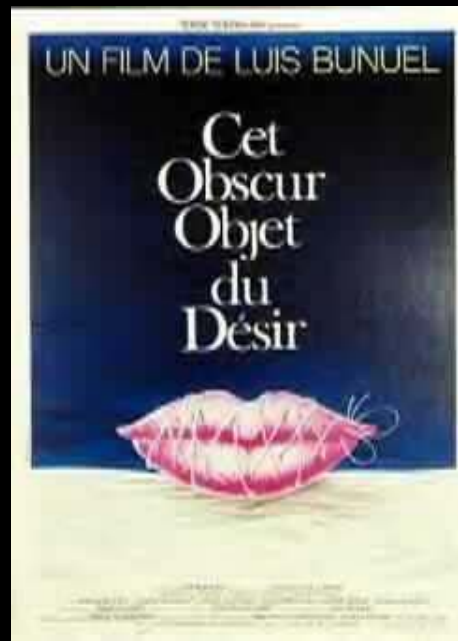


*Chern-Simons theory: That obscure object
of desire*

*University of California
@ Davis*

Davis, April 14, 2008

J. Zanelli
CECS – Valdivia (Chile)



1977 film about a neurotic relationship between a middle aged man and a beautiful young woman who drives him crazy.

She seduces and promises but never yields to the guy's wishes.

The situation repeats itself endlessly, but with a new surprising twist every time.

It is frustrating and nerve-wracking, but it's also **addictive**.

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A typical Yang-Mills action is something like this:

$$I[A] = \frac{1}{4g} \int_{M^4} \sqrt{|g|} g^{\mu\alpha} g^{\nu\beta} \gamma_{ab} F^a_{\mu\nu} F^b_{\alpha\beta} d^4x$$

A typical Chern-Simons action is something like this:

$$I[A] = \kappa \int_{M^3} \left\langle A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right\rangle$$

or this...

$$I[A] = \kappa \int_{M^{2n+1}} \left\langle A \wedge (dA)^n + c_1 A^3 \wedge (dA)^{n-1} + \dots + c_n A^{2n+1} \right\rangle$$

Chern-Simons lagrangians define gauge field theories in a different class:

- They are explicit functions of the connection (A), not local functions of the curvature (F).
- Yet, they yield gauge-invariant field equations.
- Related to homotopic/topological invariants on fiber bundles: **characteristic classes**.
- They require no metric; just a Lie algebra (not necessarily semisimple); no adjustable parameters, conformally invariant. More fundamental(?)

- They are very sensitive to the dimension.

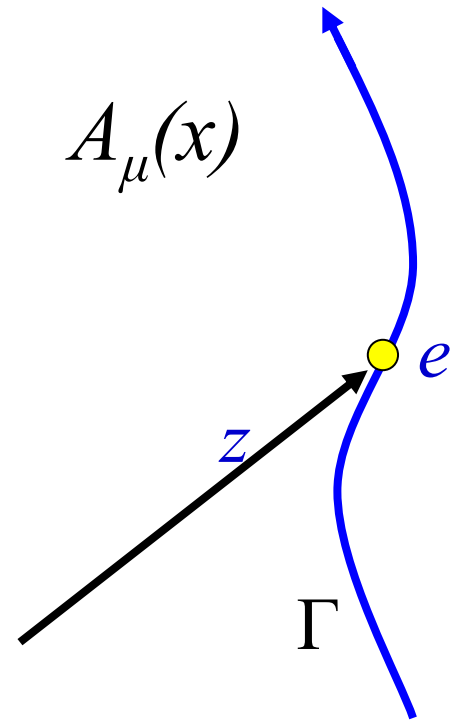
- They naturally couple to branes.

- Their quantization corresponds to sum over holonomies in an embedding space.

- CS theories are not exotic but a rather common occurrence in nature: Anomalies, quantum Hall effect, 11D supergravity (CJS), superconductivity, $2+1$ gravity, $2n+1$ gravity, all of classical mechanics,...

1. CS action in $0+1$ dimensions

E-M coupling



$$I[A, z] = \int j^\mu A_\mu d^D x$$

$$j^\mu(x) = e \int_{-\infty}^{+\infty} \dot{z}^\mu(\tau) \delta(x - z(\tau)) d\tau$$

$$I[A(z)] = \int e A_\mu(z) dz^\mu = e \int_\Gamma A(z)$$

Gauge invariance $A_\mu(x) \rightarrow A_\mu(x) + \partial_\mu \Omega(x)$, is ensured by current conservation, $\partial_\mu j^\mu = 0$, provided $\Omega(z(+\infty)) = \Omega(z(-\infty))$.

Not quite gauge invariant, but **quasi**-invariant.

$$I[z] = \int j^\mu A_\mu d^4 x$$

This expression is invariant under

- Lorentz transformations, (Λ^μ_ν)
- Gauge transformations $A \rightarrow A + d\Omega(x)$
- Gen. coordinate transf. $z^\mu \rightarrow z'^\mu(z)$

This coupling is consistent with the minimal derivative substitution

$$p_\mu \rightarrow p_\mu - eA_\mu(z), \quad \partial_\mu \rightarrow \partial_\mu + ieA_\mu(z)$$

Good for quantization:

$$\partial_\mu \Psi \rightarrow (\partial_\mu + ieA_\mu(z))\Psi$$

The simplest Chern-Simons action

Take

$$I[A] = \kappa \int_{M^{2n+1}} \left\langle A \wedge (dA)^n + c_1 A^3 \wedge (dA)^{n-1} + \dots + c_n A^{2n+1} \right\rangle$$

for an abelian connection and set $n=0$:

$$I[A] = e \int_{\Gamma} A(z) \quad \underline{\mathbf{0+1 \text{ CS theory}}}$$

Is this a sensible action?

$$\mathbf{1 = 0} \quad ???$$

not exactly...

Varying the action,

$$\delta I[A] = e \int_{\Gamma} \delta A(z) = 0$$

This only means $\delta A = d\Omega$ with $\Omega(-\infty) = \Omega(\infty)$, or $\partial\Gamma = 0$.

The classical configurations are arbitrary $U(1)$ connections with PBC or living in a periodic $1d$ spacetime

Alternatively, I can also be viewed as an action for the embedding coordinates z^μ ,

$$I[z] = e \int_{\Gamma} A(z)$$

Varying the action,

$$\delta I[z] = e \int_{\Gamma} \delta z^{\mu} (\partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu}) \dot{z}^{\nu} + e A_{\mu} \delta z^{\mu} \Big|_{\partial \Gamma}$$

$$\delta I = 0 \Rightarrow F_{\mu\nu}(z) \dot{z}^{\nu} = 0$$

The classical orbits are those with zero Lorentz force:

$$\vec{E} + \vec{v} \times \vec{B} = 0$$

the electric and magnetic forces cancel each other out.

N.B.: In order to obtain the equation of motion, z must satisfy periodic boundary conditions.

$$A_{\mu}(z) \delta z^{\mu} \Big|_{\partial \Gamma} = 0$$

A bit about the quantum theory

$$Z[z] = \int_{PBC} [\mu(z)] \exp\left(\frac{i}{\eta} I[z]\right) = \int_{PBC} [\mu(z)] \exp\left(\frac{ie}{\eta} \oint A(z)\right)$$

Thus, the integral is dominated by those orbits for which the holonomies are quantized:

$$\frac{e}{\eta} \oint_{S^1} A(z) = 2n\pi \Rightarrow e \int_{D^2} F = 2n\pi\eta$$

Flux quantization

Does this describe a physically sensible system?

What are the degrees of freedom?

$$I[z] = e \int_{\Gamma} A_{\mu}(z) \dot{z}^{\mu} d\tau$$

Let, $z^{\mu} = (z^0 = t, z^i)$, $i = 1, 2, \dots, 2s$.

$$I[z] = e \int A(z) = e \int_{\Gamma} [A_0(z) + A_i(z) \dot{z}^i] dt,$$

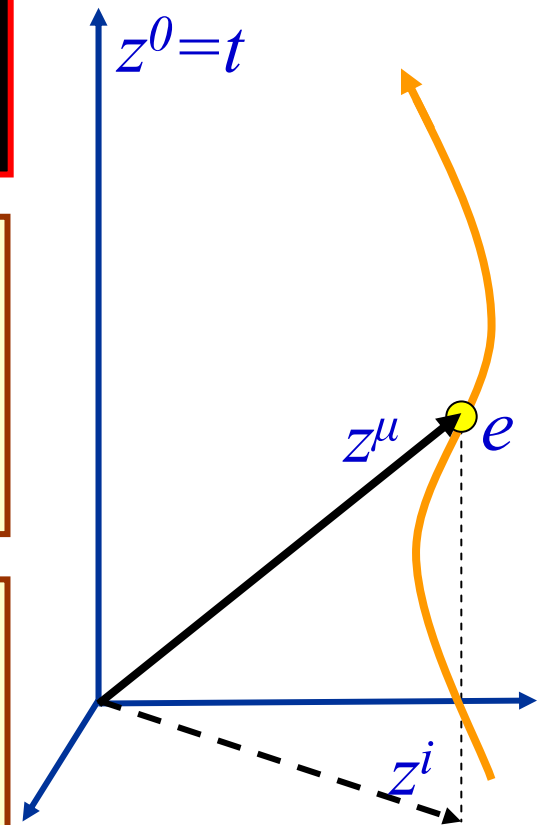
This action describes a mechanical system:

$$I[z] = \int_{\Gamma} [p_i \dot{q}^i - H(z)] dt,$$

where $eA_i(z) = p_i$ (2nd class constraints),
and $eA_0(z) = -H$

The equations of motion are Hamilton's

$$F_{ij}(z) \dot{z}^j = E_i(z) \Rightarrow \varepsilon_{ij} \dot{z}^j = \partial_i H(z)$$



- Any mechanical system with s degrees of freedom can be described by a 0+1 C-S action in a $(2s+1)$ -dimensional target space. (Jackiw-Percacci '87)

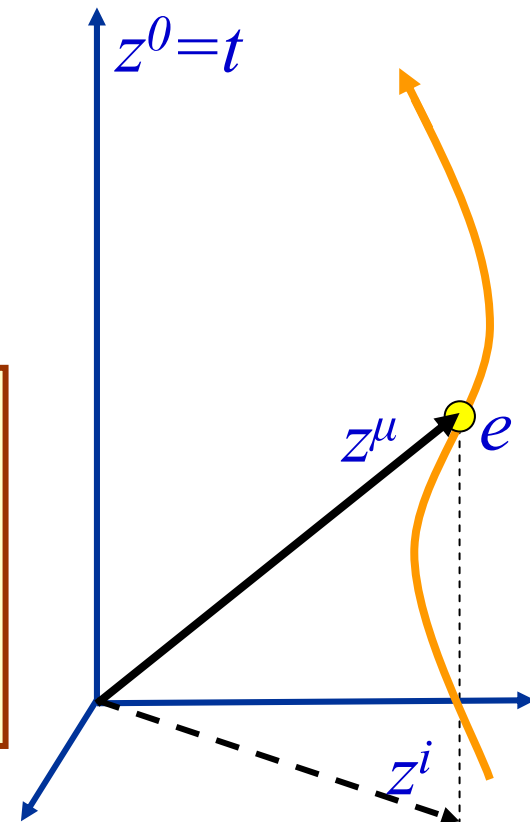
What is the meaning of the flux quantization?

$$e \oint_{S^1} A(z) = 2n\pi\eta$$

Substituting $eA_0(z) = -H$ and $eA_i(z) = p_i$,

$$\oint_{S^1} [p_i dq^i - H dt] = 2n\pi\eta$$

Bohr-Sommerfeld quantization rule



0+1 Chern-Simons

Classical mechanics

Vanishing Lorentz force



Hamilton's equations

Gauge invariance



Invariance under
canonical transf.

Gen. coordinate
transformations



Invariance under time
reparametrizations

Flux/holonomy
quantization



Bohr-Sommerfeld
quantization

More dimensions...

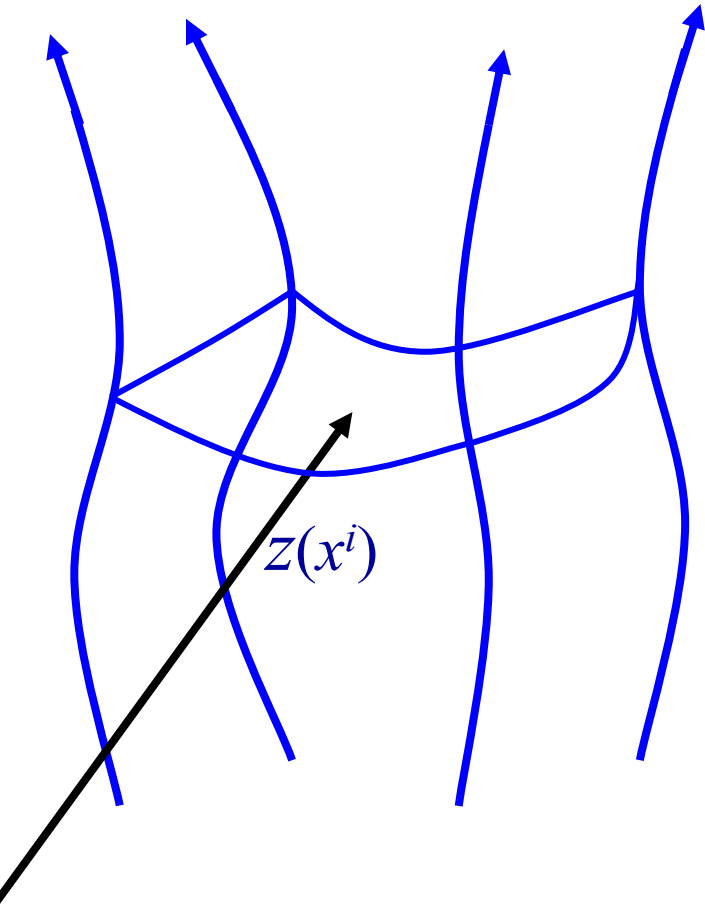
A CS action in 2+1 dimensions can be viewed as a coupling between a brane and an external gauge field

$$\begin{aligned} I[z] &= \int j^{\mu\nu\lambda} (A_\mu \partial_\nu A_\lambda) d^n x \\ &= \kappa \int_{\Gamma^3} A(z) dA(z) \end{aligned}$$

Invariant under:

- Gen. coord. transf. on the worldvolume $z^\mu \rightarrow z'^\mu(z)$
- Lorentz transformations on the target space (Λ^μ_ν)
- Gauge transformations $A \rightarrow A + d\Omega$ [quasi-invariant]

For $D=3, 5, 7, \dots$ New possibilities arise:



- Nonabelian algebras

- $A(z)$ can be dynamical
(propagating in the worldvolume)

- Worldvolume dynamics (gravity)

- Degeneracy (for $D \geq 5$)

- Quantization? (open problem)

2. CS action in $2n+1$ dimensions

Non abelian CS action in $2n+1$ dimensions

$$I[A] = \kappa \int_{M^{2n+1}} \left\langle A \wedge (dA)^n + c_1 A^3 \wedge (dA)^{n-1} + \dots + c_n A^{2n+1} \right\rangle$$

The coefficients c_1, \dots, c_n are fixed rational numbers,

$$L_{2n+1}^{\text{CS}}(A) = (n+1)\kappa \int_0^1 dt \left\langle A \wedge F_t^{n+1} \right\rangle,$$

where

$$F_t = tdA + t^2 A^2$$

Invariant under gauge transformations (up to boundary terms)

$$A' = g^{-1} A g + g^{-1} dg, \quad g(x) \in G$$

Classical CS dynamics

$$I[A] = \int_{\Gamma^{2n+1}} L(A)$$

L	$\delta L = 0$	A
<p><u>0+1</u></p> <p>eA</p>	<p>$\delta A = d\Omega$</p>	<p><i>Arbitrary connection</i></p>
<p><u>2+1</u></p> <p>$\kappa \left\langle AdA + \frac{2}{3} A \wedge A \wedge A \right\rangle$</p>	<p>$F = 0$</p>	<p><i>Pure gauge, nonpropagating, nondegenerate</i></p>
<p><u>2n+1</u></p> <p>$\kappa \left\langle A(dA)^n + c_1 A^3 (dA)^{n-1} + \dots + c_n A^{2n+1} \right\rangle$</p>	<p>$F^{n+1} = 0$</p>	<p><i>Nontrivial, propagating, degenerate</i></p>

Degeneracy of CS theories ($D=2n+1 \geq 5$)

The problem arises from the fact that for $D=2n+1$ with $n \geq 2$, the field equations are nonlinear in the curvature,

$$\langle G_k F^n \rangle = 0$$

where G_k are the generators of the Lie algebra.

The linearized perturbations around a given classical configuration F_0 , obey

$$\langle G_k F_0^{n-1} \delta F \rangle = 0$$

The dynamics depends on the form of F_0 .

Consequences of the degeneracy

- Unpredictability of evolution
- Freezing out of degrees of freedom
- Loss of information about the initial data
- Irreversibility of evolution

<i>D/source</i>	<i>Quantization</i>	<i>Comment</i>
$0+1$ <i>point particle</i> <i>(0-brane)</i>	$e \oint A = e \iint F = 2k\pi\eta$	<i>Holonomy/Flux quant.</i> <i>Dirac quant. condition</i> <i>Bohr-Sommerfeld rule</i>
$2+1$ <i>membrane</i>	$A = g_k^{-1} dg_k, \quad g \in \text{Top. class}$	<i>Finite, power-counting</i> <i>renormalizable</i>
$2n+1$ <i>2n-brane</i>	Holonomies + local deg. of f. <div style="border: 1px solid red; background-color: blue; color: yellow; padding: 5px; display: inline-block; margin-top: 10px;">How?</div>	<i>Anybody's guess</i>

3. CS Gravity actions in $2n+1$ dimensions

1. Equivalence Principle:

- Spacetime is locally approximated by Minkowski space and has the same (local) Lorentz symmetry.
- GR is the oldest known nonabelian gauge theory; gauge group $SO(3,1)$.

2. Gravitation should be a theory whose output is the spacetime geometry. Therefore, it is best to start with a theory that makes no assumptions about the local geometry.

1&2 → Chern-Simons theory is probably a better choice

There are two characteristic classes associated to the rotation groups $SO(s,t)$: the Euler and the Pontryagin classes. Associated with each of them there are the corresponding CS actions

Action (first order formalism):

$$I[e, \omega] = \kappa \int_{M^D} L_D (e, de, \omega, d\omega)$$

Vielbein
(metricity)

Connection
(parallelism)

$$R^{ab} = d\omega^{ab} + \omega^a_c \wedge \omega^{cb} = \text{Curvature 2-form}$$


$$T^a = de^a + \omega^a_b \wedge e^b = \text{Torsion 2-form}$$

Steps for constructing CS gravities:

1. Combine the vielbein and spin connection into a connection for the *dS*, *AdS*, or *Poincaré* group:

$$A = l^{-1} e^a J_a + \frac{1}{2} \omega^{ab} J_{ab},$$

2. Select the bracket that corresponds to the invariant characteristic class to be used (**Euler** or **Pontryagin**), e.g.,

$$\langle J_{a_1} J_{a_2 a_3} \cdots J_{a_{D-1} a_D} \rangle = \mathcal{E}_{a_1 \cdots a_D}$$


3. Write down the lagrangian

$$L(e, \omega) = (n+1) \int_0^1 dt \langle A \wedge F_t^n \rangle$$

CS gravities ($D=2n-1$) (summary)

- No dimensionful constants (scale invariant)
- No arbitrary adjustable/renormalizable constants
- Possess black hole solutions $\Lambda = -\#l^{-D/2} < 0$
- Admit $\Lambda \neq 0$ and $\Lambda \rightarrow 0$ ($l \rightarrow \infty$) limit
- Admit SUSY extensions for $\Lambda \leq 0$ and any odd D , and yield field theories with *spins* ≤ 2 only
- Give rise to acceptable $D=4$ effective theories

4. Coupling to matter sources

It is a beautiful feature of CS theories the fact that they possess no free adjustable coupling constants.

...and it is also one of the difficulties when trying to make sense of them

$$I[A] = \kappa \int_{M^{2n+1}} \left\langle A \wedge (dA)^n - c_1 A^3 \wedge (dA)^{n-1} + \dots + c_n A^{2n+1} \right\rangle$$

Quantized

Fixed rational numbers $\sim O(1)$

But doesn't mean one cannot have interactions

Nothing prevents putting together CS actions of different dimensions,

$$I[A] = \sum_{r=0} \kappa_r \int_{M^{2r+1}} \left\langle A \wedge (dA)^r + c_1 A^3 \wedge (dA)^{r-1} + \dots + c_n A^{2r+1} \right\rangle$$

But, what would this mean?

Consider the simplest case:

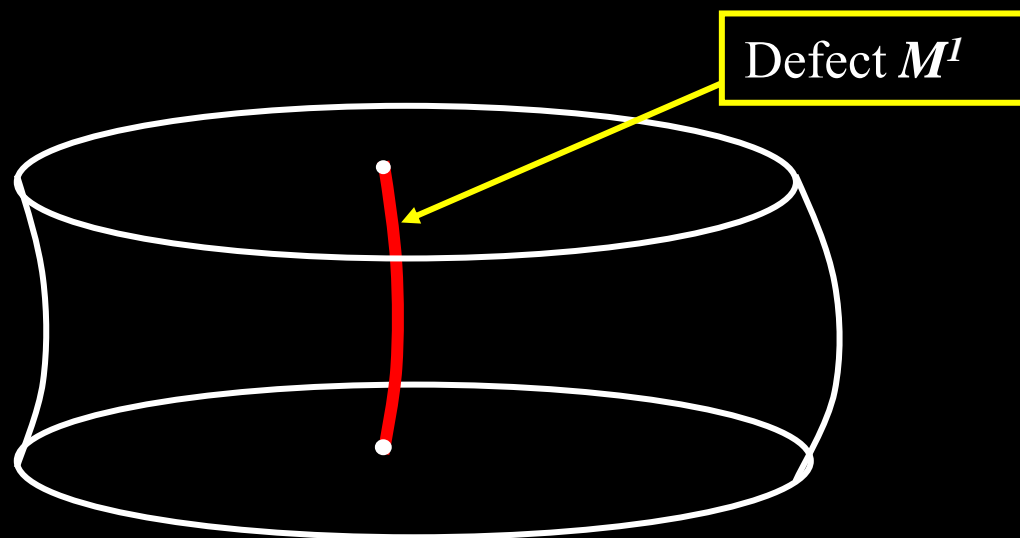
$$I[A] = \kappa_3 \int_{M^{2+1}} \left\langle A \wedge dA + \frac{2}{3} A^3 \right\rangle + \kappa_1 \int_{M^{0+1}} \bar{A} \quad A \in \mathcal{G}$$

where \bar{A} is the restriction of A to an abelian subalgebra

$$\bar{A} \in \mathcal{G}_0 \subset \mathcal{G}$$

The effects of coupling to this 0-brane are

1. Breaking the symmetry: $\mathcal{G} \rightarrow \mathcal{G}_0$
2. Introducing a topological defect.



For example, if $\mathcal{G} = SO(2,2)$ the 3d part describes 2+1 gravity, and the defect is a sort of ‘conical’ singularity...

Under closer scrutiny, it can be seen that the defect is the result of an identification by an abelian subgroup of the AdS_3 group: a 2+1 black hole!

The mass and angular momentum are related to the strength of the coupling constant (κ_1) and the particular subgroup of $SO(2,2)$ that is used.

Coupling more $2n$ -branes in this way, more complex structures can be produced (black holes, branes, ...?)

5. Summary

- CS actions have been used in physics much longer than one usually thinks: e-m coupling, all of classical mechanics!
- CS theories can be viewed as boundary theories coming from topological field theories in even $D = 2n$ manifolds.
- They have no free adjustable parameters and require no metric structure.
- Degeneracy for $D \geq 5$: limited predictability, irreversible loss of degrees of freedom, dynamical dimensional reduction.
- There exist CS (super-) gravities with dimensionless couplings, all fields have spins ≤ 2 and the metric is not a fundamental field but a condensate...

- The natural way to couple CS theories is to $2n$ -branes.
- The different branes produce topological defects of co-dimension 2, 4,...
- They break supersymmetry down to $\frac{1}{2}$, $\frac{1}{4}$, ... of the one in the highest dimensional CS form.
-

CS theories are so exceptional, it's not only worth studying them. It is also understandable if one loses his mind because of them...

Thanks!