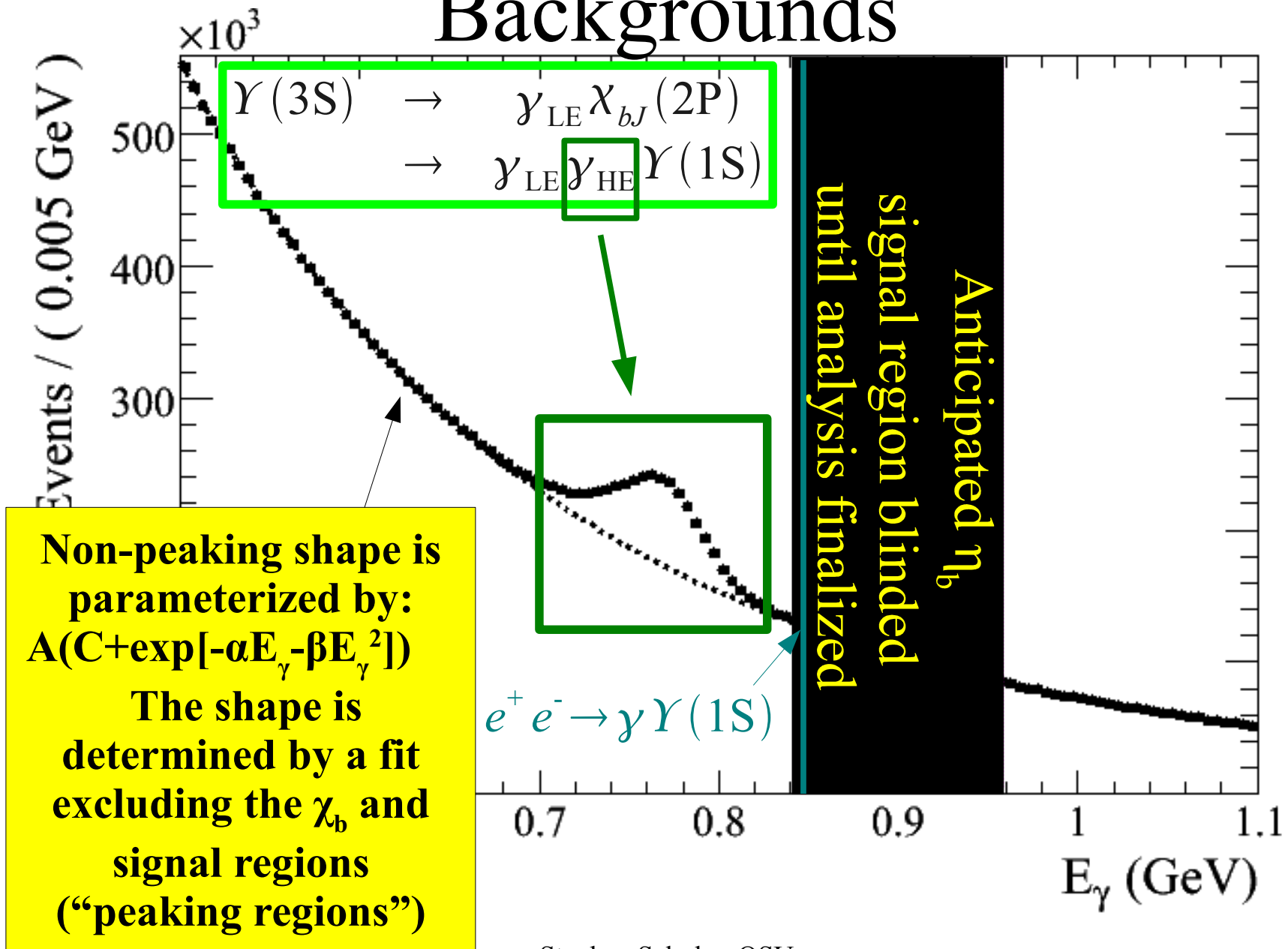
# An illustrative signal simulation event . . .

Signal photon required to be reconstructed with high quality, be well within the calorimeter acceptance, and be inconsistent with originating from a  $\pi^0$

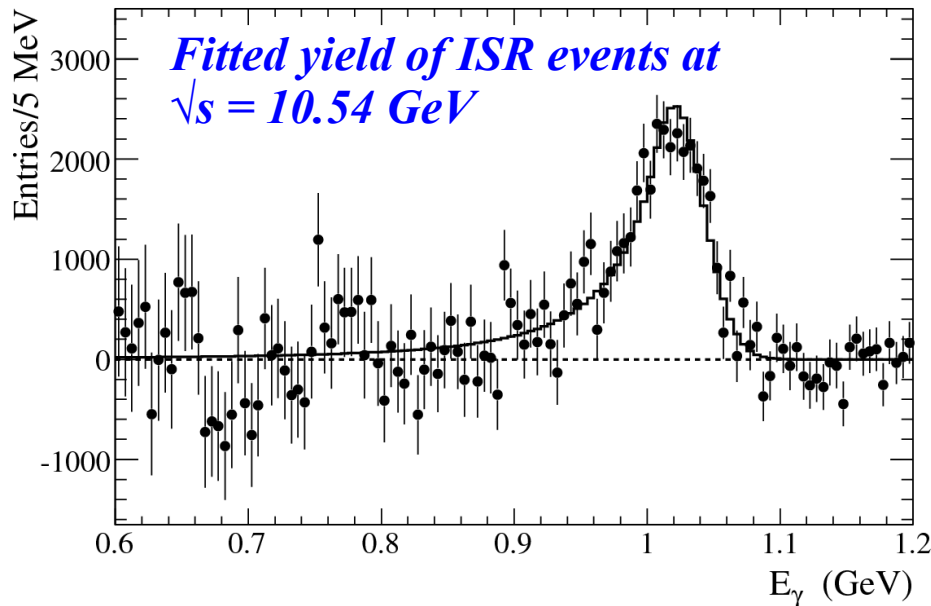
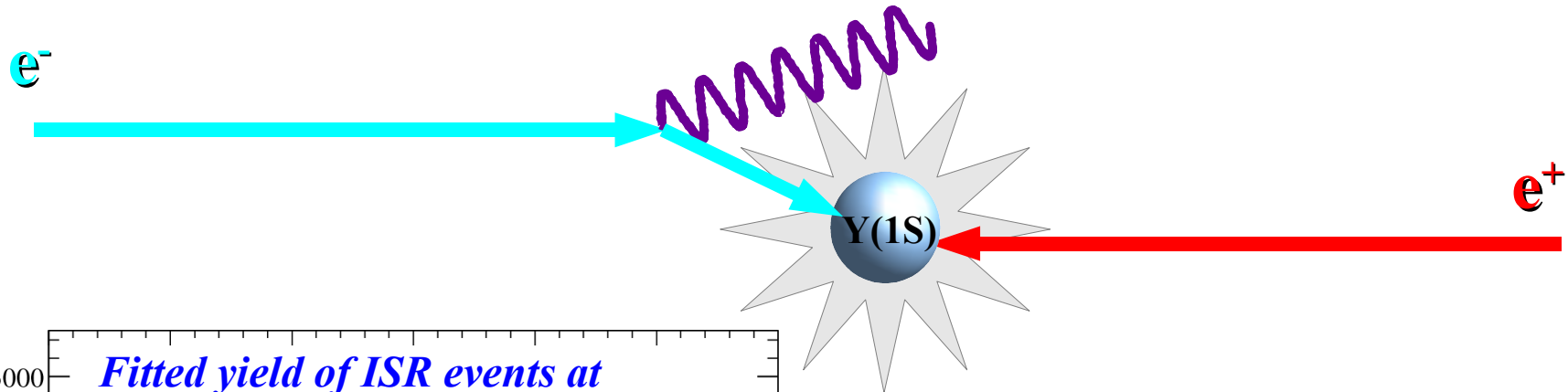
$\eta_b$  expected to decay into many hadrons (through two gluons), and have uniform distribution of final state particles

Signal Efficiency:  
37%

# The Single Photon Challenge: Backgrounds



# $e^+e^- \rightarrow \gamma_{\text{ISR}} Y(1S)$ : Expectation



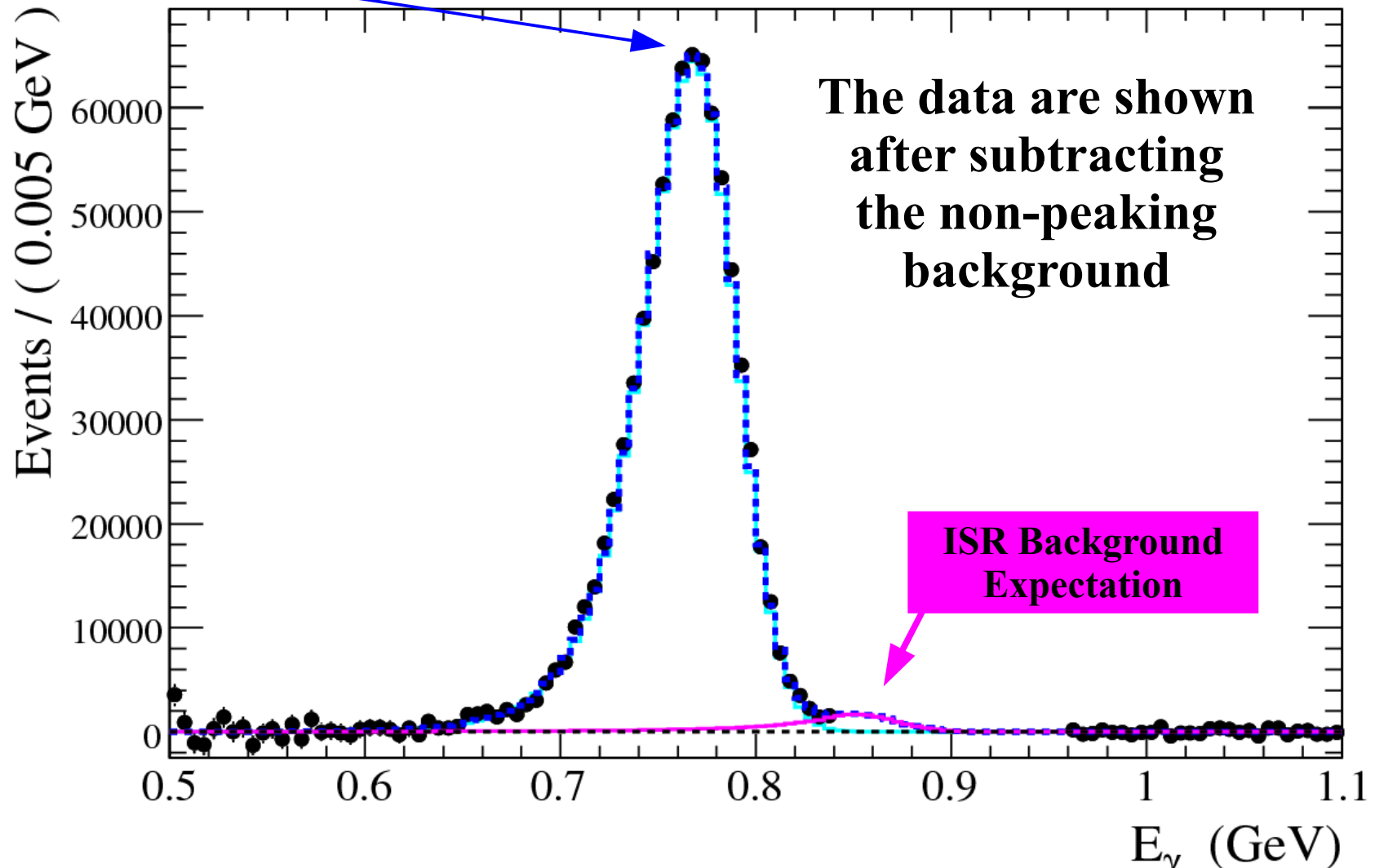
**The fitted ISR shape is shifted down to the expected peak position for the  $Y(3S)$  CM energy. The yield is scaled using the ratio of cross-sections (computed from theory)**

$$\sqrt{s} = 10.54 \text{ GeV} \rightarrow \sqrt{s} = 10.3552 \text{ GeV}: 25153 \pm 1677$$

$$\sqrt{s} = 10.31 \text{ GeV} \rightarrow \sqrt{s} = 10.3552 \text{ GeV}: 29393 \pm 5014$$

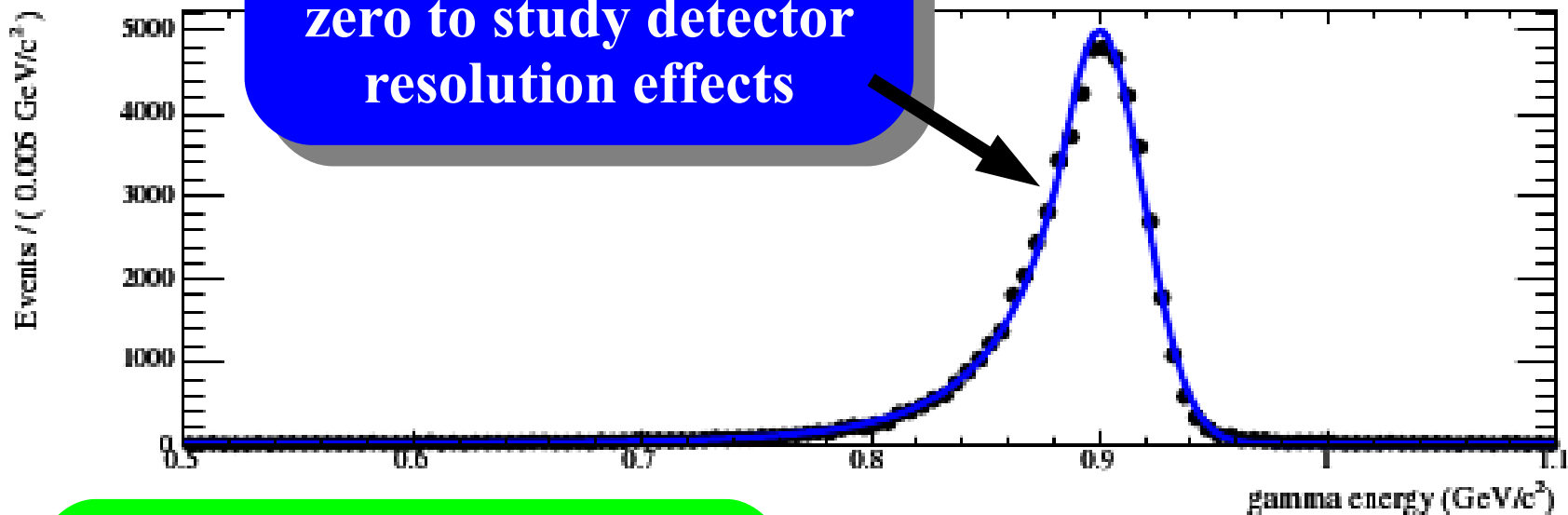
# The $\chi_{bJ}(2P)$ – background, calibration

*The peak position is shifted by 3.8 MeV below the expectation –  
this is used to calibrate the photon energy*



# The $\eta_b$ Signal Model

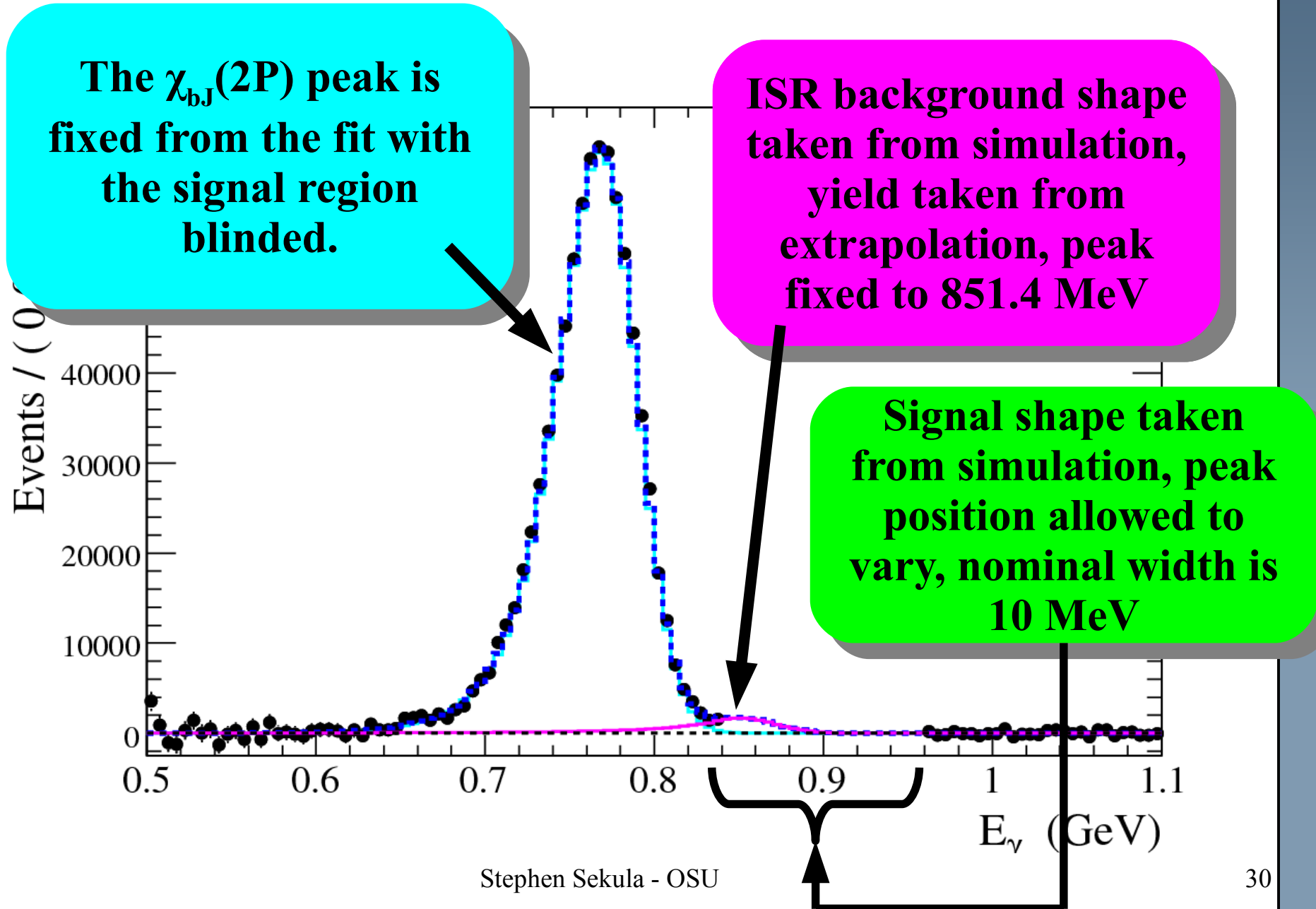
Use a Monte Carlo simulation of signal events. Set  $\eta_b$  width to zero to study detector resolution effects



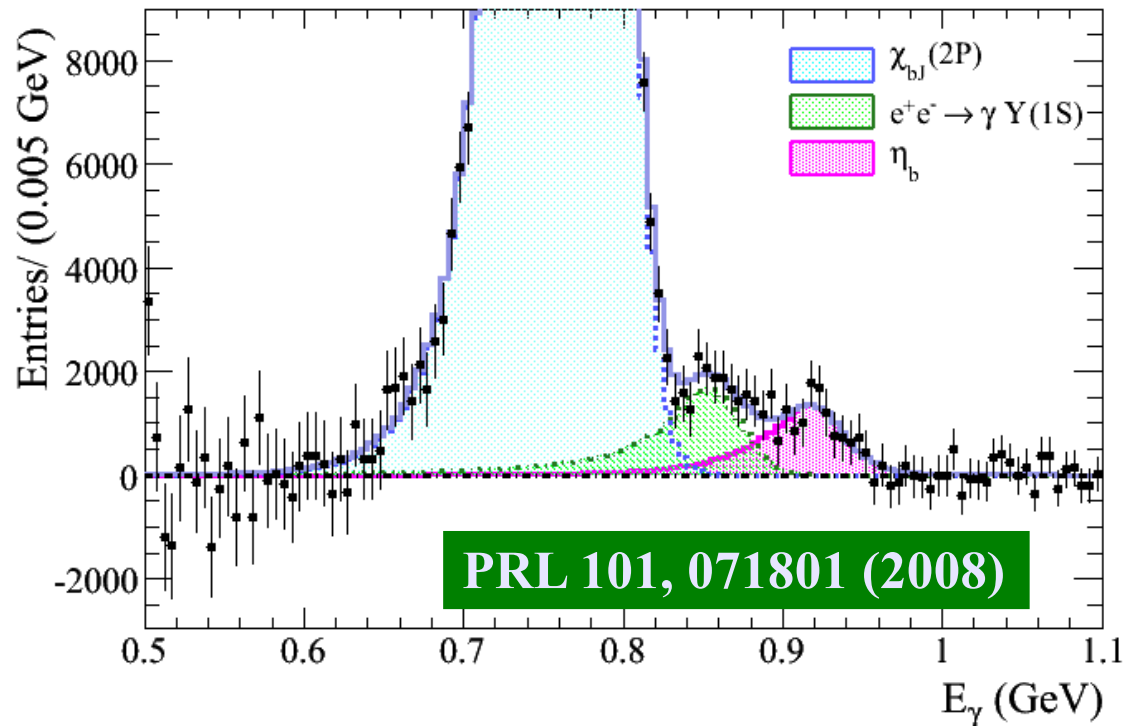
Convolute resolution model with a Breit-Wigner, which represents the resonance

Floating the BW width in the final fit failed to converge. Fix to 10 MeV and vary from 5-20 MeV.

# Strategy to search for a “bump”



# Results



Fitted signal yield:

$19200 \pm 2000$  (stat.)  
 $\pm 2100$  (syst.)

Branching Fraction:

$(\epsilon.8 \pm 0.0 \pm 1.2) \times 10^{-\epsilon}$

Fitted Mean:  $E_\gamma = 921.2^{+2.1}_{-2.8} \pm 2.4$  MeV

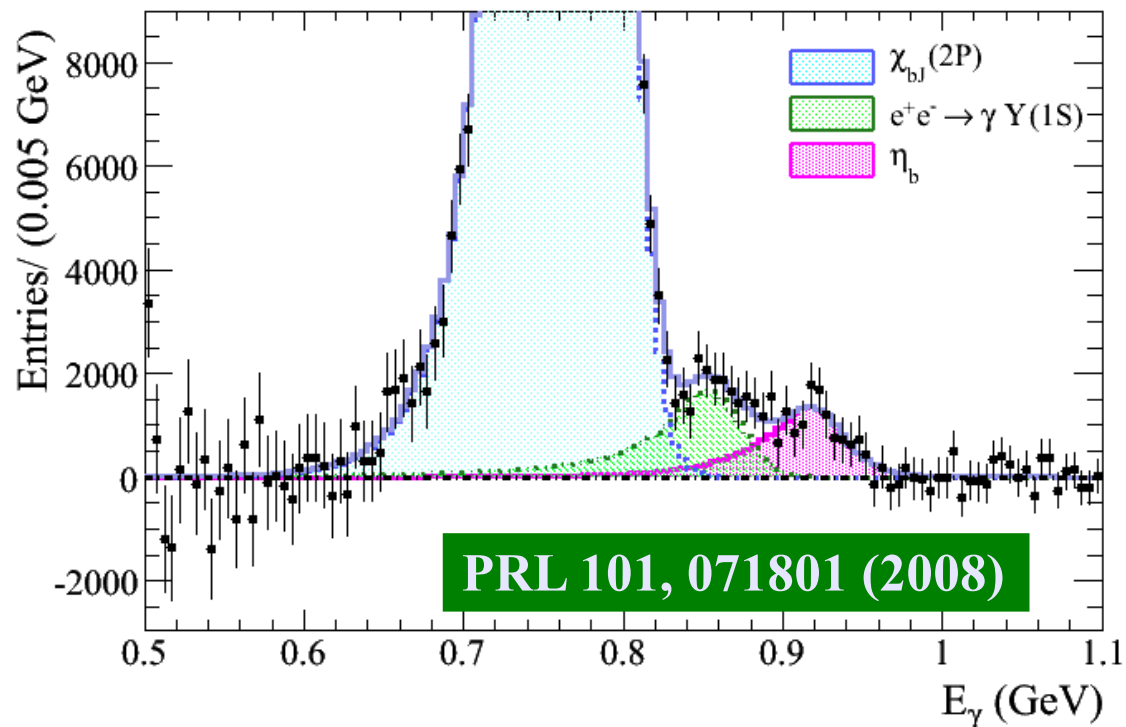
Mass:  $9388.9^{+3.1}_{-2.3} \pm 2.7$  MeV/ $c^2$

Hyperfine Splitting:  $71.4^{+2.3}_{-3.1} \pm 2.7$  MeV/ $c^2$

Consistent with predictions of the  $\eta_b$  properties

# Is this really the ground state?

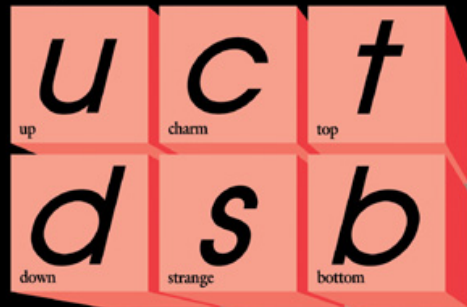
- photon angular distribution can tell us the spin
- are the dominant decay modes to hadrons?
- do we see the “same” state in  $Y(2S) \rightarrow \gamma \eta_b$ ?



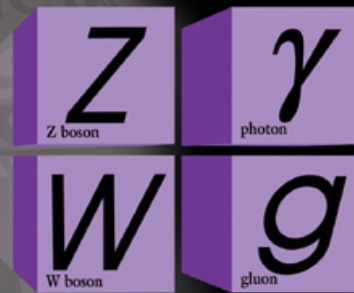


# A Matter of New Physics: Search for a Light CP-Odd Higgs

# Quarks

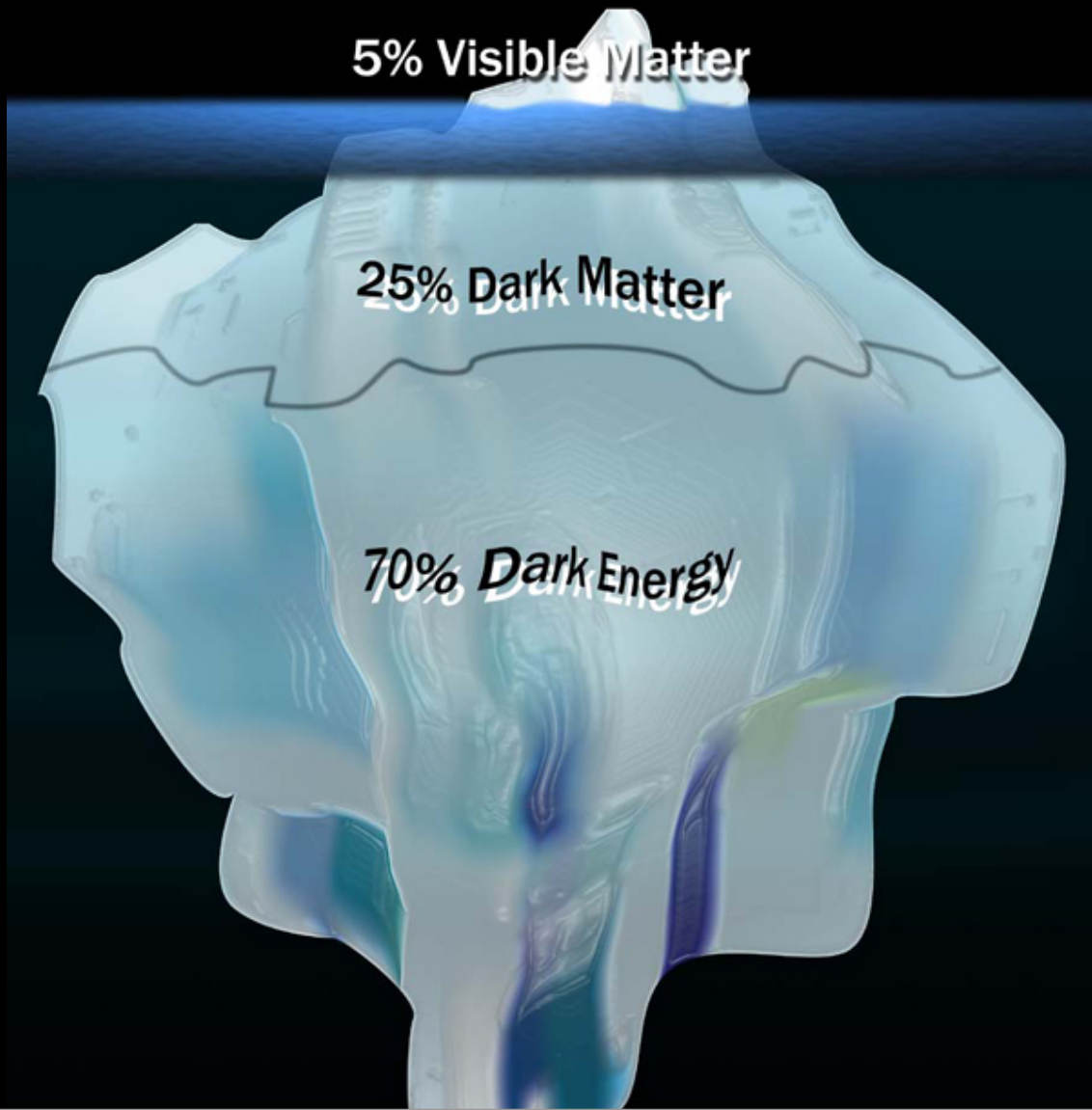


# Forces

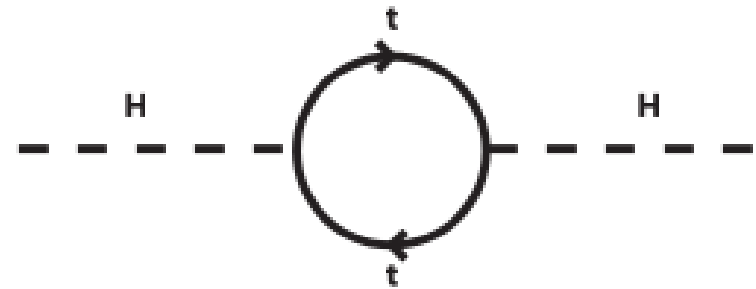
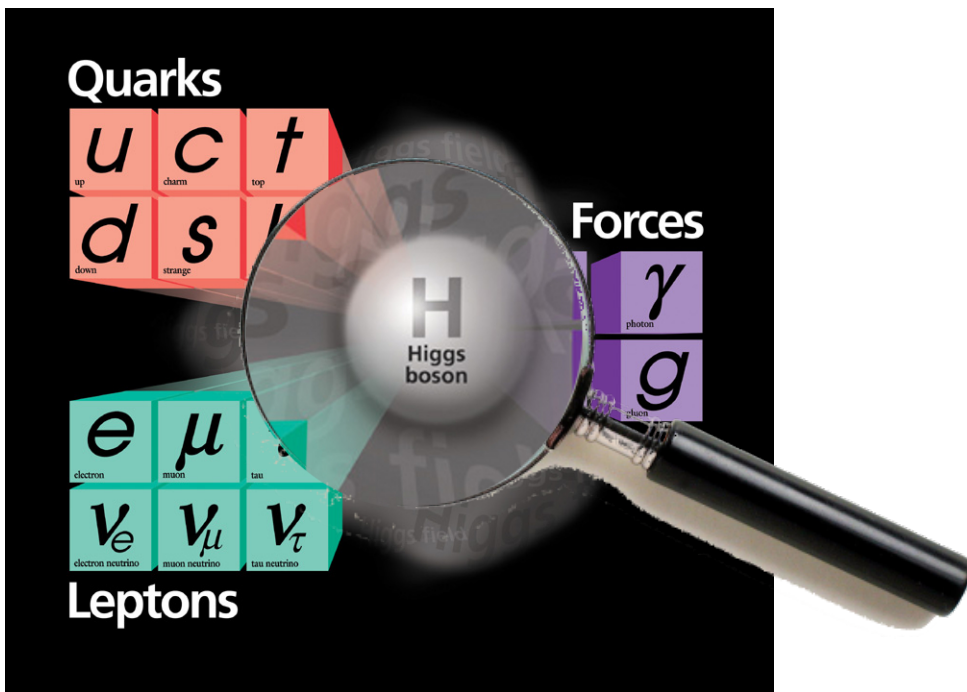


# Leptons

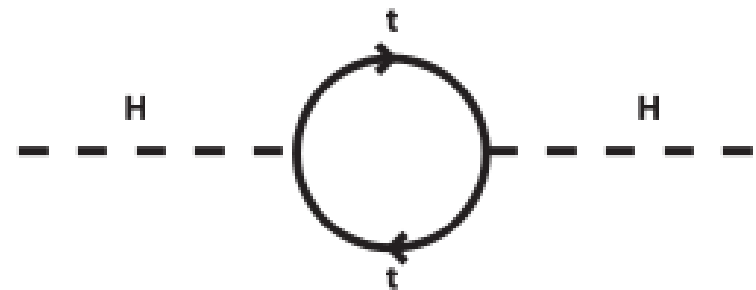
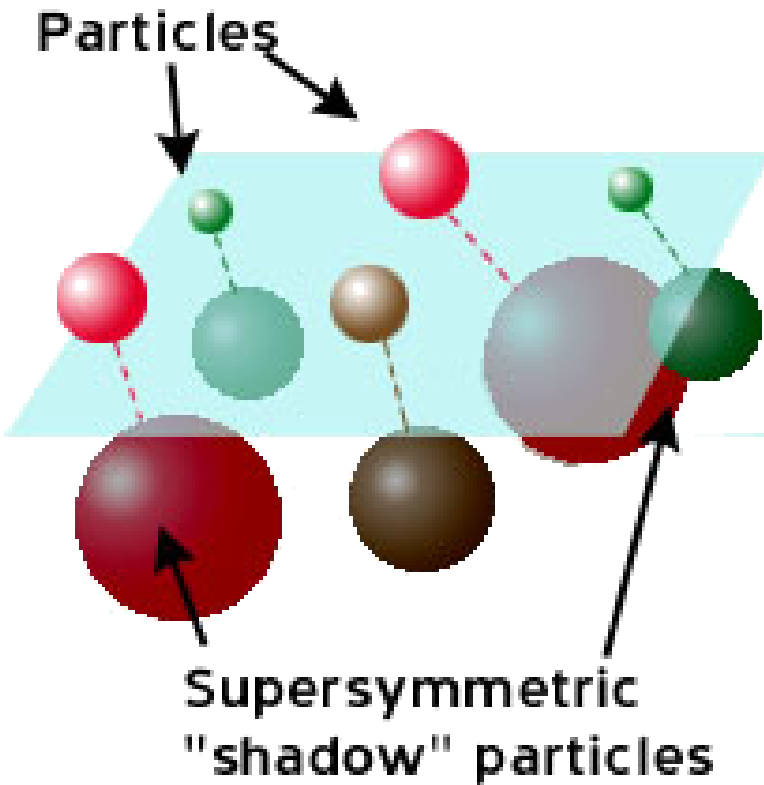
Visible Matter



*Can we solve the dark matter puzzle and illuminate the Higgs sector at the same time?*



**Higgs self-coupling  
diverges in the Standard  
Model at high energies**



*Loops involving superpartners  
cancel divergences!*

## THE “mu” PROBLEM

$$\mu H_u H_d$$

*The above term in the superpotential gives the two Higgs doublets non-zero vacuum-expectation values, so that the Higgses can then give mass to the matter particles*

*$\mu$  is then expected to have a value of order the weak scale, far from the next natural scale: the Planck scale. Why is  $\mu$  so small?*

### One Solution:

### The Next-to-Minimal Supersymmetric Standard Model (NMSSM)

$$\mu H_u H_d \longrightarrow \lambda N H_u H_d$$

*Add an additional gauge singlet Higgs superfield, effectively promoting  $\mu$  to a gauge singlet, chiral superfield*

*This adds a CP-odd Higgs, which I will denote the  $A^0$ , that can radically change the phenomenology of the Higgs sector*

# New Physics: A Light Higgs Boson

## Parameter Scan

blue points:  $m_{A^0} < 2m_\tau$

red points:  $2m_\tau < m_{A^0} < 7.5 \text{ GeV}$

green points:  $7.5 \text{ GeV} < m_{A^0} < 8.8 \text{ GeV}$

black points:  $8.8 \text{ GeV} < m_{A^0} < 9.2 \text{ GeV}$

PRL 95:041801,2005 and  
PRD 76:051105,2007

*For a light  $A^0$ , the dominant SM Higgs decay will be  $h \rightarrow A^0 A^0$*

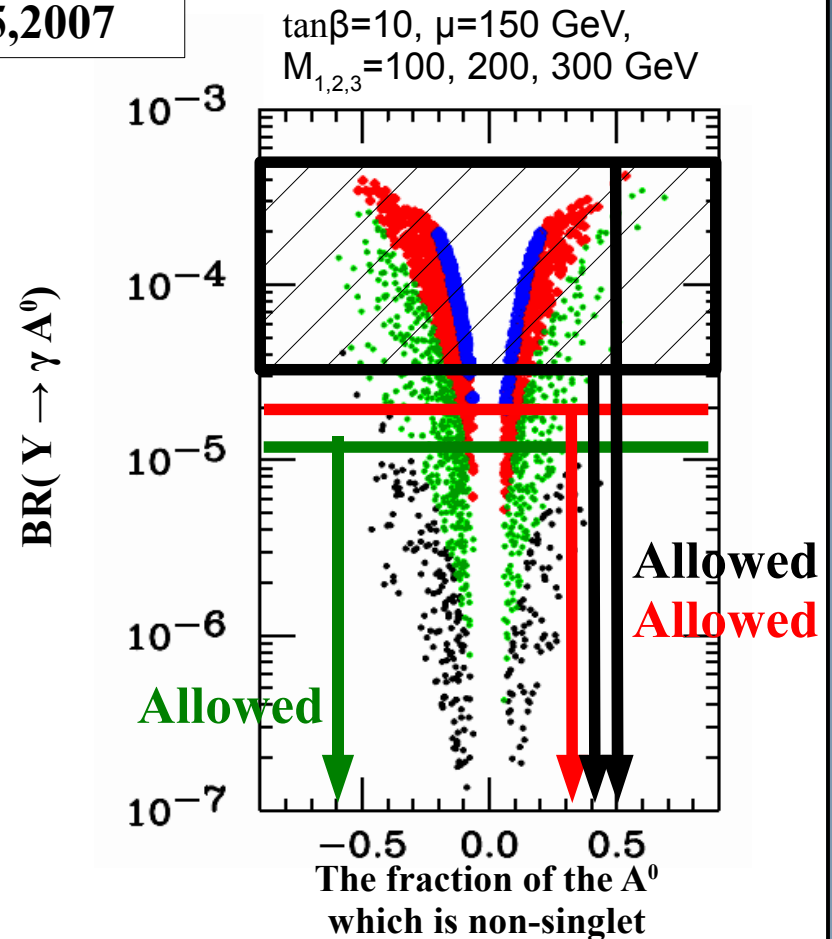
*Depending on the  $A^0$  mass, the dominant decay could be:*

$$A^0 \rightarrow \tau^+ \tau^-$$

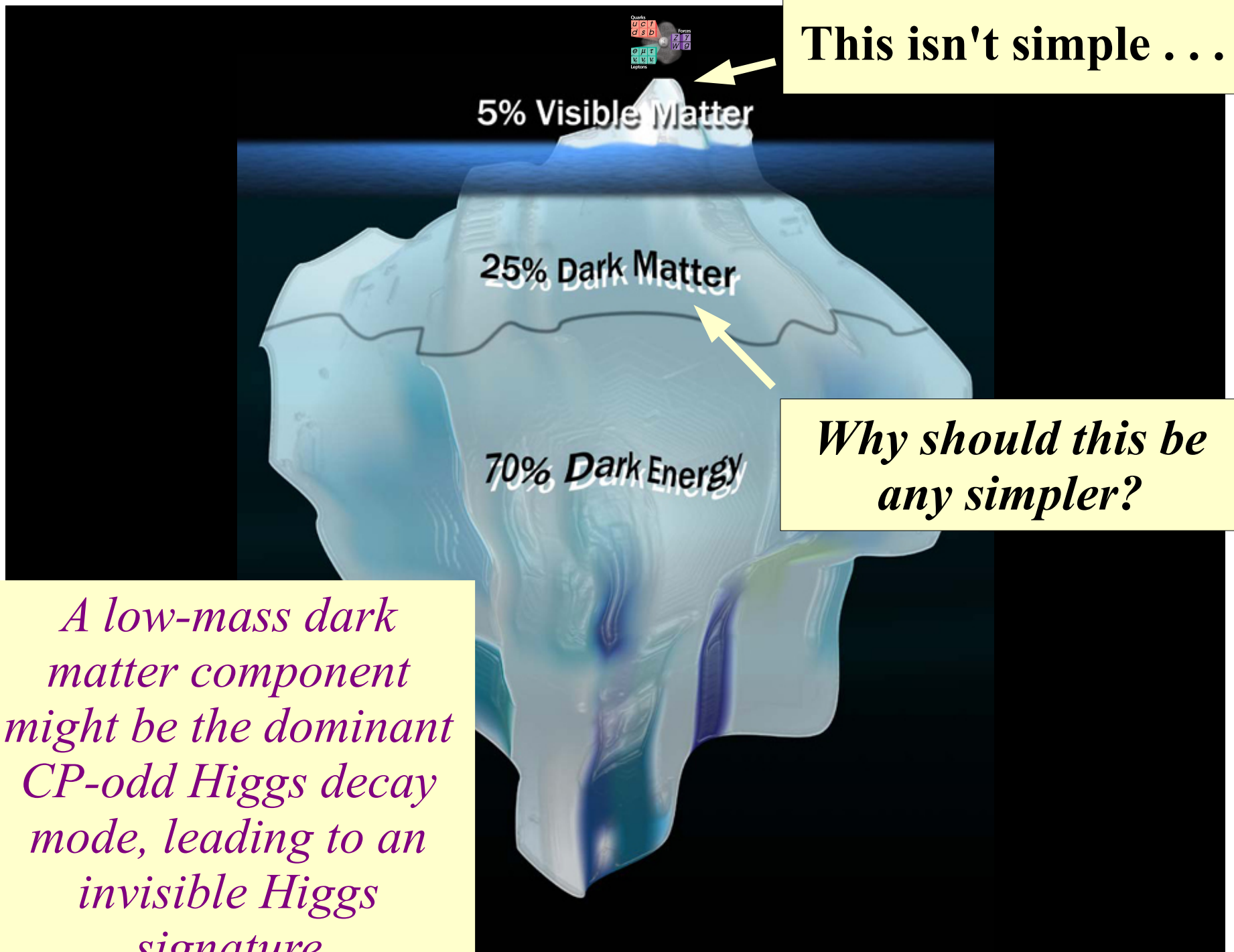
*Leading to 4- $\tau$  final states at LEP, which were never explored.*

*Best limits come from recent CLEO search for  $A^0 \rightarrow \mu\mu, \tau\tau$*

hep/ex arXiv:0807.1427



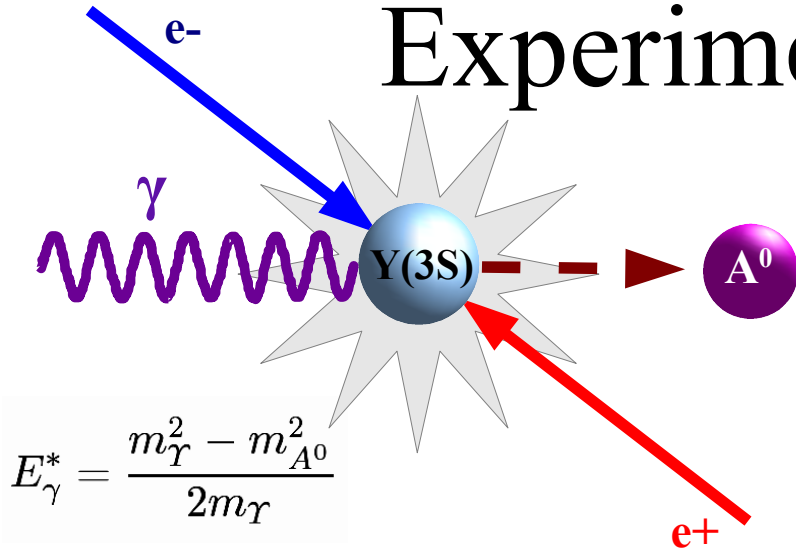
**This isn't simple . . .**



*Why should this be any simpler?*

*A low-mass dark matter component might be the dominant CP-odd Higgs decay mode, leading to an invisible Higgs signature*

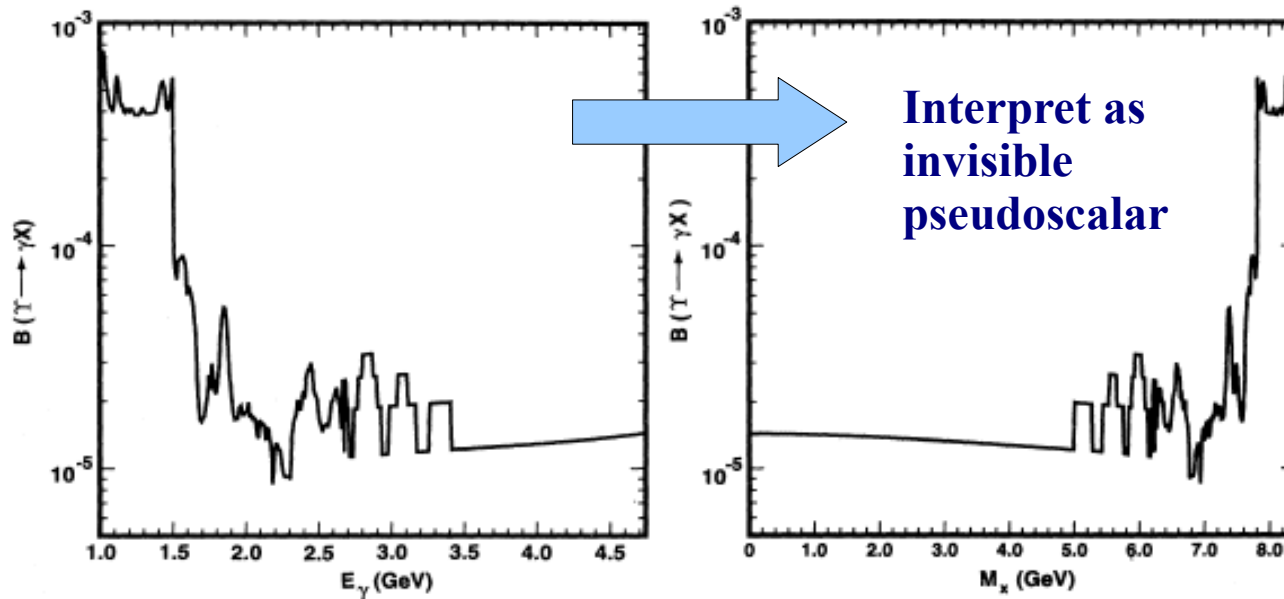
# Experimental Signature



$$E_\gamma^* = \frac{m_Y^2 - m_{A^0}^2}{2m_Y}$$

Search for an invisibly-decaying particle recoiling against a single photon

$Y(1S) \rightarrow \gamma + \text{noninteracting particles}$  (best existing limits from CLEO), for  $1.0 < E_\gamma < 4.7$  GeV,



range between about  $10^{-5}$  and  $6 \times 10^{-4}$ , and were obtained while running at the  $Y(1S)$ .

**There are no limits from the  $Y(3S)$  or the  $Y(2S)$ .**

PRD 51, no. 5 2053 (1995)



**An illustrative signal candidate event . . .**

**Selection of high-quality photons, with tighter criteria for lower photon energies (increasing backgrounds)**

**Require very little additional detector activity either in tracking or in the calorimeter**

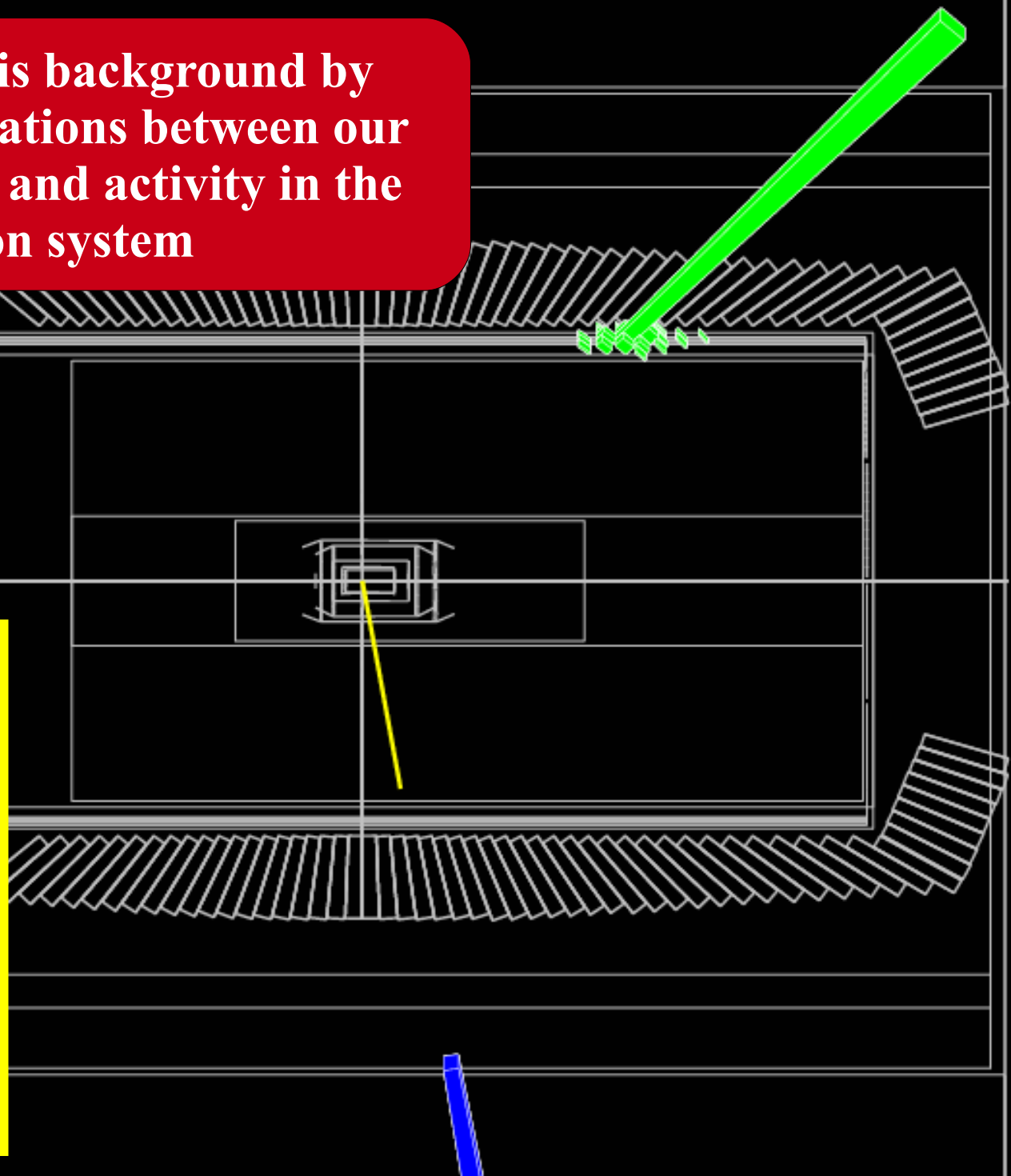
**One catch: this event is a data event from a problematic background:  $e^+ e^- \rightarrow \gamma \gamma$**

**We reject this background by vetoing correlations between our signal photon and activity in the muon system**

**Total Signal Efficiency:**

High Energy  
Region: 10-11%

Low Energy  
Region: 20%



# Maximum Likelihood Fit

- 1-D fit to the missing mass-squared:

$$m_X^2 = M_{Y(3S)}^2 - 2 E_y^* M_{Y(3S)}$$

- Signal model

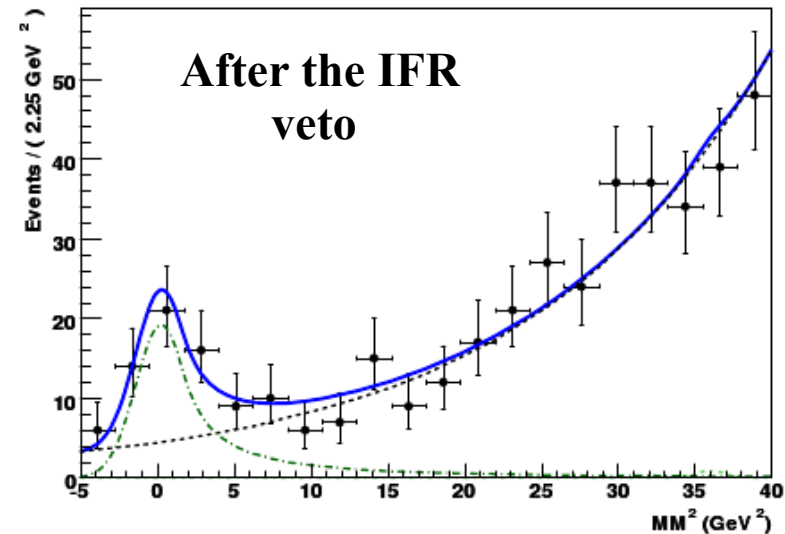
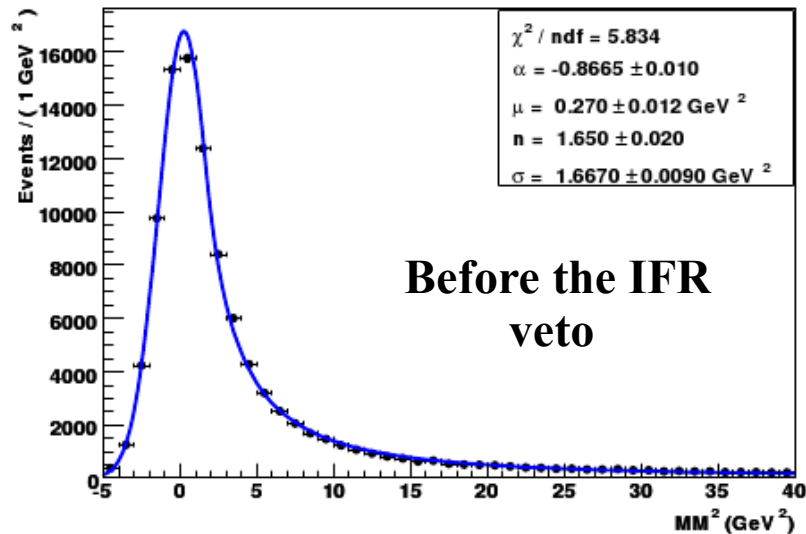
- parameterized using same detector resolution function as  $\eta_b$  search (zero-width Higgs)
- parameters vary with assumed Higgs mass, due to calorimeter response

- Background models

- determined from data control samples
- Major backgrounds:  $e^+e^- \rightarrow \gamma\gamma, \gamma\gamma\gamma, e^+e^-\gamma$

# $e^+e^- \rightarrow \gamma\gamma$ background

## Off-Resonance Y(3S) Data

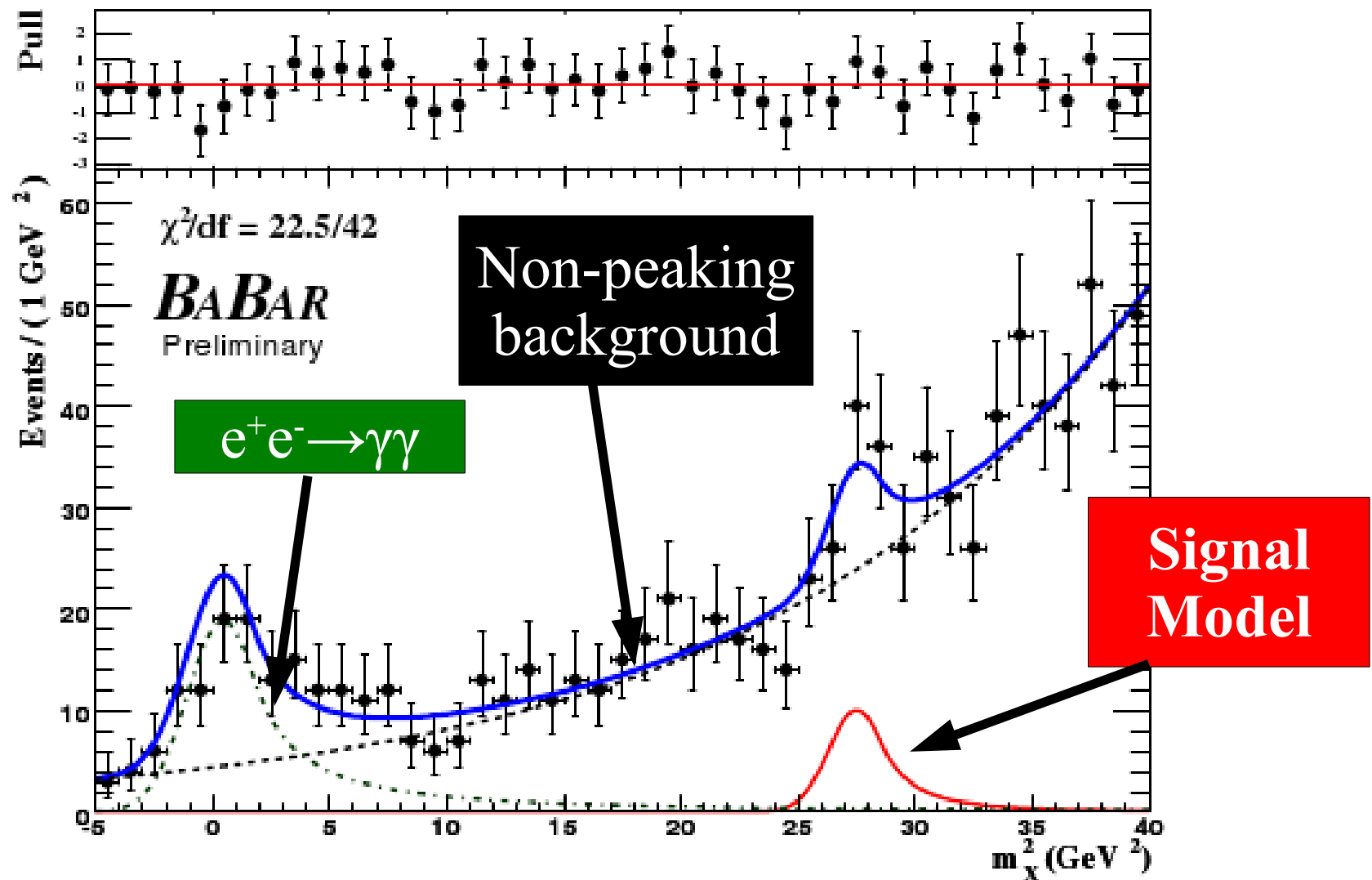


The  $\gamma\gamma$  background model is taken from data before the IFR veto.

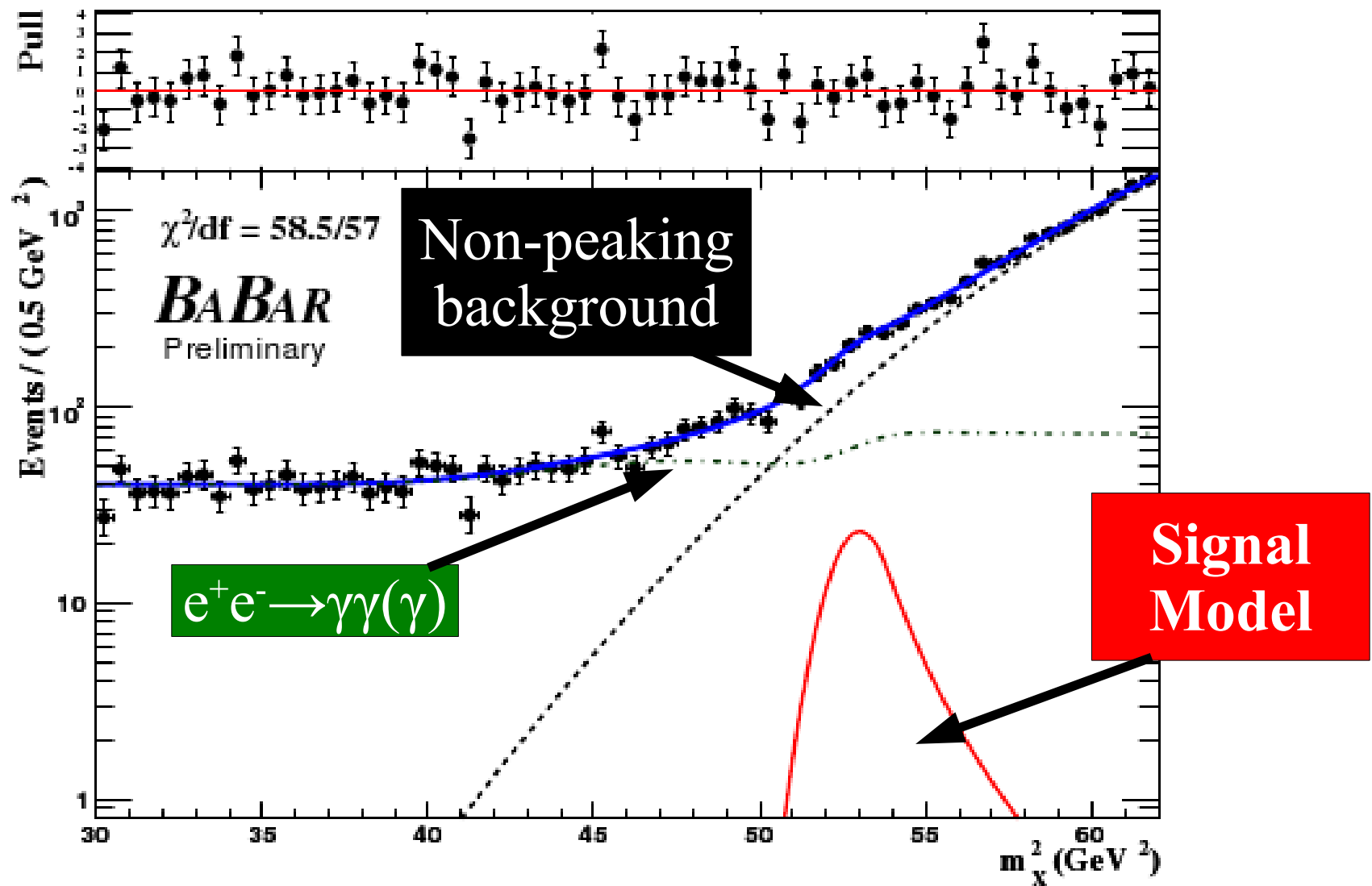
*The tail of this function extends into the high-mass region, and is treated as part of the background there.*

*The remaining non-peaking background in the low-mass region is empirically modeled as a single exponential function.*

# A Snapshot: Fits to the Spectrum Low-Mass Region



# A Snapshot: Fits to the Spectrum High-Mass Region

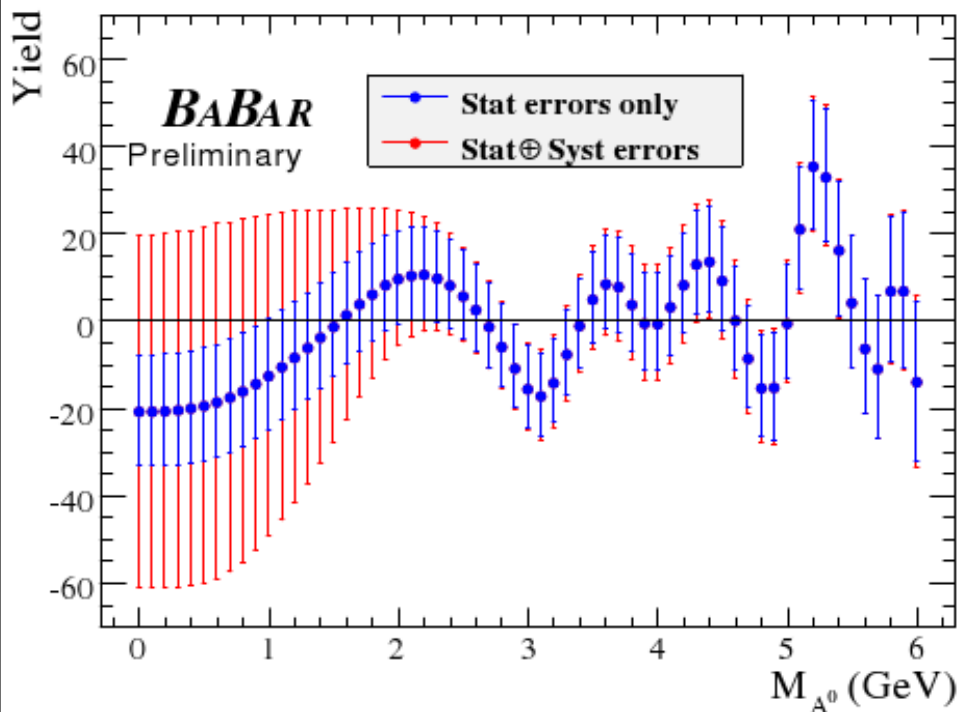


# Results

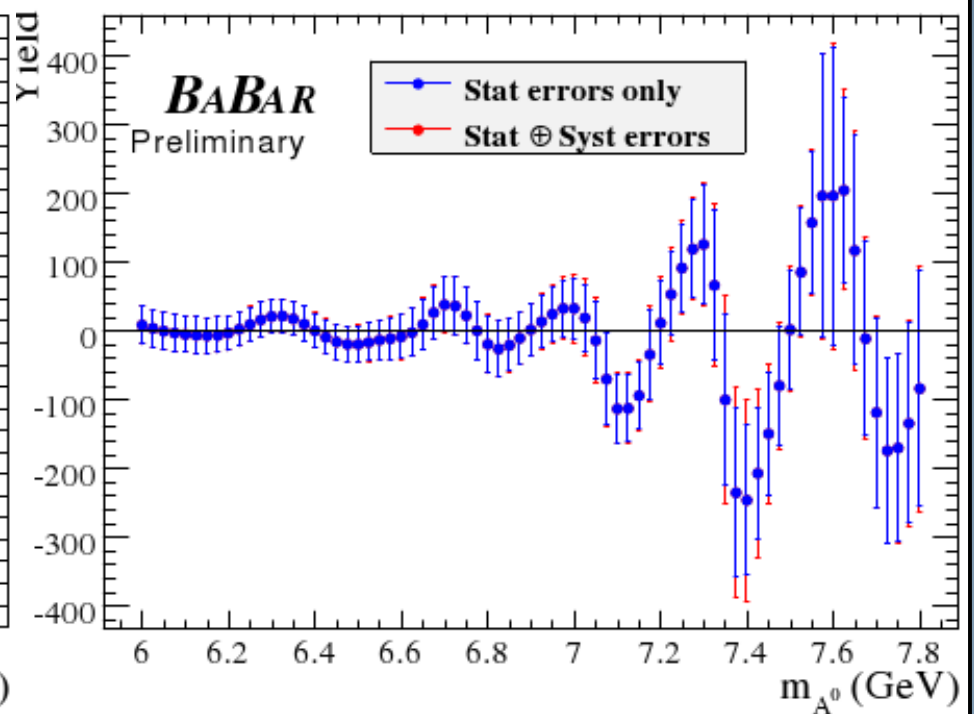
Most significant yields:

- low-mass region:  $37 \pm 15$  ( $2.6\sigma$ , stat. only)
- high-mass region:  $119 \pm 71$  ( $1.7\sigma$ , stat. only)

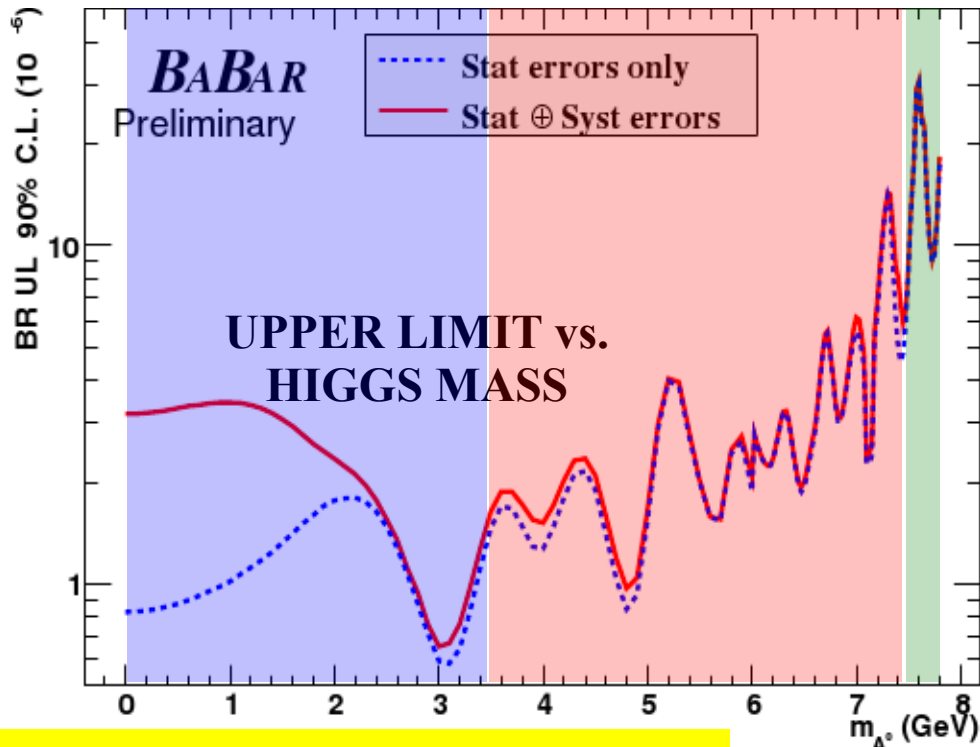
HE-PHOTON REGION



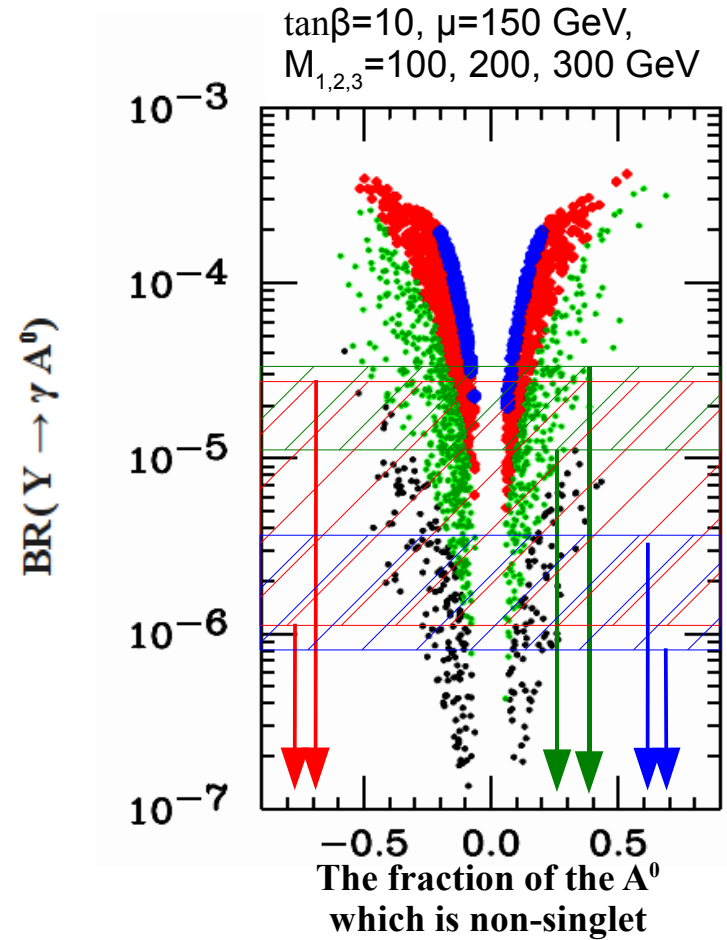
LE-PHOTON REGION



# Results (continued)



**BaBar Preliminary Result:**  
arXiv:0808.0017 [hep-ex]





# Concluding Thoughts: Prospects for Further Discovery

# First Results from BaBar Upsilon Sample

- Unmatched samples of Upsilon mesons below threshold open up new doors of exploration
  - Standard Model – discovery and further study of the  $\eta_b$

Mass:  $9388.9_{-2.3}^{+3.1} \pm 2.7 \text{ MeV}/c^2$

Hyperfine Splitting:  $71.4_{-3.1}^{+2.3} \pm 2.7 \text{ MeV}/c^2$

Branching Fraction:

$$BR(\Upsilon(3S) \rightarrow \gamma \eta_b) = (4.8 \pm 0.5 \pm 1.2) \times 10^{-4}$$

- New Physics – searches for low-mass Higgs and light dark matter
  - We exclude an invisibly decaying light Higgs up to  $7.8 \text{ GeV}/c^2$  at the 90% CL at the level of  $10^{-5}$  --  $10^{-6}$

*What is the white elephant?*

*It is the legacy left  
by our  
overwhelming  
success in  
understanding 5%  
of the universe*

*Exhilarating in the  
receiving, it has proven  
hard to shed in order to  
make sense of the rest*

# Backup Slides: Reference and Details

# References

## **QCD Calculations of the $\eta_b$ mass and branching fraction**

Recksiegel and Sumino, Phys. Lett. B 578, 369 (2004) [hep-ph/0305178]

Kniehl et al., PRL 92 242001 (2004) [hep-ph/0312086]

Godfrey and Isgur, PRD 32, 189 (1985)

Fulcher, PRD 44, 2079 (1991)

Eichten and Quigg, PRD 49, 5845 (1994) [hep-ph/9402210]

Gupta and Johnson, PRD 53, 312 (1996) [hep-ph/9511267]

Ebert et al., PRD 67, 014027 (2003) [hep-ph/0210381]

Zeng et al., PRD 52, 5229 (1995) [hep-ph/9412269]

## **Spectroscopy**

N. Brambilla et al., “Heavy Quarkonium Physics,” hep-ph/0412158 (December 13, 2004), <http://arxiv.org/abs/hep-ph/0412158>.

M. Artuso et al., “Photon Transitions in Upsilon(2S) and Upsilon(3S) Decays,” Physical Review Letters 94, no. 3 (January 28, 2005): 032001-5

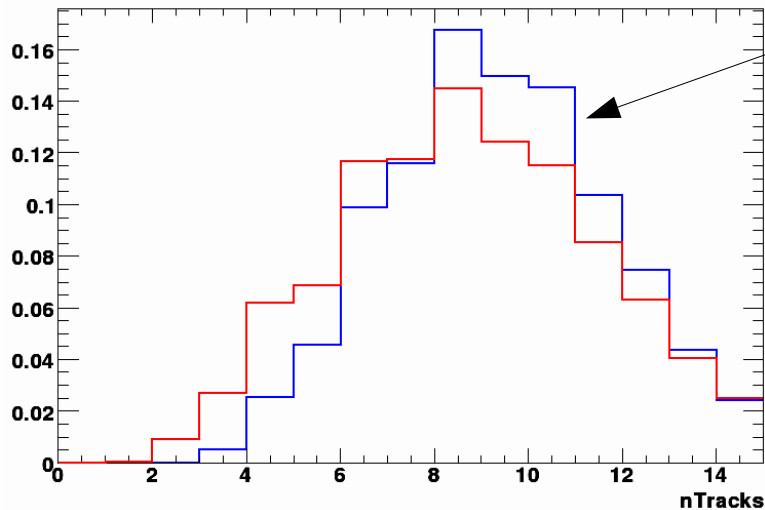
# $\eta_b$ Event Pre-selection

- Selection chosen to have high signal efficiency
  - Dominant  $\eta_b$  decay expected to be  $\eta_b \rightarrow gg$ 
    - require  $\geq 4$  charged tracks in an event
    - exclude “jetty” events (e.g.  $e^+e^- \rightarrow qq$ ) using Fox-Wolfram moment ratio,  $H_2/H_0 < 0.98$
  - Select high-quality photons:
    - lateral moment of EMC shower  $< 0.55$
    - EMC barrel-only photons ( $-0.762 < \cos\theta_\gamma < 0.890$ )
    - Spin-0  $\eta_b$  leaves a small correlation between the photon and event thrust axis, in contrast to  $e^+e^- \rightarrow qq$ :  $|\cos\theta_T| < 0.7$
    - Veto photons consistent with a  $\pi^0$  decay

**Signal Efficiency:**  
**37%**

# $\eta_b$ – track multiplicity

Track multiplicity after all other cuts, compared between signal MC (BLUE) and the test data (RED)



According to MC simulation, the  $\geq 4$  track multiplicity is 99.5% efficient on signal events: check signal simulation against  $\chi_{bJ}(2P)$  data!

Despite the expected higher multiplicity of the  $\chi_{bJ}(2P) \rightarrow \gamma Y(1S) \rightarrow ggg$ , the difference in the efficiencies due to the track multiplicity cut is only about 10%. We conservatively assign this as part of the selection efficiency systematic

Cut	$S/\sqrt{B}$	Eff. (from $\chi_b$ peak)	Eff. (signal MC)
No cut	101.5	-	0.629
BGEMultiHadron	109.8	0.973	0.977
$\geq 4$ ChargedTracks	107.2	0.903	0.995
LAT < 0.55	113.2	0.997	0.991
$-0.762 < \cos(\theta_{\gamma,LAB}) < 0.890$	109.6	0.928	0.901
$ \cos(\theta_T)  < 0.7$	135.2	0.672	0.690
$\pi^0$ -50 MeV cut	164.7	0.849	0.899

# The $\eta_b$ width

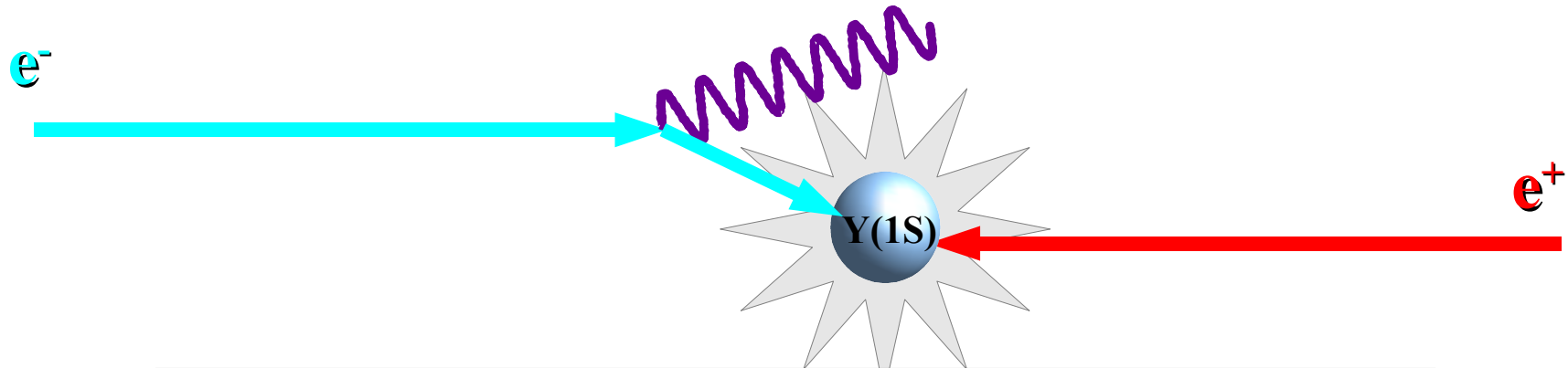
- Predictions of the width:
  - based on the ratio of  $\Gamma(\eta_b \rightarrow \gamma\gamma)$  and  $\Gamma(\eta_b \rightarrow gg)$ , predictions range from 4-20 MeV/c<sup>2</sup>
    - c.f. *W. Kwong et al., Phys. Rev. D 37, 3210 (1988); C. S. Kim, T. Lee, and G. L. Wang, Phys. Lett. B 606, 323 (2005); J. P. Lansberg and T. N. Pham, Phys. Rev. D 75, 017501 (2007).*
- Systematic variations:
  - fit with width floated won't converge
  - variations from 5-20 MeV/c<sup>2</sup> lead to largest single systematic uncertainty on yield (10%)



# The Details of the $\eta_b$ Fit

- The fit is done using a maximum likelihood function on the binned data,  $0.5 < E_\gamma < 1.1$  GeV
- bin size: 5 MeV
- Fit models
  - non-peaking parameters floated, with initial values set from the peaking-region-blinded fit
  - $\chi_{bJ}(2P)$  shape fixed, yield floated
  - ISR shape fixed, yield fixed
  - signal shape fixed, except the peak position; yield floated

# $e^+e^- \rightarrow \gamma_{ISR} Y(1S)$ : Expectation



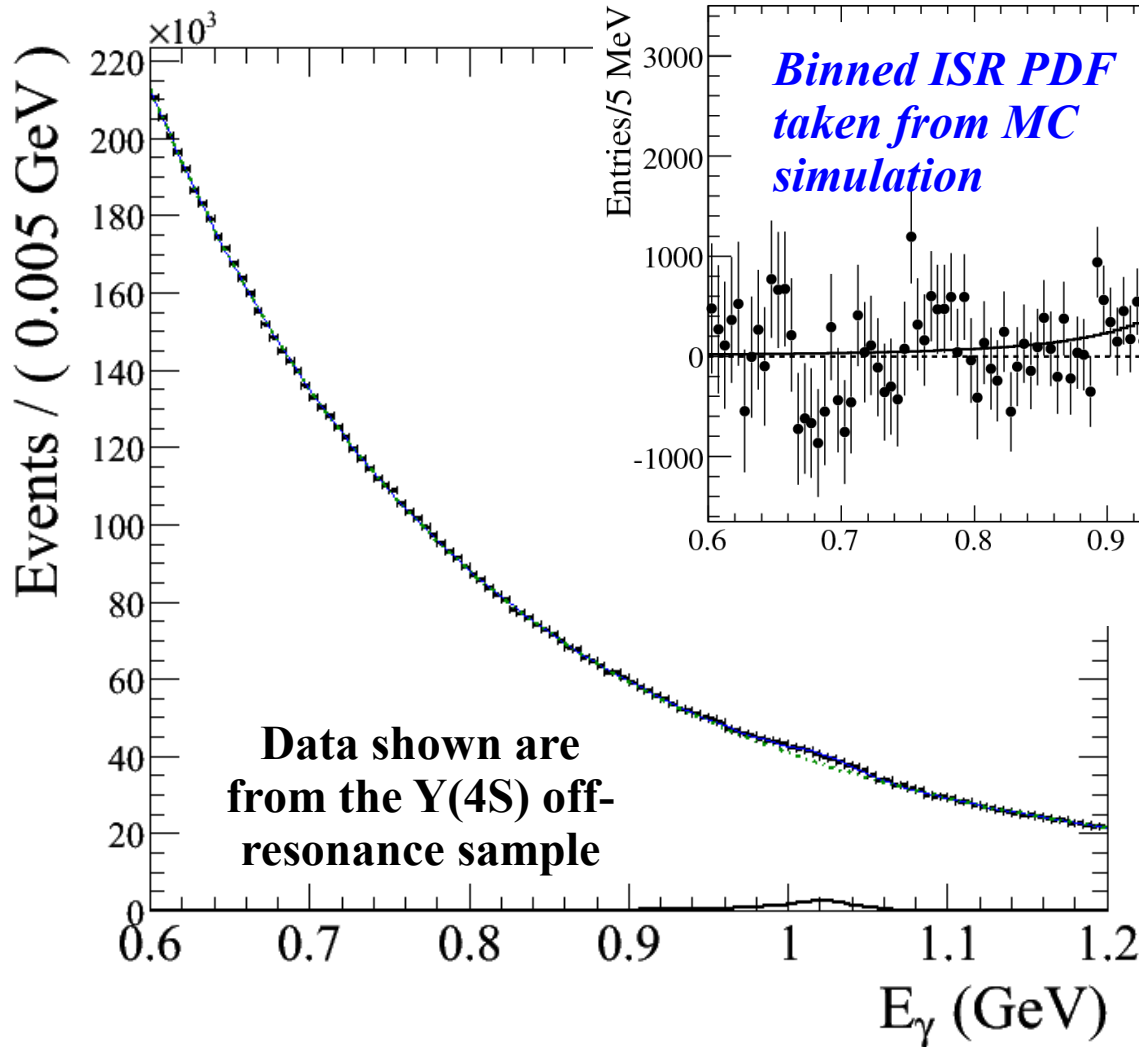
$$\sigma(e^+e^- \rightarrow \gamma_{ISR} Y(1S)) = \frac{12\pi^2 \Gamma_{ee}}{M_{Y(1S)} s} \cdot W(s, 2E_\gamma/\sqrt{s})$$

$$N_{\sqrt{s}=M_{Y(3S)}} = N_{\sqrt{s'}} \frac{\sigma_{\sqrt{s}=M_{Y(3S)}} \epsilon_{\sqrt{s}=M_{Y(3S)}}}{\sigma_{\sqrt{s'}} \epsilon_{\sqrt{s'}}$$

*Use the ratio of cross-sections and efficiencies to cancel most of the uncertainties from either source.*

Sample	Lumi [fb <sup>-1</sup> ]	Cross-Section [pb]	Reconstruction Efficiency	Yield	Extrapolation to Y(3S) On-Peak
Y(3S) Off-Peak	2.415	25.4	5.78 ± 0.09	2773 ± 473	29393 ± 5014
Y(4S) Off-Peak	43.9	19.8	6.16 ± 0.12	35759 ± 1576	25153 ± 1677

$$e^+e^- \rightarrow \gamma_{\text{ISR}} Y(1S)$$



The fitted ISR shape is shifted down to the expected peak position for the Y(3S) CM energy.

We use 40/fb of data taken 40 MeV below the Y(4S) resonance to study ISR production of the Y(1S).

The data are fitted with the same non-peaking model and a Gaussian + Power-Law Tail (ISR peak).

# Systematic Uncertainties - $\eta_b$

## Signal Yield:

### ISR Background:

- fit with ISR yield floated – consistent with the fixed yield of ISR, and has no effect on  $\eta_b$  yield or peak position
- fixed value varied by  $\pm 1\sigma$  to get systematic on signal yield

$\eta_b$  width varied in fit (5, 15, 20 MeV), yielding largest single systematic effect: 10%

PDF parameters – varied by  $\pm 1\sigma$

**TOTAL UNCERTAINTY: 11%**

## Mass:

$\chi_{bJ}(2P)$  peak shift:  $(3.8 \pm 2.0)$  MeV

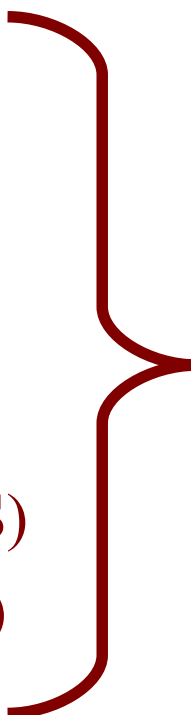
## Branching Fraction:

Selection efficiency: compare data yield to expectation from PDG branching fractions (18%) and MC efficiency – 22% uncertainty

**TOTAL UNCERTAINTY: 25%**

# Data Samples

- Data with single-photon triggers:
  - 28 fb<sup>-1</sup> taken at the Y(3S)
    - signal analysis sample
  - 4.7 fb<sup>-1</sup> taken at the Y(4S)
    - used HE trigger, can be used to tune cuts on photons
  - “Off-resonance” data
    - 2.6 fb<sup>-1</sup> taken 40 MeV below the Y(3S)
    - 0.97 fb<sup>-1</sup> taken 30 MeV below the Y(2S)
    - 4.5 fb<sup>-1</sup> taken in a scan above the Y(4S)



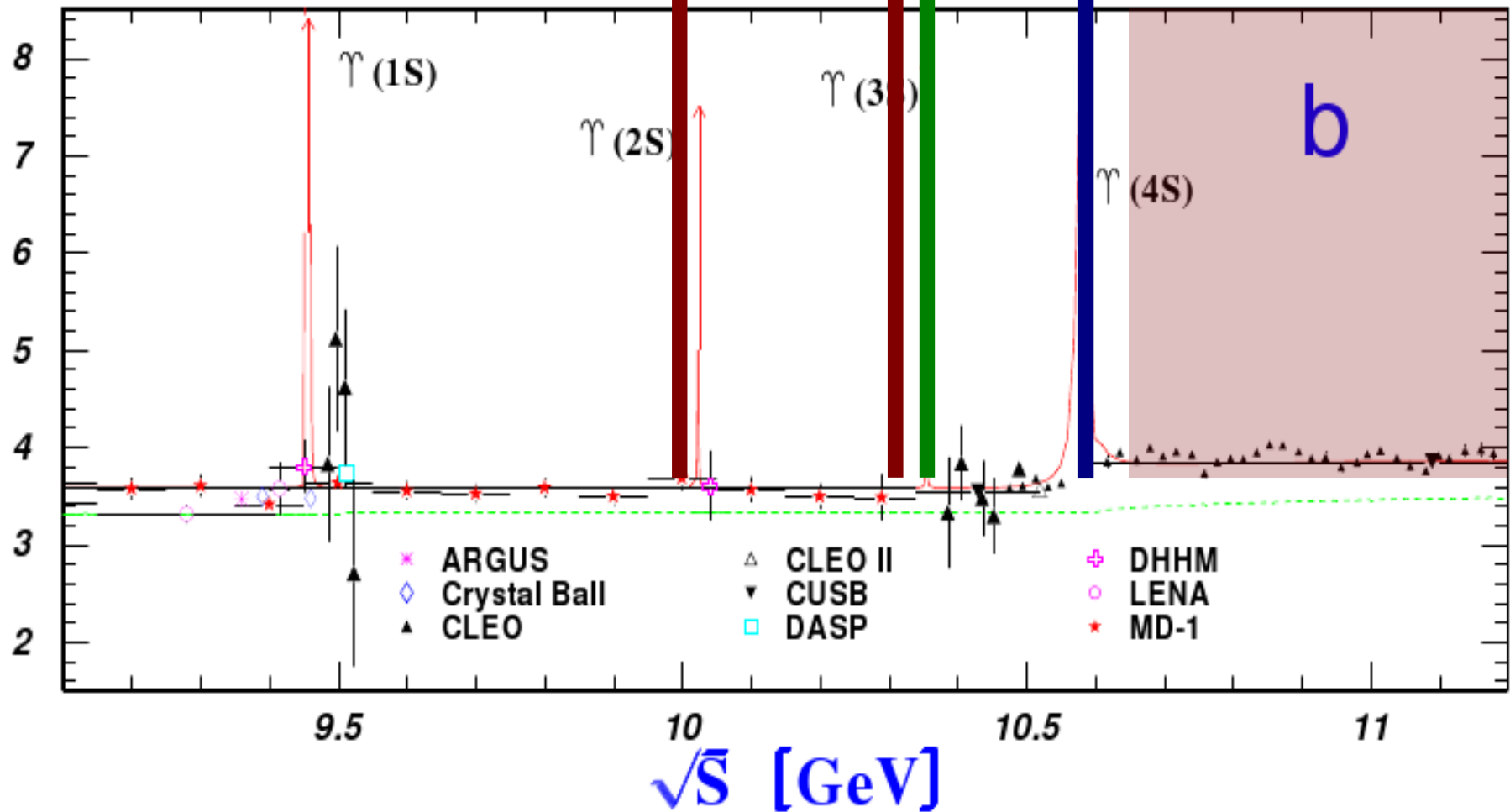
**Data For  
Tuning Cuts  
and Studying  
Backgrounds**

*The plot below is taken from arXiv:hep-ph/0312114v2 and is meant to illustrate the  $e^+e^- \rightarrow \text{hadrons}$  spectrum between 9.1 GeV and 11.2 GeV*

**Data taken away from resonances or above the  $Y(4S)$  – background studies**

**122M  $Y(3S)$**

**$Y(4S)$  data for tuning photon selection**



# Triggering on Single Photons + $\cancel{E}$

**The ability to trigger on events with a single photon and significant missing energy is critical to this analysis**

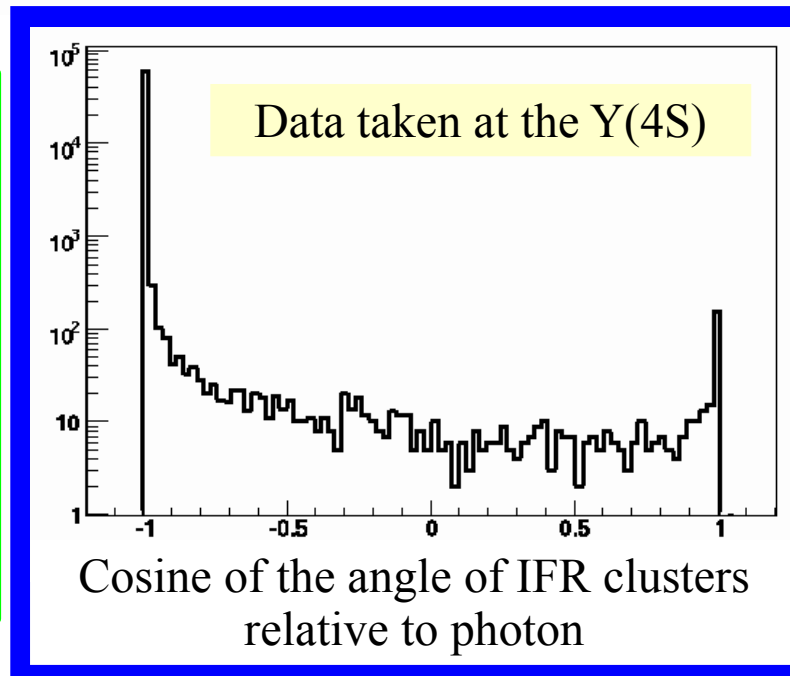
- Dedicated online triggered and filtering were developed
  - Level 1 (hardware trigger): require at least one EMC cluster with energy  $> 800$  MeV (lab frame)
  - Level 3 (software trigger): two lines developed
    - High-energy (HE) line: require isolated EMC cluster with CM-frame energy  $> 2$  GeV
    - Low-energy (LE) line: developed later (only 82 million Y(3S) taken), requires cluster energy  $> 1$  GeV and no tracks from the IP

100 Hz

# Event Selection

Variable	$3.2 < E_\gamma^* < 5.5 \text{ GeV}$	$2.2 < E_\gamma^* < 3.7 \text{ GeV}$
Number of crystals in EMC cluster	$20 < N_{\text{cryst}} < 48$	$12 < N_{\text{cryst}} < 36$
LAT shower shape	$0.24 < LAT < 0.51$	$0.15 < LAT < 0.49$
$a_{42}$ shower shape	$a_{42} < 0.07$	$a_{42} < 0.07$
Polar angle acceptance	$-0.31 < \cos \theta_\gamma^* < 0.6$	$-0.46 < \cos \theta_\gamma^* < 0.46$
2nd highest cluster energy (CMS)	$E_2^* < 0.2 \text{ GeV}$	$E_2^* < 0.14 \text{ GeV}$
Extra photon correlation	$\cos(\phi_2^* - \phi_1^*) > -0.95$	$\cos(\phi_2^* - \phi_1^*) > -0.95$
Extra EMC energy (Lab)	$E_{\text{extra}} < 0.1 \text{ GeV}$	$E_{\text{extra}} < 0.22 \text{ GeV}$
IFR veto	$\cos(\Delta\phi_{\text{NH}}^*) > -0.9$	$\cos(\Delta\phi_{\text{NH}}^*) > -0.95$
IFR fiducial	$\cos(6\phi_\gamma^*) < 0.96$	...

Selection of high-quality photons, with tighter criteria for lower photon energies (increasing backgrounds)



**Total Efficiency:**

High Energy Region: 10-11%

Low Energy Region: 20%



# Systematic Uncertainties - Higgs

## $e^+e^- \rightarrow \gamma\gamma$ background (dominant effect)

varying the yield gives a  $\pm 38$  event uncertainty for  $m_{A_0} = 0 \text{ GeV}/c^2$ ,  
with a decreasing effect for larger masses.

varying the shape gives a  $\pm 70$  event uncertainty at  $m_{A_0} = 7.4 \text{ GeV}/c^2$

## Signal PDF

corrected using data vs. simulation comparison of  $e^+e^- \rightarrow \gamma\gamma$  events,  
taking half the correction as the systematic uncertainty

- The largest impact is at  $m_{A_0} = 7.4 \text{ GeV}/c^2$ , where the signal yield varies by  $\pm 64$  events

## Signal Efficiency

trigger/event filter efficiency checked with  $e^+e^- \rightarrow \gamma\gamma$  and  $e^+e^- \gamma$  (0.4%)

Photon selection checked using  $e^+e^- \rightarrow \mu\mu\gamma$ ,  $\tau\tau\gamma$ , and  $\omega\gamma$  (2%)

Neutral reconstruction: 2%

