

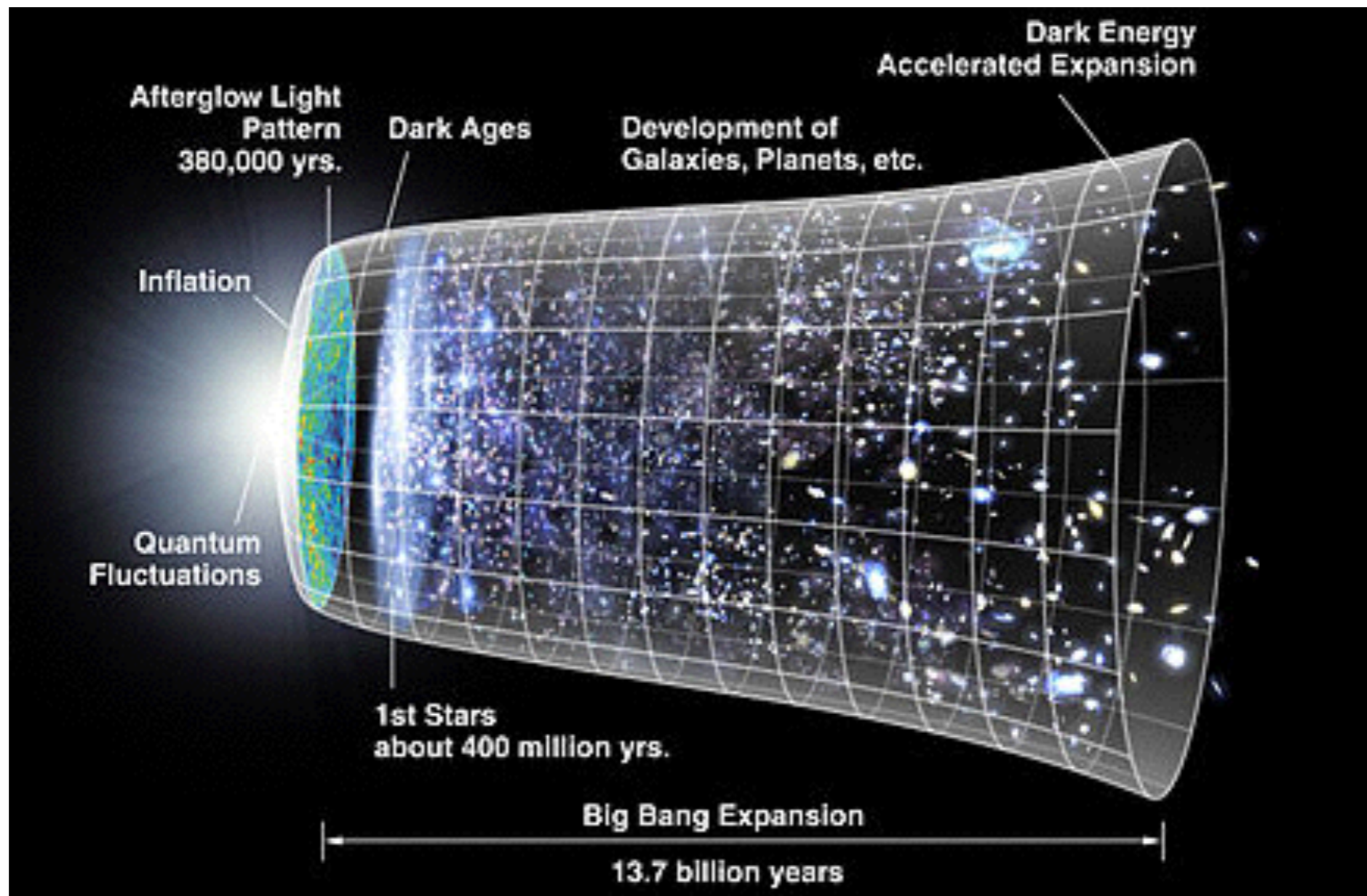
A Cosmic Microwave Background (CMB) fluctuation map showing temperature variations across the sky. The map is color-coded, with blue representing cooler regions and yellow/orange representing warmer regions. The fluctuations are most prominent in the lower half of the image, showing a complex pattern of small-scale variations.

# Introduction to Inflation: Single-field Inflation

**Daniel Green**  
CITA

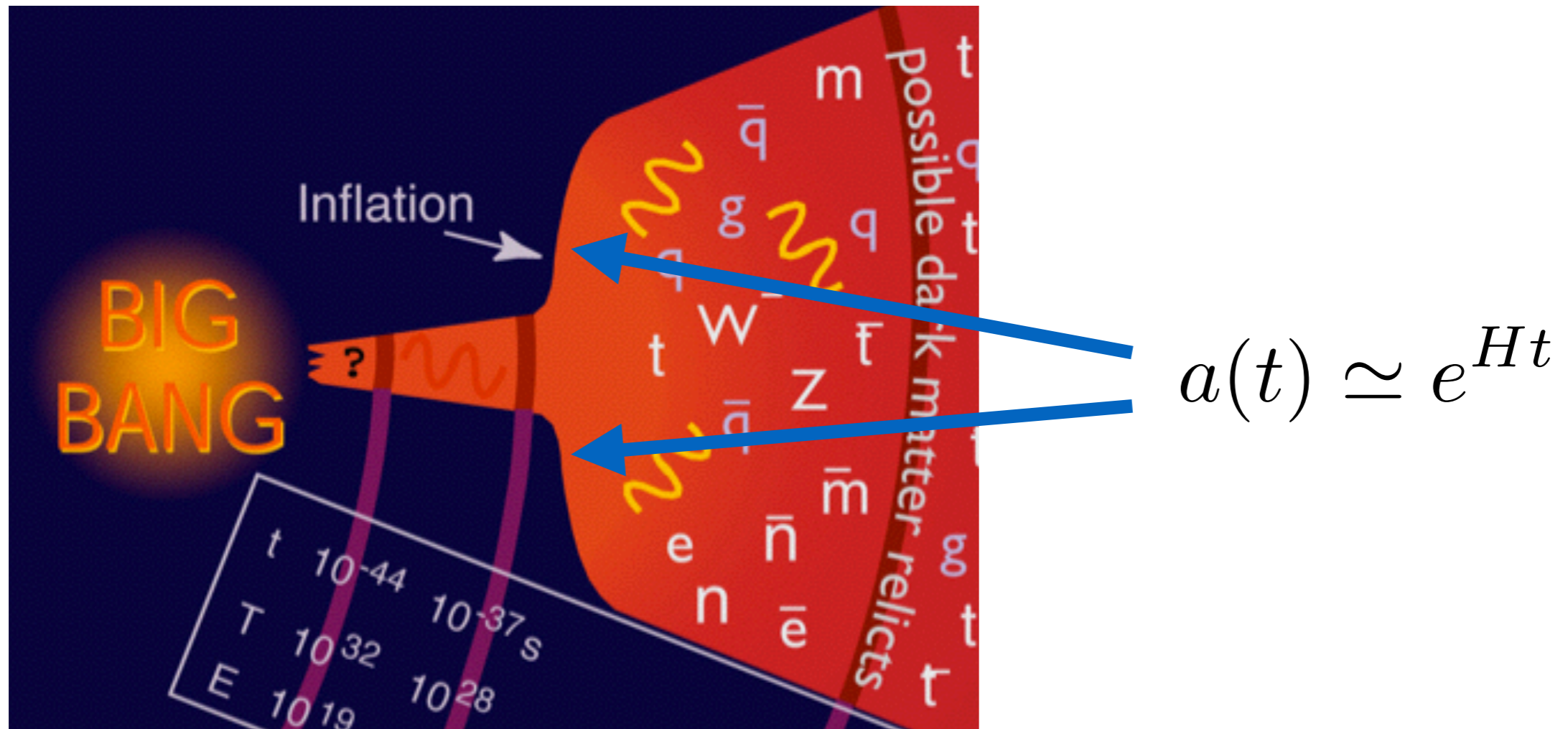
# Overview

Inflation is the earliest “known” period in our history



# Overview

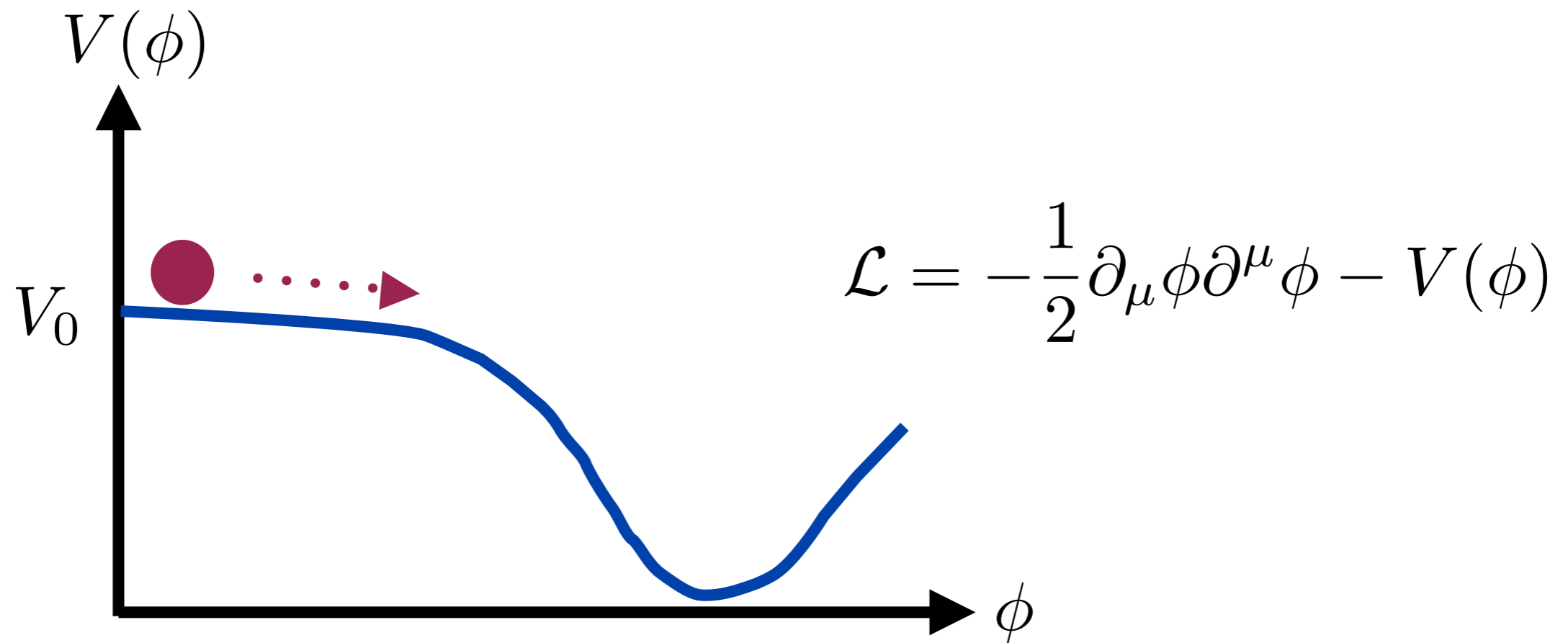
Period of exponential growth of the scale factor



Initial seeds of structure were formed at this time

# Overview

Conventional picture is something like this



Potential energy dominated = Exponential growth

# Overview

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I will take a slightly unusual approach to inflation

Lecture 1: Single-field inflation  $\longleftrightarrow$  EWSB

- Spontaneously broken gauge theory (gravity)
  - Goldstone boson equivalence theorem
  - Precision tests from effective operators
  - Weakly coupled models = light scalar field
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# Overview

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I will take a slightly unusual approach to inflation

Lecture 2: Beyond Single-field phenomenology

- Single-field consistency conditions
  - Violations = new particles during inflation
  - Prospects for observations
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# Overview

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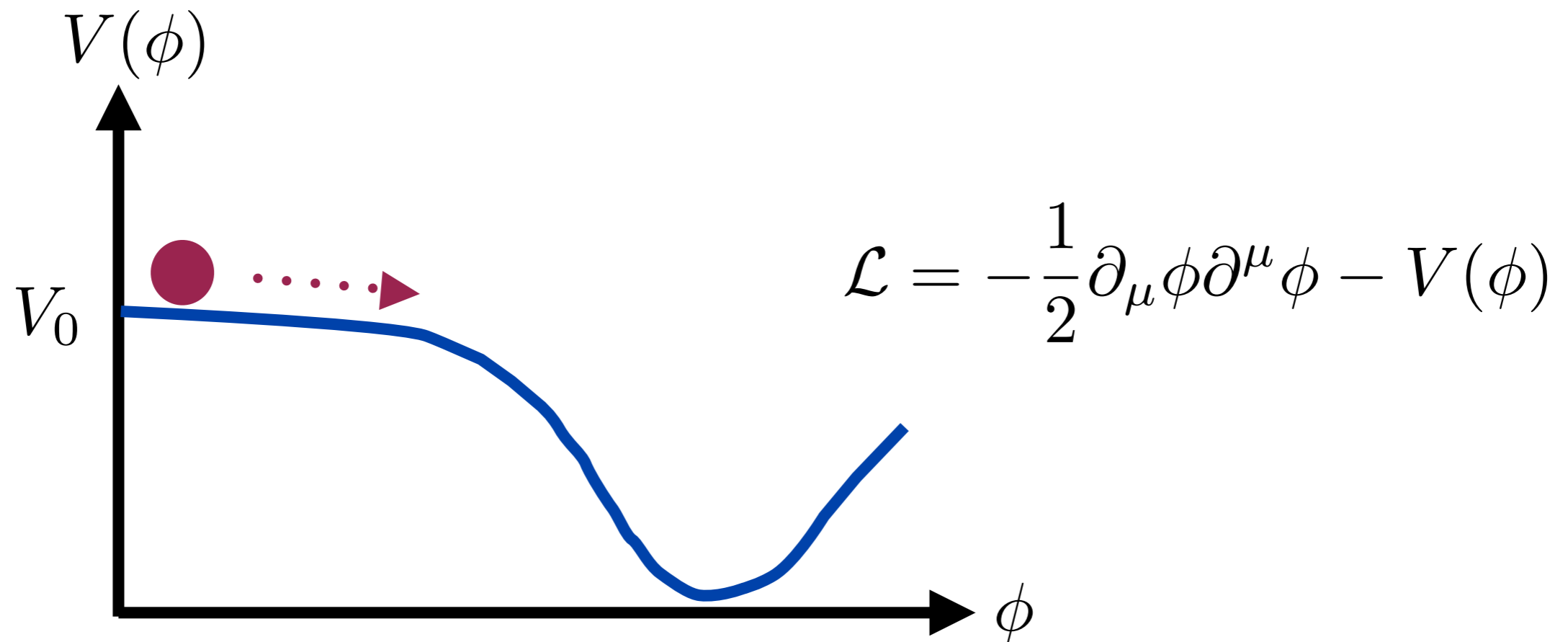
I will take a slightly unusual approach to inflation

Overall goal: Highlight similarities with BSM physics

- Particle physicists have the tools to make interesting contributions to the subject
  - Language makes it easier to understand cosmological observations / results
  - Opportunities for interactions between the fields
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# Overview

I will spend little time on model building

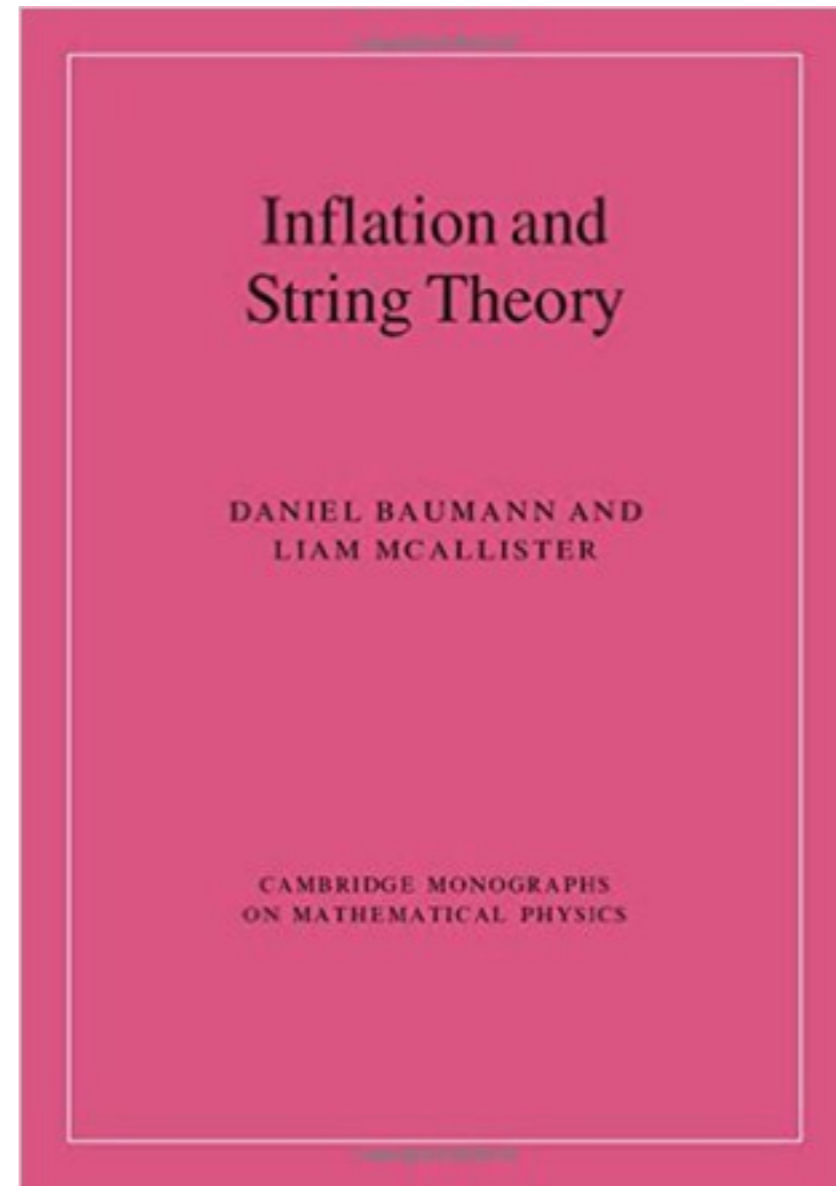
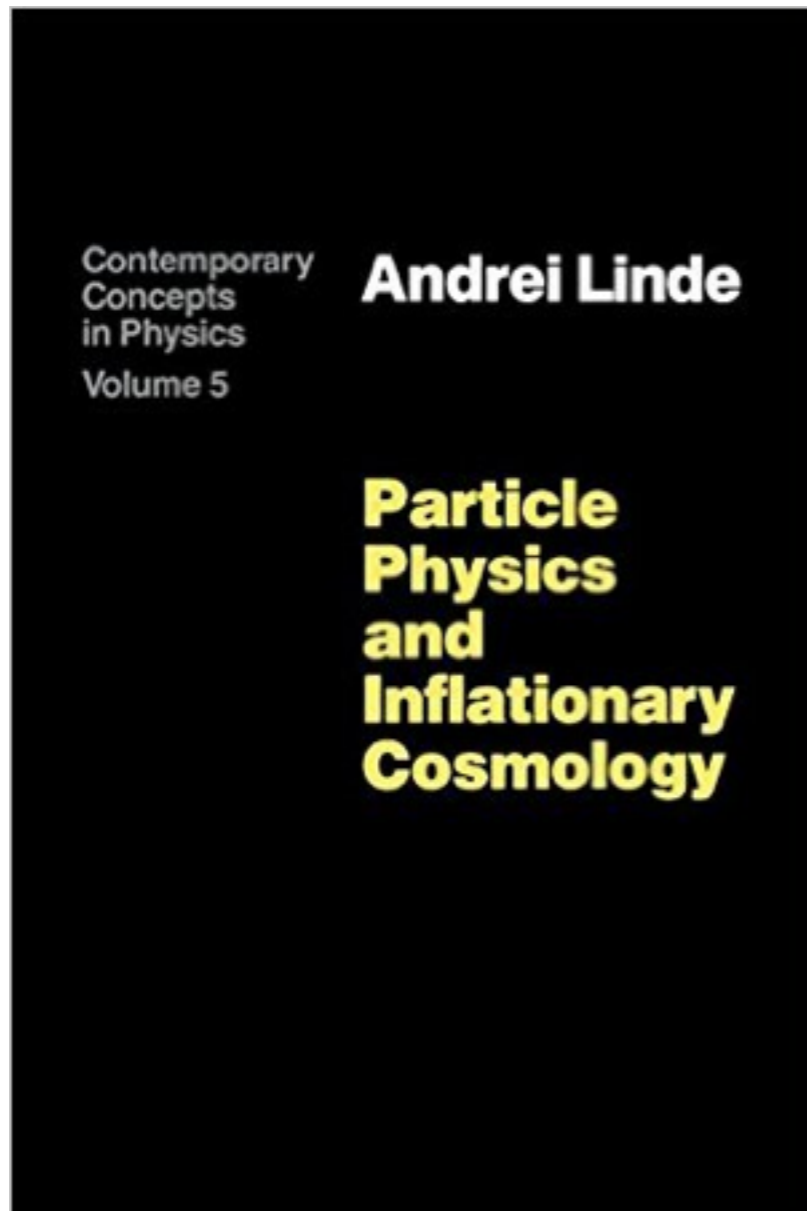


E.g. technical naturalness, Planck-scale corrections,  
embedding in String theory or SUGRA



# Overview

Reason: subject is very well covered elsewhere



# Overview

Reason: subject is very well covered elsewhere

## TASI Lectures on Inflation

Daniel Baumann

*Department of Physics, Harvard University, Cambridge, MA 02138, USA  
School of Natural Sciences, Institute for Advanced Study, Princeton, NJ 08540, USA*

th] 30 Nov 2012

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### Abstract

In a series of five lectures I review inflationary cosmology. I begin with a description of the initial conditions problems of the Friedmann-Robertson-Walker (FRW) cosmology and then explain how inflation, an early period of accelerated expansion, solves these problems. Next, I describe how inflation transforms microscopic quantum fluctuations into macroscopic seeds for cosmological structure formation. I present in full detail the famous calculation for the primordial spectra of scalar and

**Baumann : 0907.5424**

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Reason: subject is very well covered elsewhere

Xiv:1311.2312v1 [hep-th] 10 Nov 2013

LES HOUCHES LECTURES ON INFLATIONARY OBSERVABLES  
AND STRING THEORY

Eva Silverstein

*Stanford Institute for Theoretical Physics, Stanford University, Stanford, CA 94306, USA*

*SLAC National Accelerator Laboratory, 2575 Sand Hill, Menlo Park, CA 94025*

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**Abstract**

These lectures cover the theoretical structure and phenomenology of some basic mechanisms for inflation. A full treatment of the problem requires ‘ultraviolet completion’ because of the sensitivity of inflation to quantum gravity effects, while the observables are elegantly parameterized using low energy field theory. String theory provides novel mechanisms for inflation, some subject

**Silverstein : 1311.2312**

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# Overview

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Reason: subject is very well covered elsewhere

Lectures from TASI:

<http://physicslearning2.colorado.edu/tasi/>

E.g. Silverstein (2015), Senatore (2015,2012)

Lectures from PITP 2011:

<https://video.ias.edu/pitp-2011>

E.g. Creminelli, Silverstein

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# Outline : Lecture 1

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Why Inflation?

The EFT of Inflation

Life in de Sitter Space

Energy Scales and Observations

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# References : Lecture 1

## The Effective Field Theory of Inflation

Cheung, Creminelli, Fitzpatrick, Kaplan, & Senatore

[arXiv : 0709.0293](#)

## Equilateral Non-Gaussianity and

## New Physics on the Horizon

Baumann & DG

[arXiv: 1102.5343](#)

See Baumann's TASI lectures for more background

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# Conventions

FRW metric:  $ds^2 = -dt^2 + a(t)^2 d\vec{x}^2$

$$H(t) \equiv \frac{\dot{a}}{a} \quad 3M_{\text{pl}}^2 H^2 = \sum_i \rho_i(t)$$

Throughout these lectures there will be waves:

$$\zeta(x, t) \sim A_{\vec{k}}(t) \cos(\vec{k} \cdot x)$$

Physical momenta are  $k_p = \frac{k}{a}$

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# Conventions

Dan's many laws of cosmology

A mode is “outside the horizon” when

$$k_p \equiv \frac{k}{a} < H \quad \lambda_p \sim \frac{a}{k} > H^{-1} = R_{\text{horizon}}$$

$$k < aH$$

$$\frac{k}{aH} < 1$$

(remember that  $k$  does not change in time)

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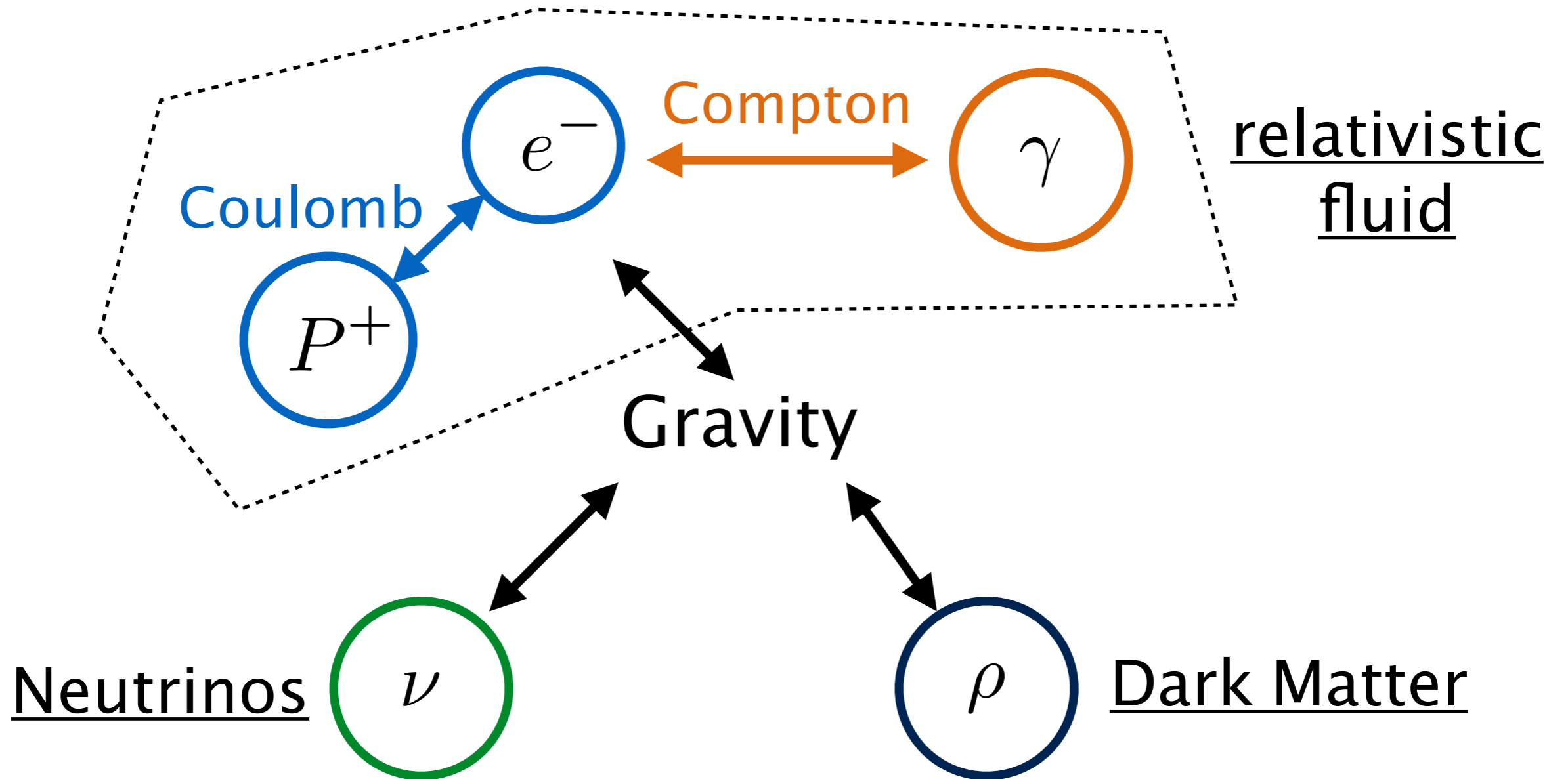


# Why Inflation?



# Inflation and the CMB

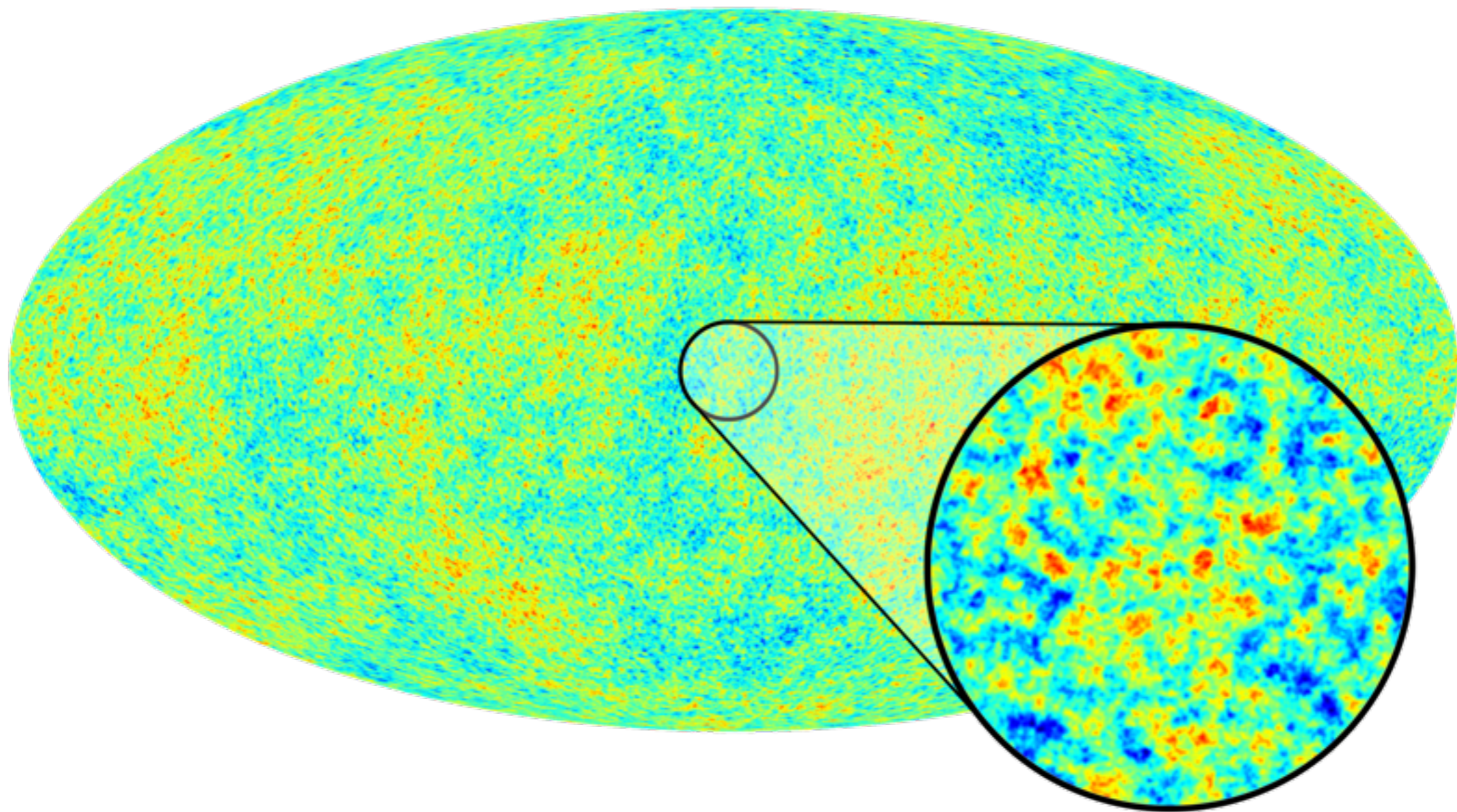
Before CMB, photons-baryons effectively one fluid



# Inflation and the CMB

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We see a snap-shot of the sound waves in this fluid



# Inflation and the CMB

We decompose this map in spherical harmonics

$$T(\hat{n}) = \sum_{m,\ell} a_{\ell,m} Y_{\ell,m}(\hat{n})$$

We then average over the m index

$$C_\ell = \frac{1}{2\ell + 1} \sum_m a_{\ell,m} a_{\ell,-m}$$

This is a average over waves with same wavelength

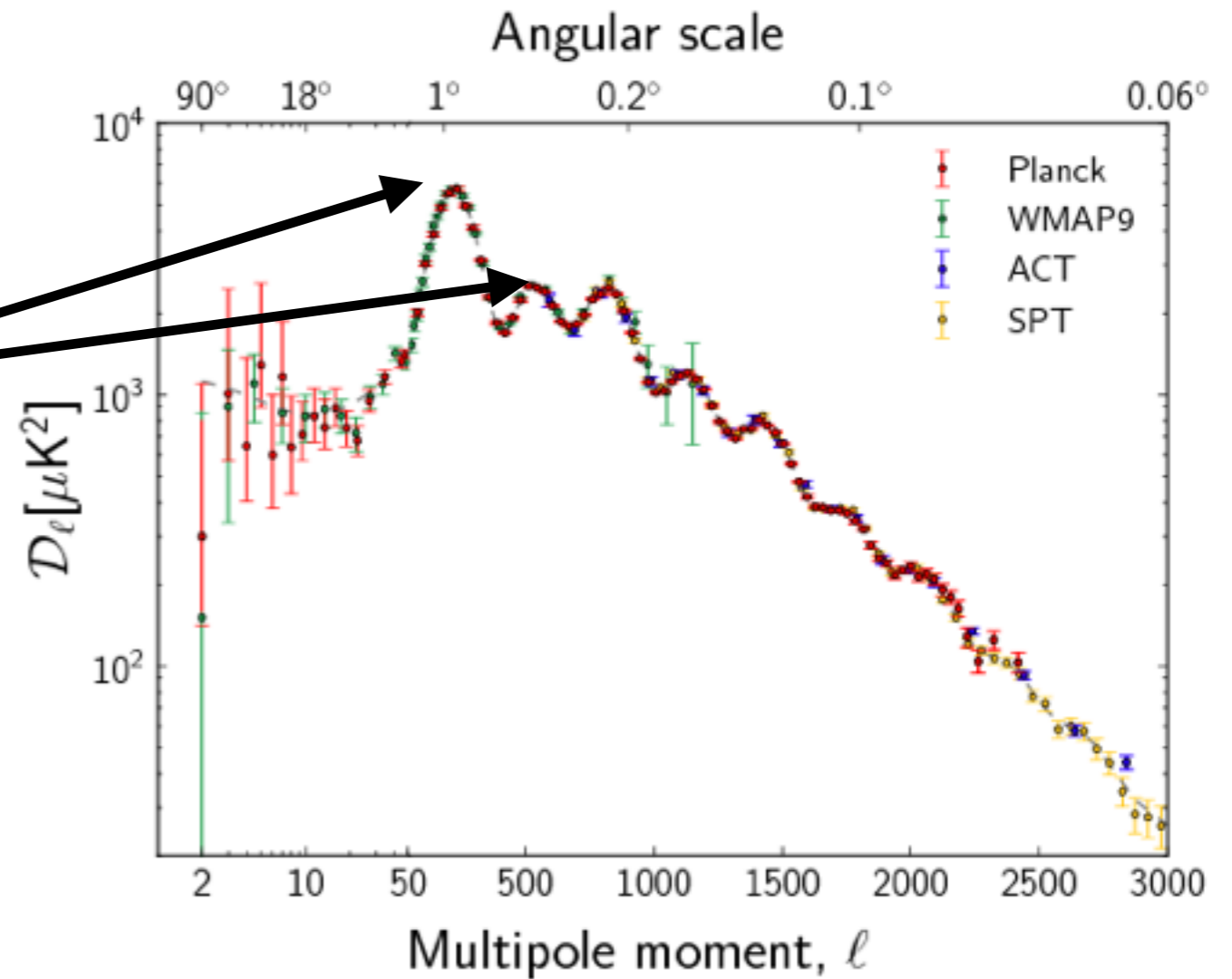
$$T \sim A_{\vec{k}} e^{i\vec{k}\cdot\vec{x}} \longrightarrow C_\ell \sim \sum_{|\vec{k}|=\ell} |A_{\vec{k}}|^2$$

# Inflation and the CMB

These waves oscillate in time

$$\frac{\delta T}{T} \sim A_{\vec{k}} \cos(kr_s)$$

$$r_s = \int^{a_*} \frac{da}{a^2 H} \frac{1}{\sqrt{3(1 + R_b(a))}}$$



Acoustic peaks show that they are in-phase

# Inflation and the CMB

Phase coherence is a stringent requirement of CMB

Any local source will have arbitrary phases

$$\frac{\delta T}{T} \sim A_k \cos kr_s + B_k \sin kr_s$$

Observed power spectrum requires  $B_k = 0$

However, if mode existed outside the “horizon”

$$\frac{k}{aH} \ll 1 \rightarrow B_k \propto a^{-3} \rightarrow 0$$

# Inflation and the CMB

In a universe with matter and/or radiation

$$3M_{\text{pl}}^2 H^2 = \frac{\rho_{\gamma,0}}{a^4} + \frac{\rho_{m,0}}{a^3} \longrightarrow H \propto a^{-2, -3/2}$$

If a mode was outside the horizon at time of CMB

$$\frac{k}{a_{\text{CMB}}} \sim H_{\text{CMB}} \longrightarrow \frac{k}{a} < H \quad \text{for } a < a_{\text{CMB}}$$

Initial conditions set on “super-horizon” scales

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# Inflation and the CMB

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Challenge is to explain the origin of the fluctuations

Ordinary matter + locality will not work

Two options:

- (1) Non-local production of fluctuations
  - (2) Change the matter content of the universe
-



# Non-locality and Quantum Gravity

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Option 1 is intimately tied to singularity resolution

Problem: we can't resolve cosmological singularities

Recent progress inspired by dS/CFT and inflation

Maldacena; Maldacena & Pimentel;  
McFadden, Skenderis et al.; Trivedi et al.

Would be a legitimate alternative to inflation, but not mature enough to test (yet)

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# What is Inflation?

Option 2 has many options (in principle)

For equation of state  $p = w\rho$

$$3M_{\text{pl}}^2 H^2 = \frac{\rho_{w,0}}{a^{3(1+w)}} \quad H \propto a^{-3(1+w)/2}$$

Solves our problem for any  $w < -\frac{1}{3}$

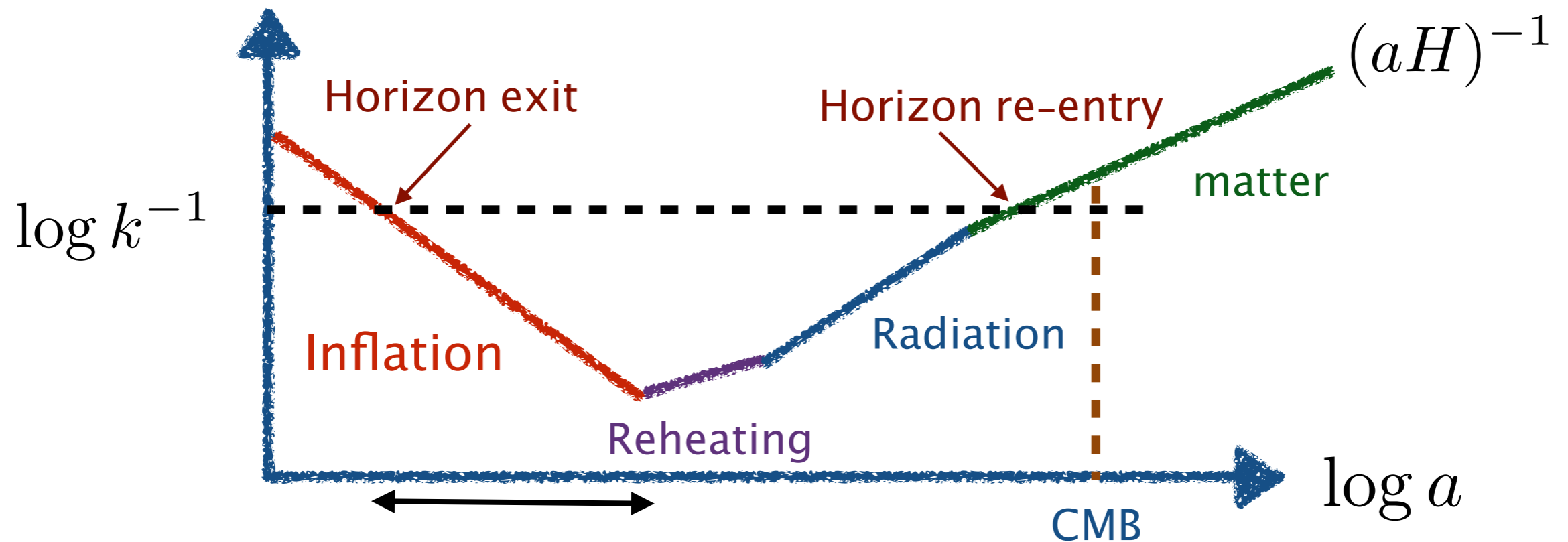
Alternatively, the universe could bounce at  $a = 0$

Most of these options don't work in detail

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# What is Inflation?

Allow modes to go inside to outside first



$$N_e = \int_{k=(aH)_{\text{inf}}}^{t_{\text{end}}} H dt \sim 50 - 60$$

Period needs to be long enough to match scales

# What is Inflation?

A definition:

1. A period of quasi-dS expansion Guth

$$\frac{\dot{H}}{H^2} \ll 1$$

During inflation, fluctuations stretched

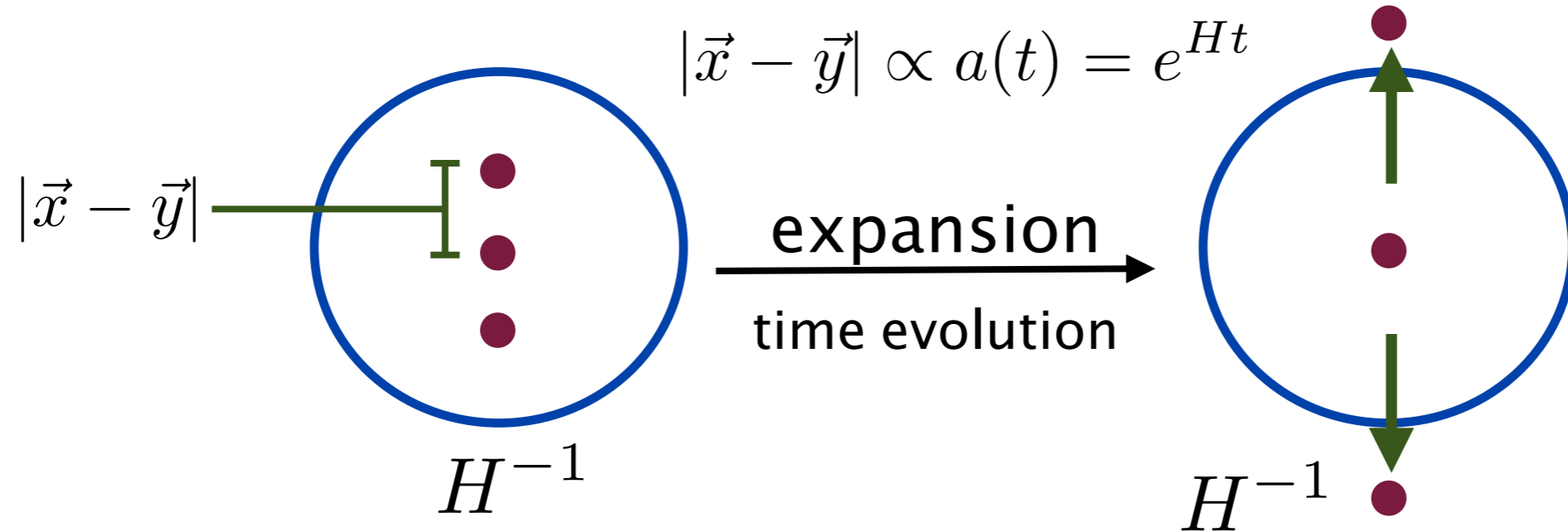
$$a \sim a_0 e^{Ht} \quad \frac{k}{aH} \rightarrow 0$$

Long wavelengths evolve from short wavelengths

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# What is Inflation?

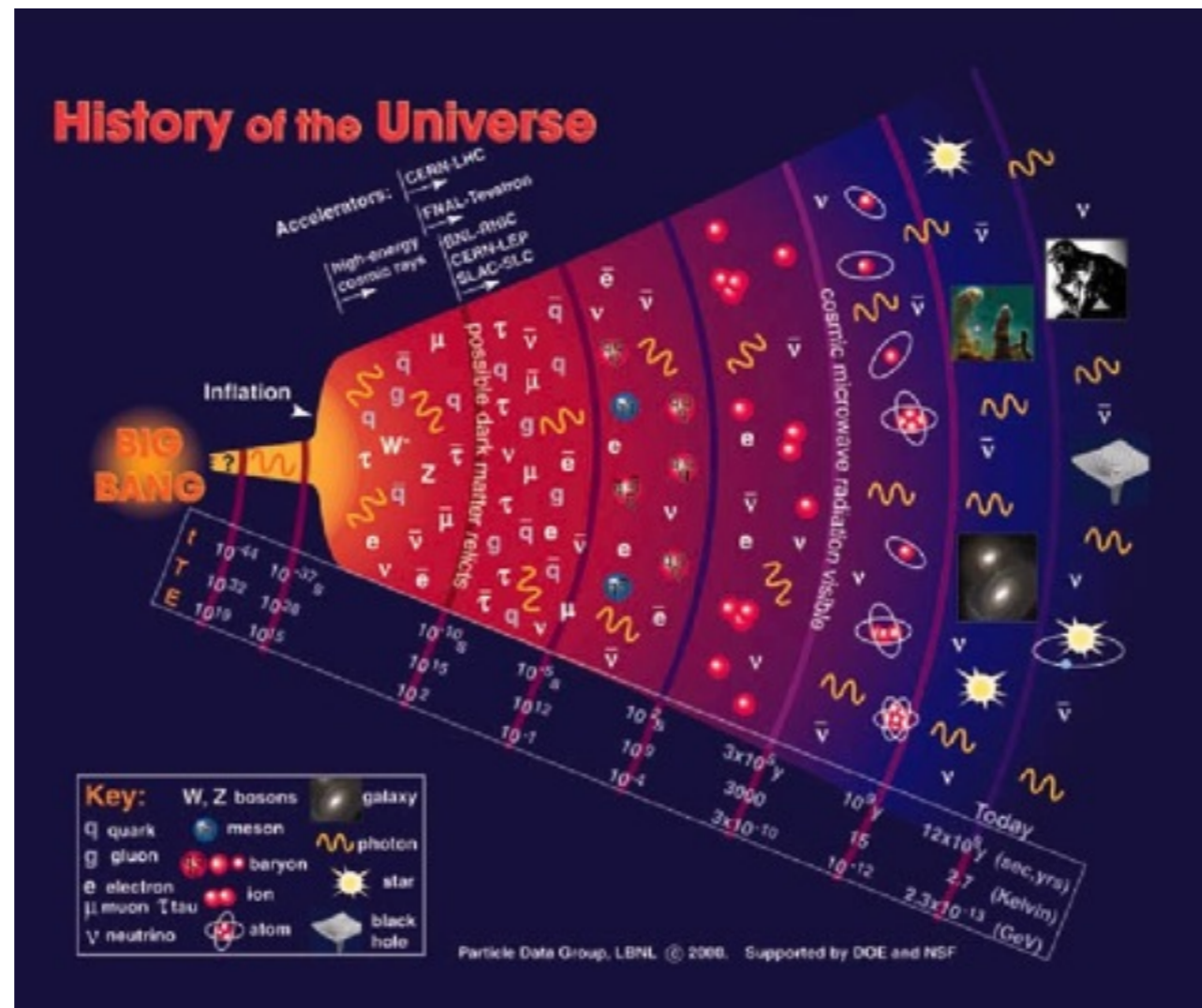
Production of fluctuations can be local



This is a property of de Sitter space

# What is Inflation?

Inflation also requires that the phase ends



We must get the hot “big bang” eventually

# What is Inflation?

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A definition:

2. A physical clock

Linde; Albrecht & Steinhardt

“End of inflation” needs a physical definition

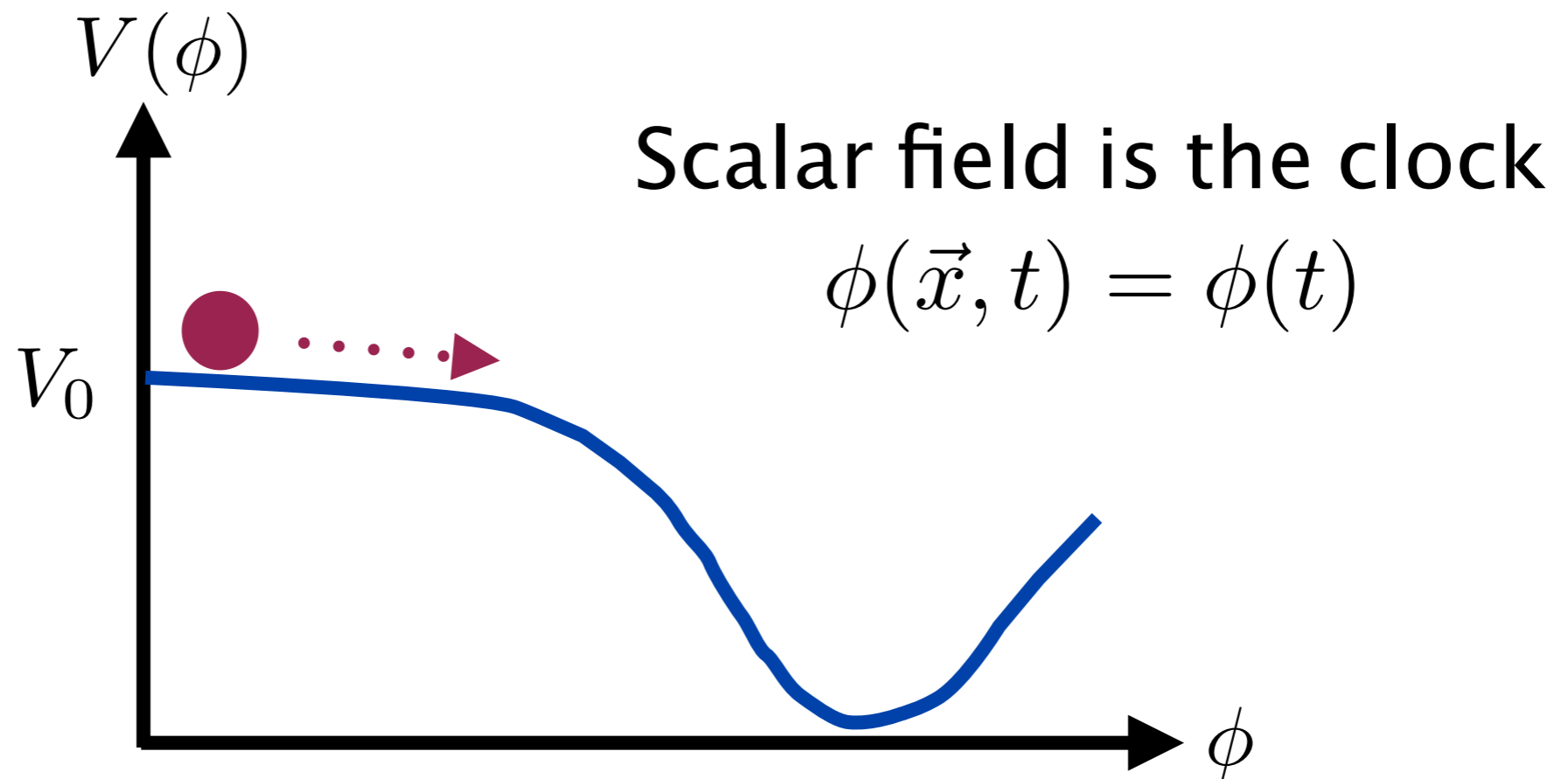
Inflation must end everywhere at the same “time”

Different regions synched their clocks in the past

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# What is Inflation?

## Slow-roll Inflation



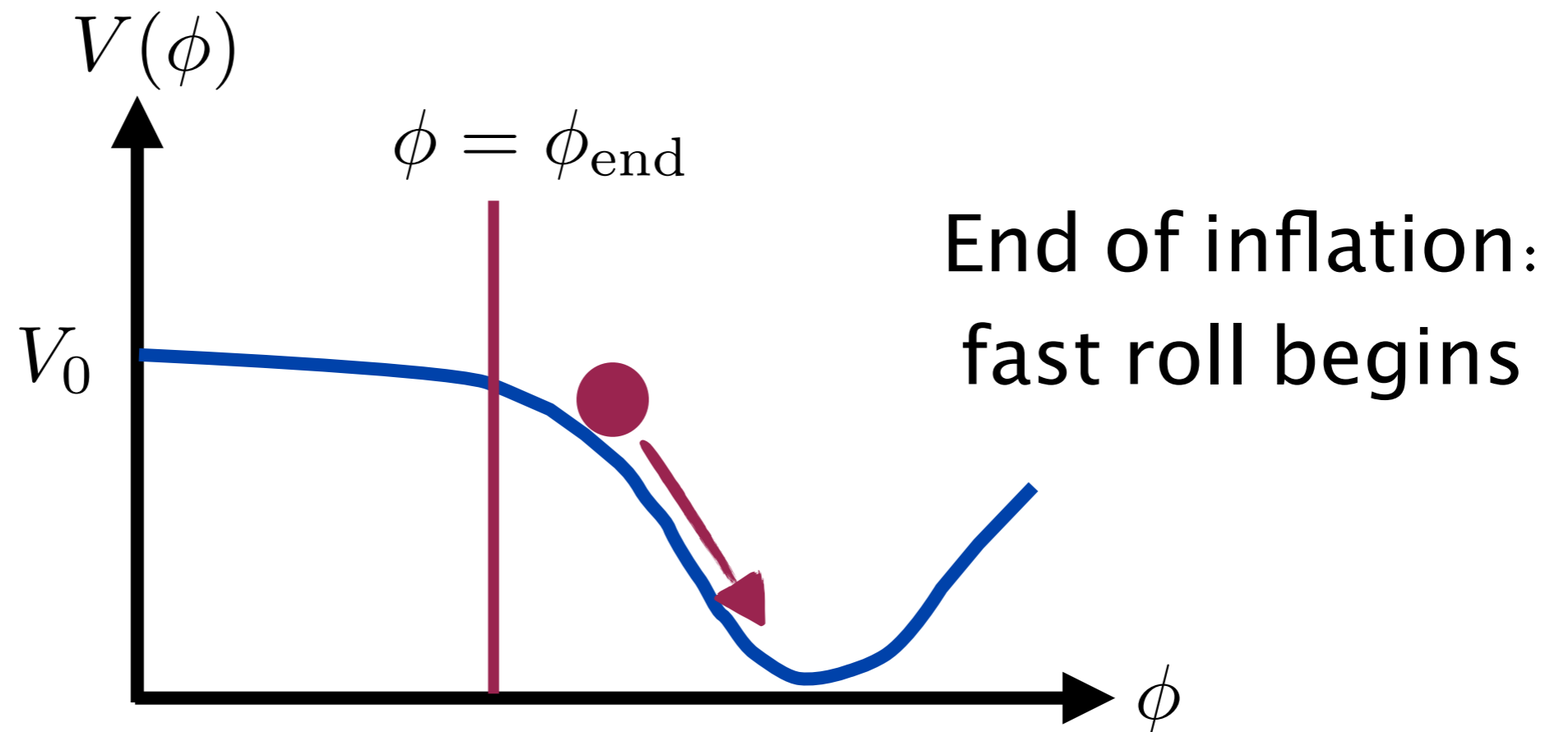
$$H^2 \propto \frac{1}{2} \dot{\phi}^2 + V(\phi) \sim V_0$$

Slow-roll = Potential energy dominates



# What is Inflation?

## Slow-roll Inflation



End of inflation defined by value of field  
Afterwards, energy converted to radiation

# Origin of structure

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The origin of density fluctuations emerges naturally

Reason: No clock is perfect (uncertainty principle)

The amount of inflation will vary from place to place:

$$\zeta(x) \sim \frac{\delta a(x)}{a} \sim \frac{\dot{a}\delta t(x)}{a} \equiv H\delta t$$

Energy diluted differently = density fluctuations

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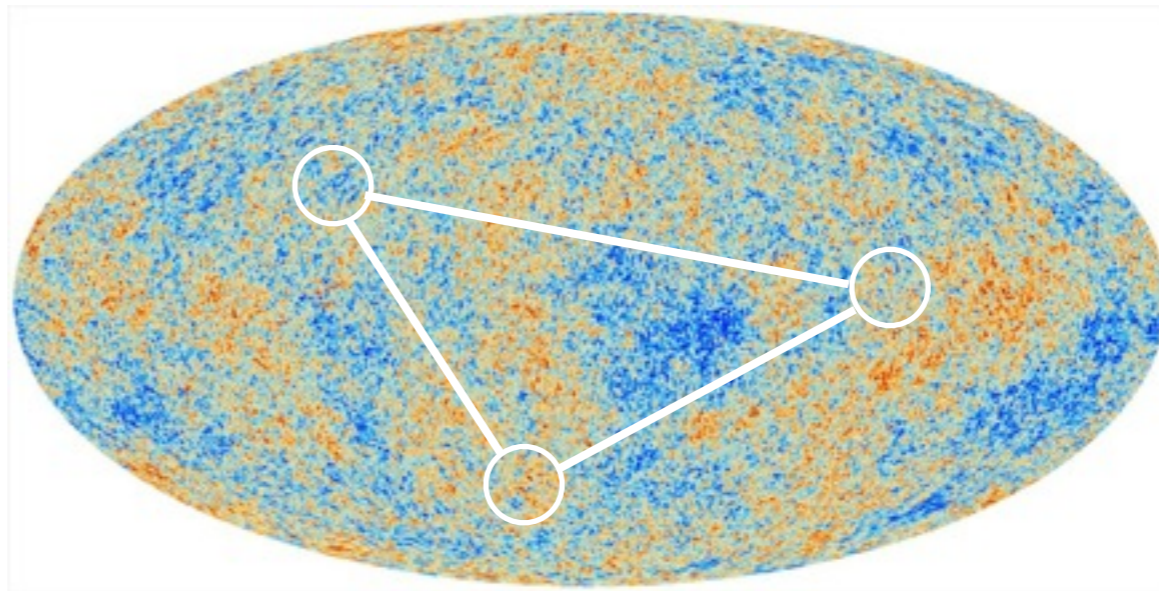
# Origin of structure

Determine CMB temperature fluctuations

$$\frac{\delta T(\mathbf{n})}{T} \sim \int d^3k F(\mathbf{k} \cdot \mathbf{n}, k) \zeta_{\mathbf{k}}$$

Think of

$$\langle \zeta(x_1) \dots \zeta(x_n) \rangle \rightarrow$$



The background of the slide is a Cosmic Microwave Background (CMB) fluctuation map. It shows a complex pattern of blue and orange/red spots, representing temperature variations in the early universe. The blue areas indicate slightly cooler regions, while the orange/red areas indicate slightly warmer regions. The overall pattern is irregular and fractal-like, with some larger-scale structures and smaller-scale fluctuations.

# The EFT of Inflation



# EFT of a Clock

Flat space / UV physics is time translation symmetric

A clock spontaneously breaks time translations

Goldstone boson:  $t \rightarrow t - c$        $\pi \rightarrow \pi + c$

Construct action from “linear field”  $U \equiv t + \pi$

$$\mathcal{L} = F(U, \partial_\mu U \partial^\mu U, \dots)$$

Most general action of the goldstone boson

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# EFT of Inflation

Gravity gauges time translations (time diffeomorphisms)

We can couple our goldstone to gravity minimally

$$S = \int dt d^3x \sqrt{-g} \left[ \frac{M_{\text{pl}}^2}{2} \mathcal{R} + F(U, g^{\mu\nu} \partial_\mu U \partial_\nu U, \dots) \right]$$

We want this action to have a classical solution

$$ds^2 = -dt^2 + a(t)^2 d\vec{x}^2 \quad \begin{array}{l} |\dot{H}(t)| \ll H^2(t) \\ \pi(\vec{x}, t) = 0 \end{array}$$

# EFT of Inflation

First we need to cancel tadpoles for  $\pi$

Useful to realize that  $(\partial_\mu U \partial^\mu U + 1)^N = \mathcal{O}(\pi^N)$

Expanding the action in  $\pi$

$$S_\pi = \int dt d^3x \sqrt{-g} \left[ M_{\text{pl}}^2 \dot{H} \partial_\mu U \partial^\mu U - M_{\text{pl}}^2 (3H(U)^2 + \dot{H}(U)) + \mathcal{O}(\pi^2) \right]$$

We used Einstein's equations to get  $H(t) = \frac{\dot{a}}{a}$

# Connection to Slow-Roll

Slow-roll inflation recovered via Einstein's equations

$$M_{\text{pl}}^2 \dot{H} = -\frac{1}{2} \dot{\phi}^2$$

$$3M_{\text{pl}}^2 H^2 = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

Ignoring the fluctuations  $U = t$

$$\mathcal{L} = -M_{\text{pl}}^2 \dot{H} - M_{\text{pl}}^2 (3H^2 + \dot{H}) = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

Slow roll is captured by the universal terms

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# Higher order terms

There are many extra terms we could add

$$\mathcal{L} = M_{\text{pl}}^2 \dot{H} \partial_\mu U \partial^\mu U - M_{\text{pl}}^2 (H^2 + \dot{H}) \\ + \sum_{n \geq 2} M_n^4(U) (\partial_\mu U \partial^\mu U + 1)^n + \mathcal{O}(\nabla_\mu \nabla_\nu U)$$

All coupling “constants” are functions of time

These terms are non-universal / model dependent

Canonical slow-roll sets all of these terms to zero

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# How do we use it?

This is very general, but is it practical?

Goldstone boson equivalence makes it useful

Decoupling limit

$$M_{\text{pl}}^2 \rightarrow \infty \quad \dot{H} \rightarrow 0 \quad M_{\text{pl}}^2 \dot{H} = \text{Const.}$$

↑  
Decouples Gravity

↑  
Fixes SSB / Goldstone

# How do we use it?

In the decoupling limit, e.g.

$$\mathcal{L}_\pi = \frac{M_{\text{pl}}^2 |\dot{H}|}{c_s^2} \left[ (\dot{\pi}^2 - c_s^2 \partial_i \pi \partial^i \pi) - (1 - c_s^2) (\dot{\pi} \partial_i \pi \partial^i \pi - \dot{\pi}^3) \right]$$

Here we have include the first non-universal term

$$M_2^4 (\partial_\mu U \partial^\mu U + 1)^2 = \frac{M_{\text{pl}}^2 |\dot{H}| (1 - c_s^2)}{c_s^2} [\dot{\pi}^2 + \dots]$$

Higher orders do not affect the quadratic action at this order in derivatives

# How do we use it?

---

The point is that  $\pi$  is the fluctuation of the clock

Decoupling limit is a short cut to its dynamics

Knowing the statistics of the clock lets us compute

$$\zeta \sim -H\pi$$

This is the “gauge invariant” scalar fluctuation

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# How do we use it?

In any FRW,  $\zeta$  is a constant outside the horizon

Thermal history after inflation doesn't alter statistics

After inflation modes start coming inside horizon

We use  $\zeta_k$  as the ICs not long before time of CMB

$$\frac{\delta T(\mathbf{n})}{T} \sim \int d^3 k F(\mathbf{k} \cdot \mathbf{n}, k) \zeta_{\mathbf{k}}$$



# Life in de Sitter Space



# Massless scalar in dS

Most of our intuition comes from de Sitter space

$$ds^2 = a^2(\tau) (-d\tau^2 + d\vec{x}^2)$$

Hubble is constant in de Sitter:  $a = a_0 e^{Ht}$

$$dt = a d\tau \rightarrow a = -\frac{1}{\tau H}$$

In de Sitter, future infinity is  $\tau = 0$

Horizon crossing is  $k\tau = -1$

# Massless scalar in dS

Most of our intuition comes from scalar field in dS

$$\mathcal{L} = \int d\tau d^3\vec{x} \sqrt{-g} \left( -\frac{1}{2} \partial_\mu \phi \partial^\mu \phi \right) \rightarrow \int \frac{d\tau d^3\vec{x}}{H^2 \tau^2} \frac{1}{2} (\phi'^2 - \partial_i \phi \partial^i \phi)$$

Equations of motion  $\phi'' - \frac{2}{\tau} \phi' + k^2 \phi = 0$

Solution  $\phi = C_1 (1 - ik\tau) e^{ik\tau} + C_2 (1 + ik\tau) e^{-ik\tau}$

Solving is the easy part - need to understand it

---



# Massless scalar in dS

We have our solution to the classical equations:

$$\phi = C_1(1 - ik\tau)e^{ik\tau} + C_2(1 + ik\tau)e^{-ik\tau}$$

Now we want to quantize  $\hat{\phi}_{\vec{k}}(\tau) = \phi_{\vec{k}}(\tau)\hat{a}^\dagger + \text{h.c.}$

At very early times, mode inside horizon  $-k\tau \gg 1$

Create a positive frequency mode  $C_2 = 0$

Should be normalized like in flat space

# Massless scalar in dS

Fix the normalization from commutator

$$\phi(\vec{x}) = \int \frac{d^3k}{(2\pi)^3} \hat{\phi}_{\vec{k}} e^{i\vec{k}\cdot\vec{x}} \quad [\phi(\vec{x}), \dot{\phi}(\vec{x}')] = \frac{i}{a^3} \delta(\vec{x} - \vec{x}')$$

Using  $\dot{\phi} = -\tau H \phi'$

$$\hat{\phi}_{\vec{k}}(\tau) = \frac{H}{\sqrt{2k^3}} (1 - ik\tau) e^{ik\tau} \hat{a}^\dagger + \text{h.c.}$$

Modes freeze-out  $\lim_{\tau \rightarrow 0} \hat{\phi}_{\vec{k}}(\tau) = \frac{H}{\sqrt{2k^3}} (\hat{a}^\dagger + \hat{a})$

# Real time intuition

Much easier to get intuition in real time

$$\ddot{\phi} + 3H\dot{\phi} + \frac{k^2}{a^2}\phi = 0$$

At early times, gradients dominate. Use WKB

$$\phi \sim \frac{1}{\sqrt{2\omega}} e^{i \int^t dt' \omega(t')} \quad i\omega = -\frac{3}{2}H + i\frac{k}{a} + \mathcal{O}\left(\frac{Ha}{k}\right)$$

$$\phi \sim \frac{1}{a(\tau)\sqrt{2k}} e^{ik\tau} = -\frac{H\tau k}{\sqrt{2k^3}} e^{ik\tau}$$

Inside the horizon, just like flat space

# Real time intuition

Much easier to get intuition in real time

$$\ddot{\phi} + 3H\dot{\phi} + \frac{k^2}{a^2}\phi = 0$$

At late times, we can ignore the gradients

$$\ddot{\phi} + 3H\dot{\phi} = 0 \longrightarrow \phi = C_1 + C_2 a^{-3} + \mathcal{O}\left(\frac{k^2}{a^2 H^2}\right)$$

Outside the horizon, constant solutions are classical

# Real time intuition

Much easier to get intuition in real time

$$\ddot{\phi} + 3H\dot{\phi} + \frac{k^2}{a^2}\phi = 0$$

All we are doing is matching at  $-k\tau = \frac{k}{aH} = 1$

classical fluctuations emerge from vacuum

Expansion produces particles of energy  $H$

# de Sitter Summary

Some important things to note:

Classical density fluctuations produced at  $k = aH$

Amplitude set by Hubble

Time translations symmetry = Scale invariant

$$\langle \phi_{\vec{k}} \phi_{\vec{k}'} \rangle = \frac{H^2}{2k^3} \delta(\vec{k} + \vec{k}')$$

# Back to the EFT

What matters in dS is what happens when  $\omega \sim H$   
(like a collider with center of mass energy  $H$ )

Our decoupling limit was  $M_{\text{pl}}^2 \rightarrow \infty, \dot{H} \rightarrow 0$

The error we are making is the  $\mathcal{O}\left(\frac{\omega^2}{M_{\text{pl}}^2}, \frac{\dot{H}}{\omega^2}\right)$

Controlled by definition  $M_{\text{pl}}^2 \gg \omega^2 \sim H^2 \gg \dot{H}$

Inflation is necessarily in the decoupling limit

# Back to the EFT

There are some important differences in inflation

$$\mathcal{L}_\pi = \frac{M_{\text{pl}}^2 |\dot{H}|}{c_s^2} \left[ (\dot{\pi}^2 - c_s^2 \partial_i \pi \partial^i \pi) + (1 - c_s^2) (\dot{\pi} \partial_i \pi \partial^i \pi - \dot{\pi}^3) \right]$$

1. Non-relativistic when  $c_s^2 < 1$
2. Interaction linked to speed of sound
3. Explicit time dependence



# Back to the EFT

There are some important differences in inflation

$$\mathcal{L}_\pi = \frac{M_{\text{pl}}^2 |\dot{H}|}{c_s^2} \left[ (\dot{\pi}^2 - c_s^2 \partial_i \pi \partial^i \pi) - (1 - c_s^2) (\dot{\pi} \partial_i \pi \partial^i \pi - \dot{\pi}^3) \right]$$

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# Back to the EFT

There are some important differences in inflation

$$\mathcal{L}_\pi = \frac{M_{\text{pl}}^2 |\dot{H}(t)|}{c_s^2(t)} \left[ (\dot{\pi}^2 - c_s^2 \partial_i \pi \partial^i \pi) - (1 - c_s^2) (\dot{\pi} \partial_i \pi \partial^i \pi - \dot{\pi}^3) \right]$$

1. Non-relativistic when  $c_s^2 < 1$
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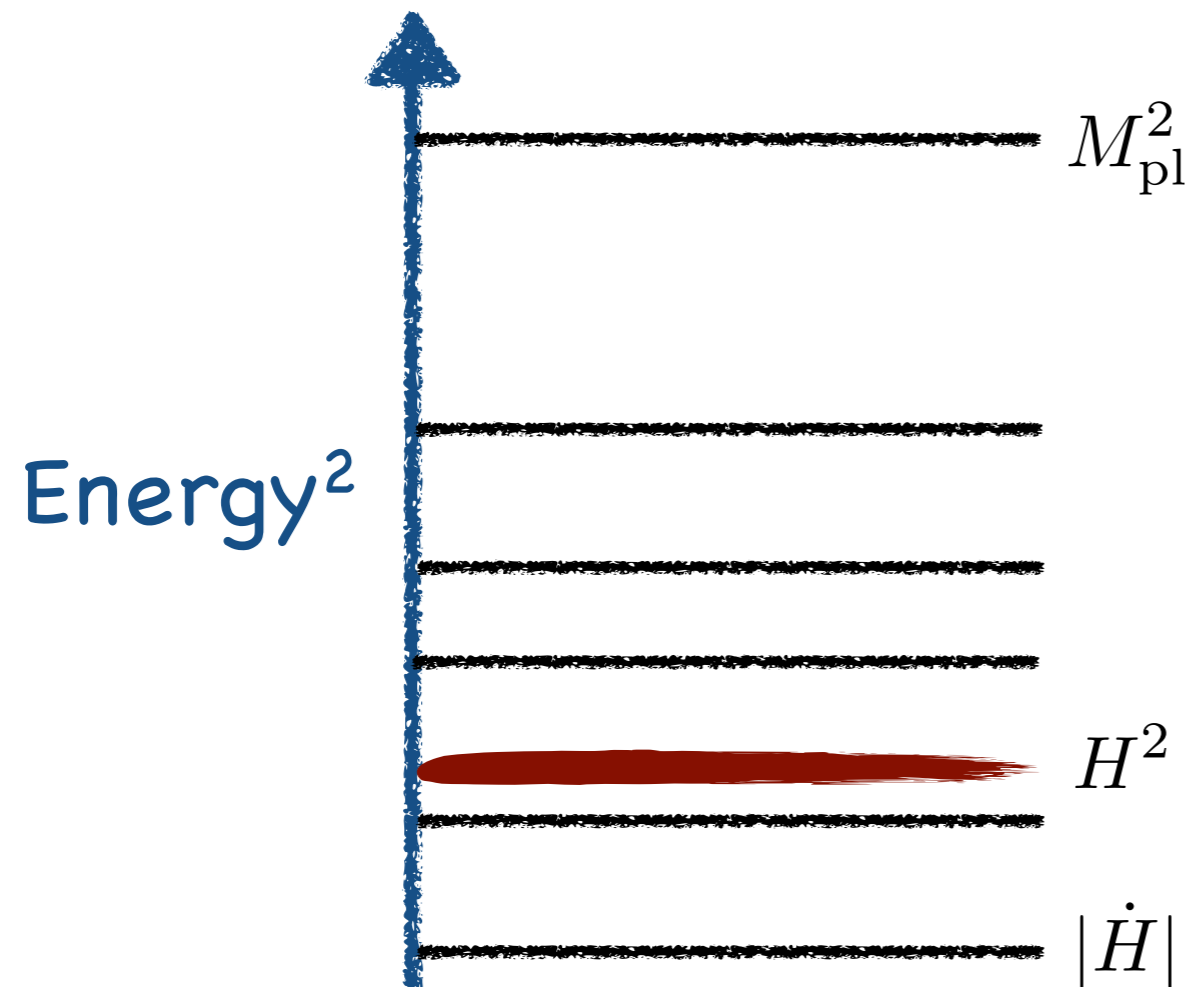


# Energy Scales and Non-Gaussianity



# Energy Scales

Hierarchies of scales is what gives EFT power



We still need to fill in this picture from our EFT

# Global Symmetries

Spontaneously Broken global symmetries

Current always exists  $\partial_\mu j^\mu = 0$

Charge not well-defined  $Q = \int d^3x j^0(x) \rightarrow \infty$

Goldstone = IR divergence  $j^\mu = f_\pi^2 \partial^\mu \pi + \mathcal{O}(\pi^2)$

SSB defined by  $f_\pi^2 > 0$

The current defines  $f_\pi^2$  unambiguously

---

# Space-time symmetry

Spontaneously Broken time translations

Current always exists  $\partial_\mu T^{0\mu} = 0$

Charge not well-defined  $P^0 = \int d^3x T^{00} \rightarrow \infty$

Goldstone = IR divergence  $T^{00} = \frac{M_{\text{pl}}^2 |\dot{H}|}{c_s^2} \dot{\pi} + \mathcal{O}(\pi^2)$

SSB defined by  $\frac{M_{\text{pl}}^2 |\dot{H}|}{c_s^2} > 0$

Is this the analogue of  $f_\pi^2$  ?

# Symmetry breaking scale

We need to be careful to define energy scales

From kinetic terms:  $\left[ \frac{2M_{\text{pl}}^2 |\dot{H}|}{c_s^2} \right] = [\omega][k^3]$

We will define our scales in units of energy

$$f_\pi^4 \equiv 2M_{\text{pl}}^2 |\dot{H}| c_s$$

With this definition  $[f_\pi] = [\omega]$

---

# Symmetry breaking scale

Does this make sense?

For slow-roll  $c_s^2 = 1$  and Einstein's equations give

$$f_\pi^4 = 2M_{\text{pl}}^2 |\dot{H}| = \dot{\phi}^2$$

Time translations broken by time dependent vev

Makes sense that this is fixed in decoupling limit



# Strong Coupling Scale

Action contains irrelevant operators

$$\mathcal{L}_3 = \frac{M_{\text{pl}}^2 |\dot{H}| (1 - c_s^2)}{c_s^2} [\dot{\pi} \partial_i \pi \partial^i \pi - \dot{\pi}^3]$$

Canonically normalize and rescale  $x = c_s \tilde{x}$

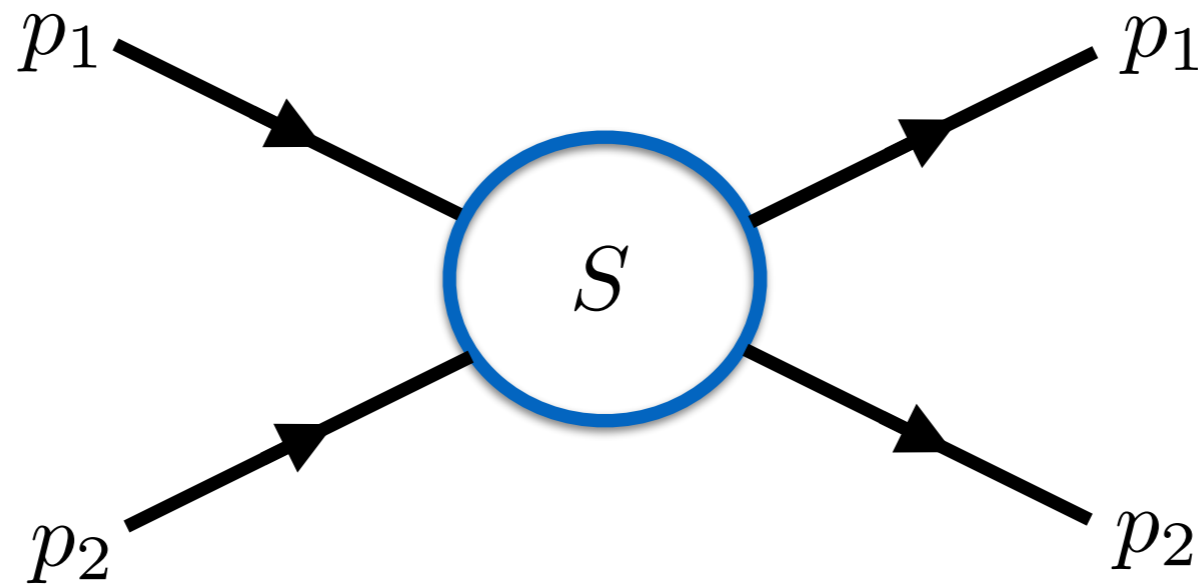
$$\tilde{\mathcal{L}}_3 = \frac{1}{\Lambda^2} \left[ \dot{\pi} \tilde{\partial}_i \pi \tilde{\partial}^i \pi - c_s^2 \dot{\pi}^2 \right] \quad \Lambda^4 = 2M_{\text{pl}}^2 |\dot{H}| \frac{c_s^5}{(1 - c_s^2)^2}$$

Guess that strong coupling at  $\omega > \Lambda$

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# Strong Coupling Scale

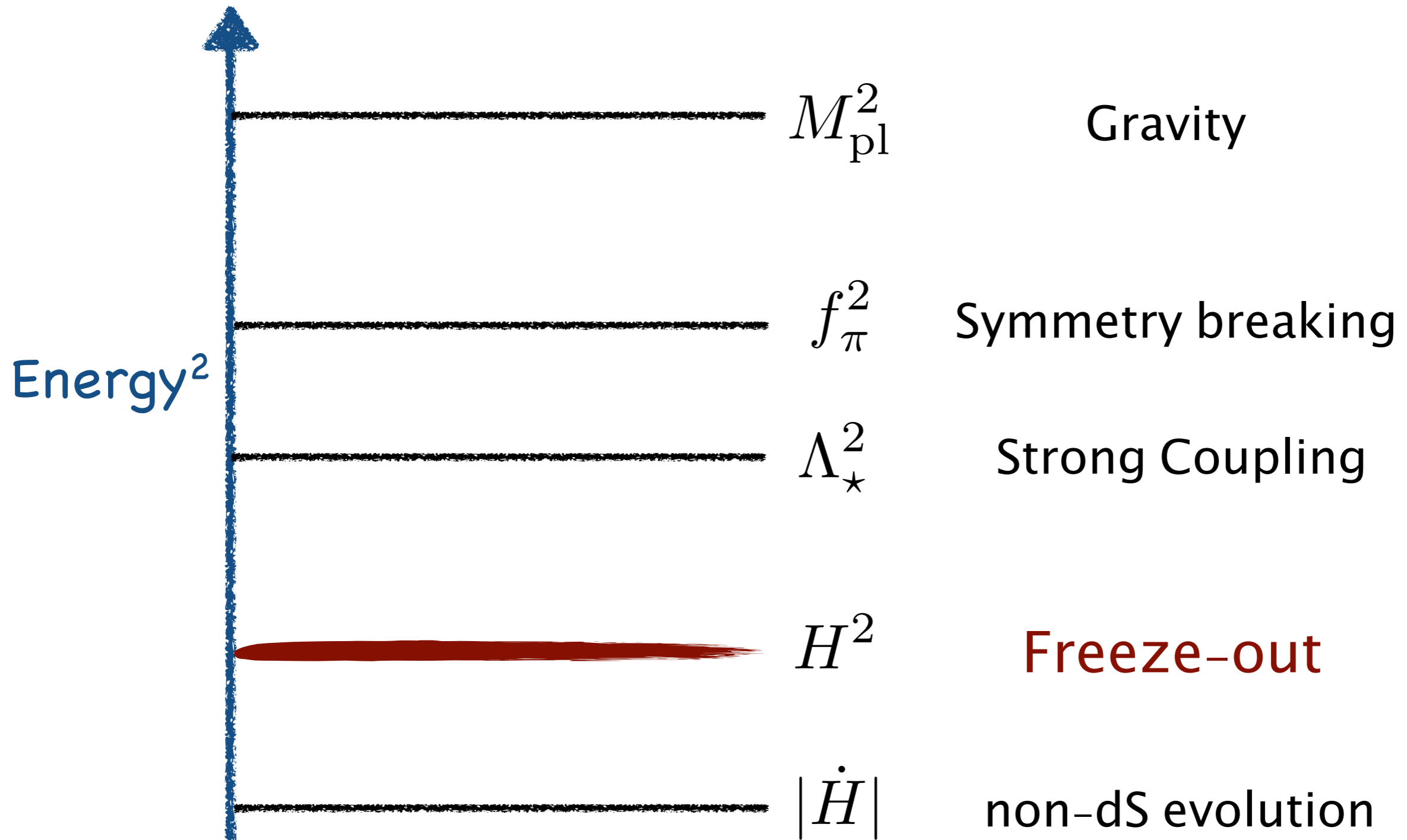
A more precise measure is perturbative unitarity



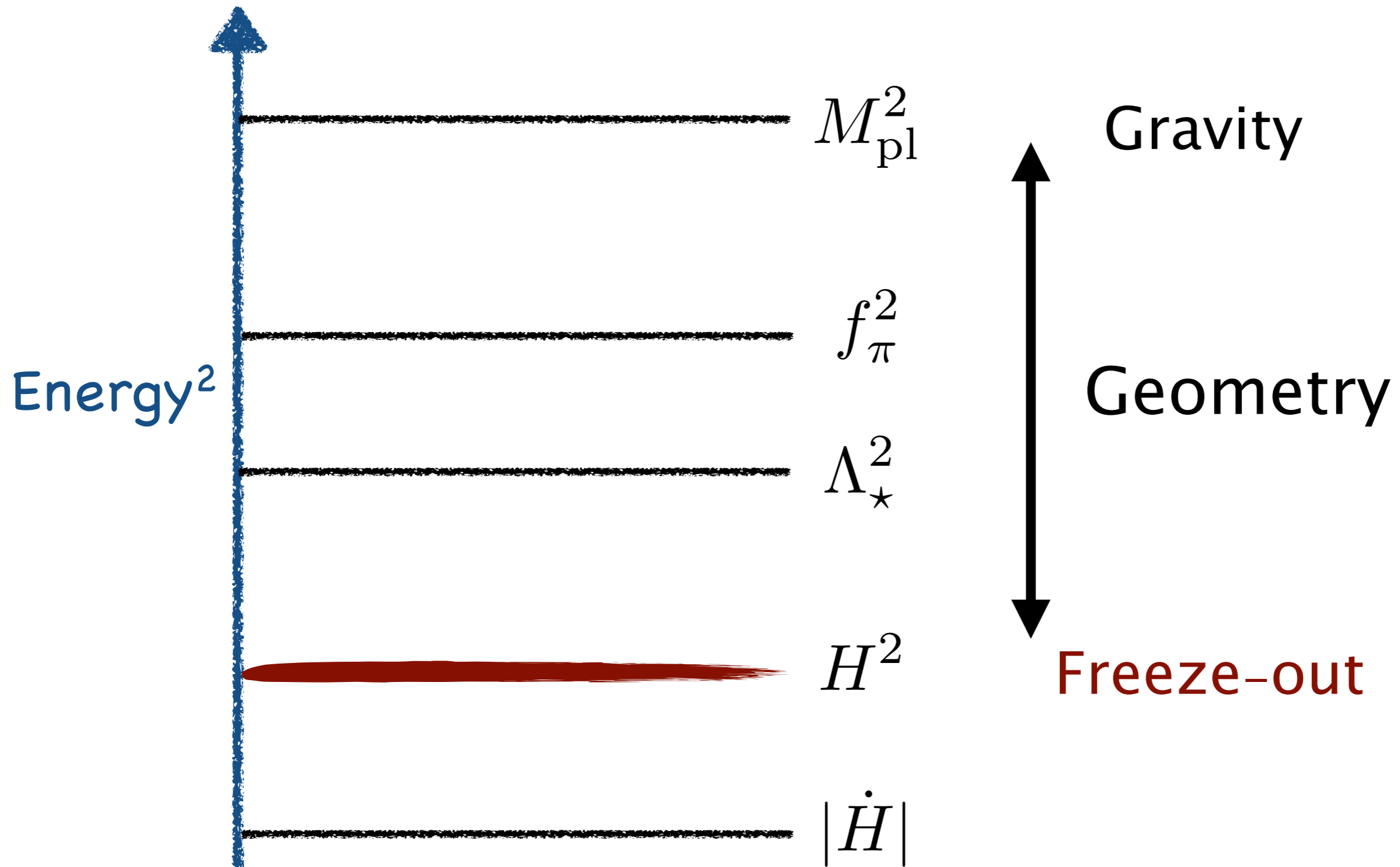
Unitarity requires partial wave amplitude  $|a_\ell| \leq \frac{1}{2}$

Violated for  $\omega^4 \geq 30\pi \frac{f_\pi^4 c_s^4}{1 - c_s^2} = 30\pi(1 - c_s^2)\Lambda^4 \equiv \Lambda_\star^4$

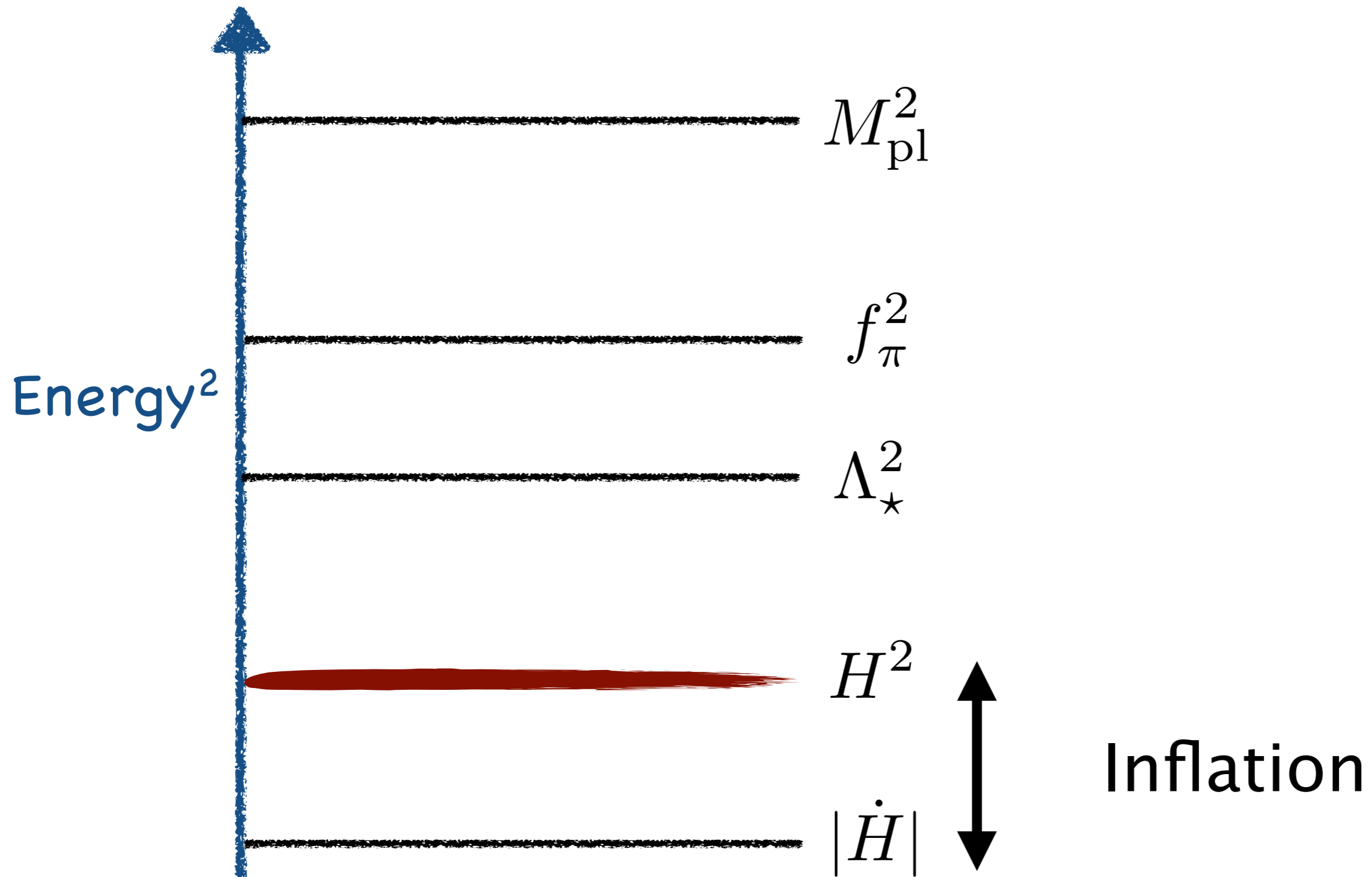
# Energy Scales



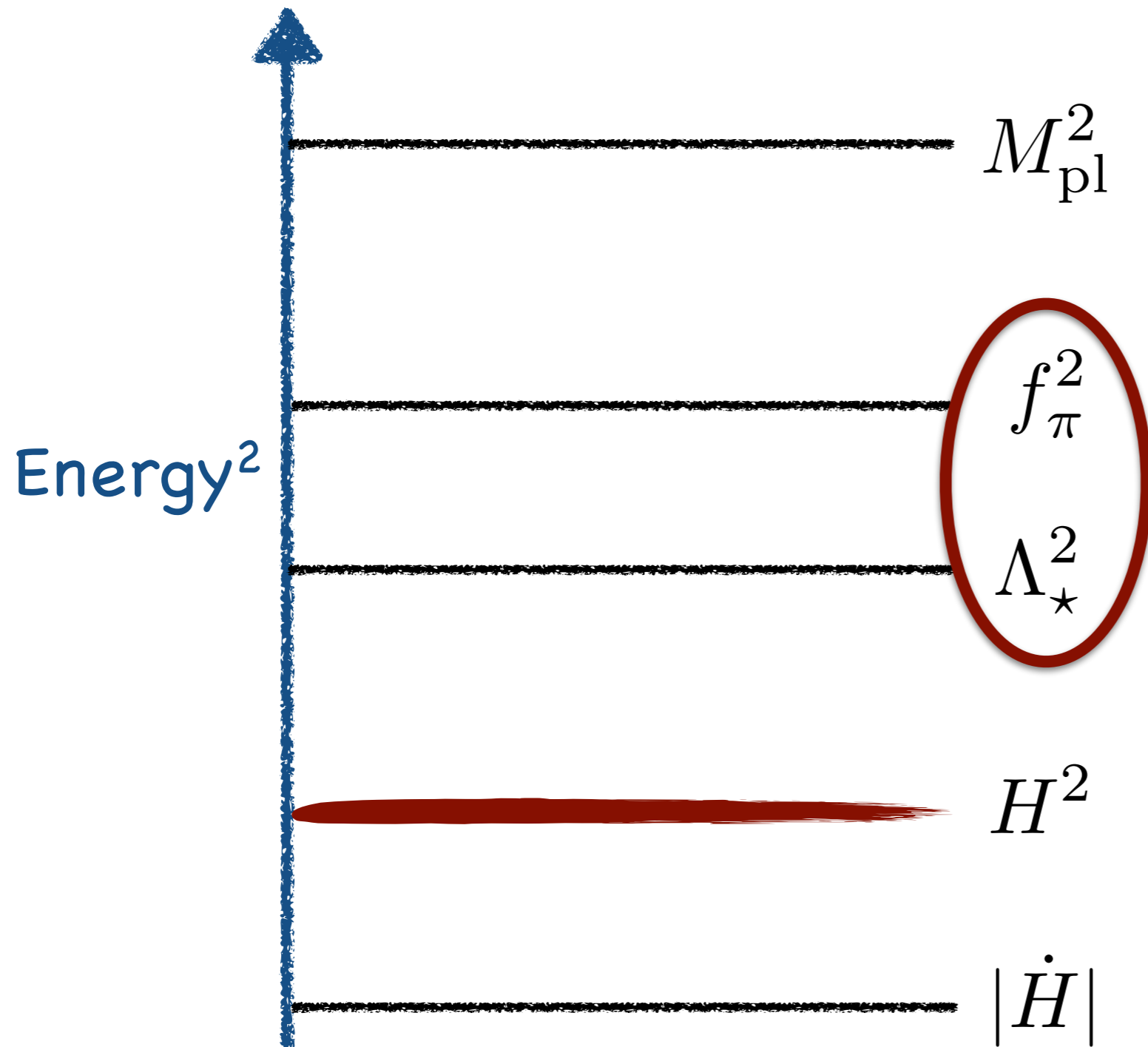
# Energy Scales



# Energy Scales



# Energy Scales



How do these fit in?

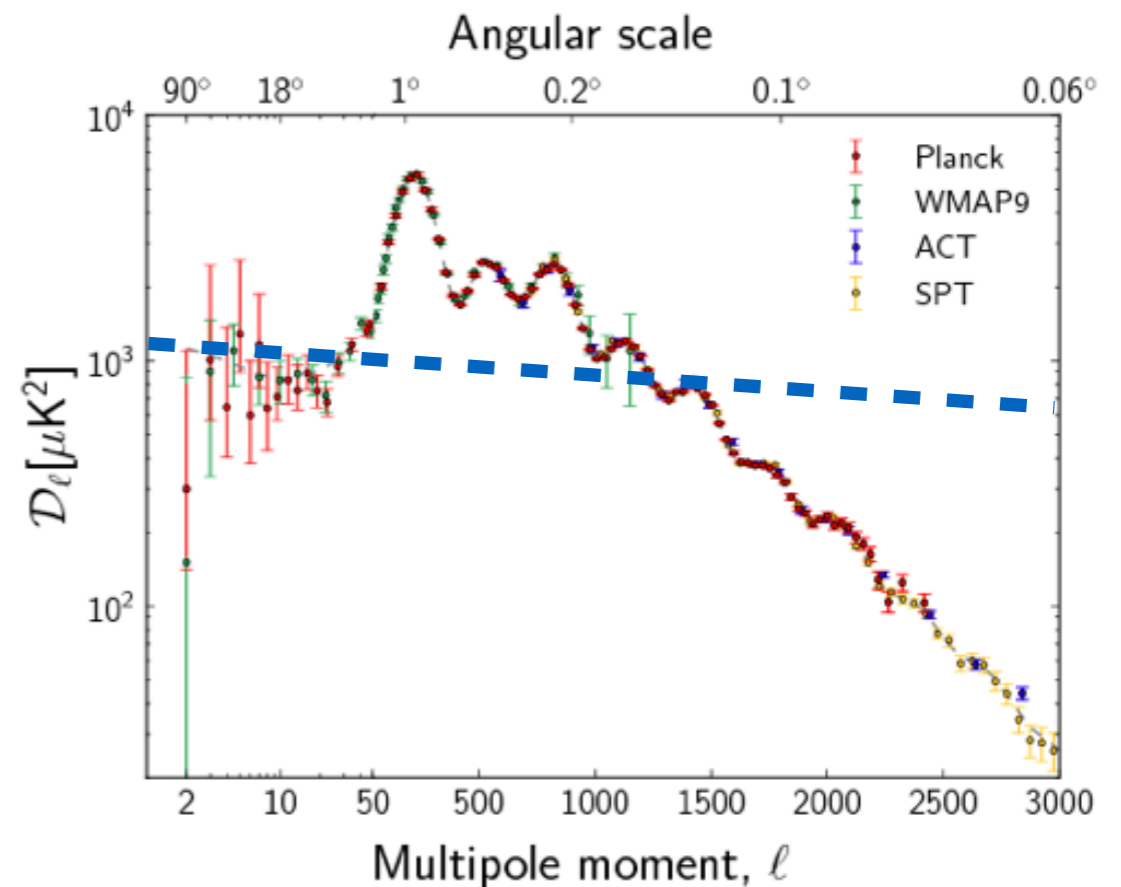
# Scalar Power Spectrum

We know the amplitude for scalar fluctuations

$$\langle \zeta_{\vec{k}} \zeta_{\vec{k}'} \rangle = \frac{2\pi^2 \Delta_\zeta^2}{k^{3-(n_s-1)}} \delta(\vec{k} + \vec{k}')$$

$$\Delta_\zeta^2 = 2.2 \times 10^{-9}$$

$$n_s = 0.9653 \pm 0.0048$$



This must tell us something since  $\langle \zeta^2 \rangle \sim H^2 \langle \pi^2 \rangle$

# Scalar Power Spectrum

We computed the answer in dS with  $c_s = 1$

By rescaling, we get a canonical action

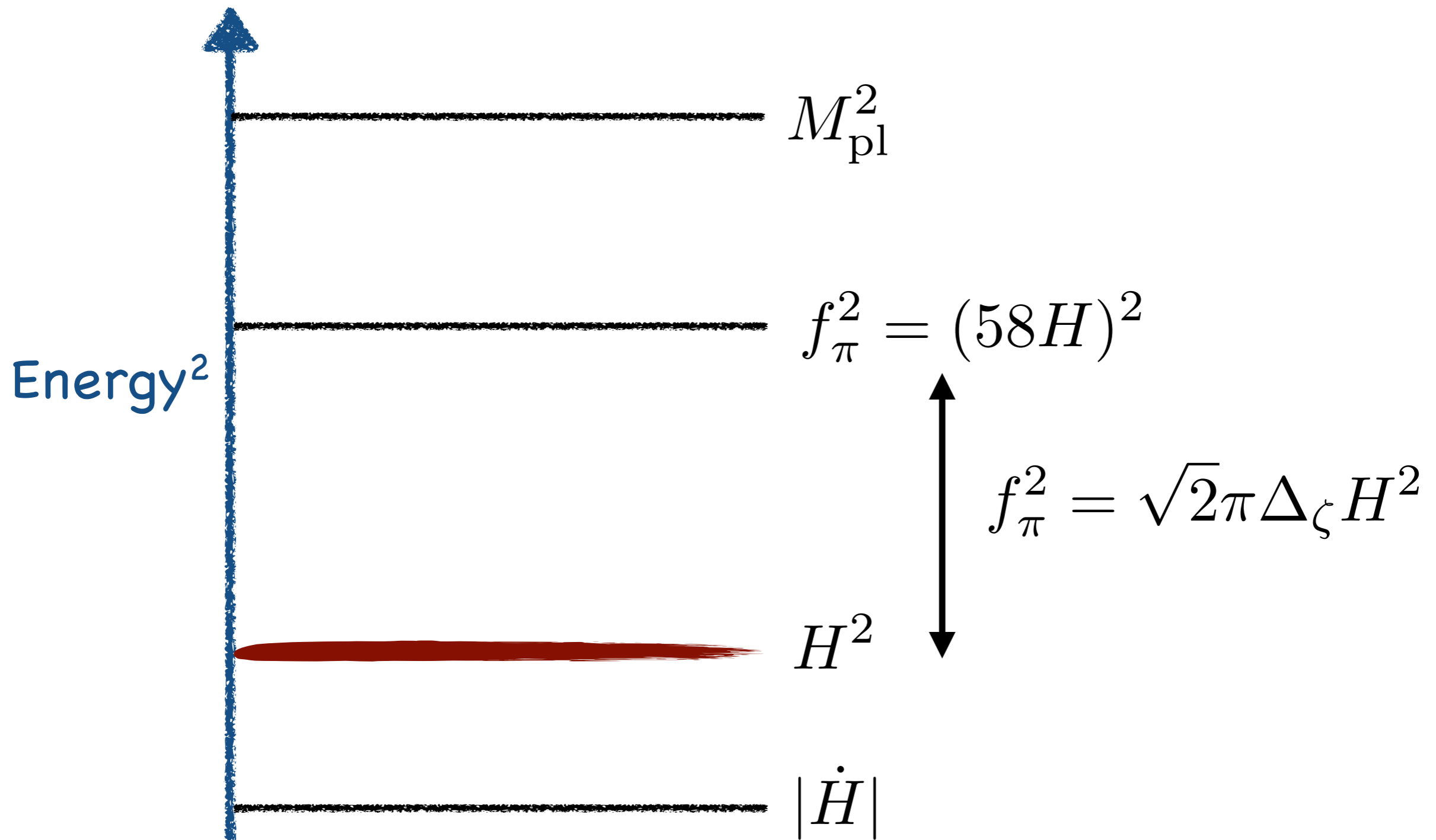
$$\pi_c = \frac{f_\pi^2}{c_s} \pi \quad \tilde{k} = c_s k$$

$$\langle \pi_c^2 \rangle = \frac{H^2}{2\tilde{k}^3} \longrightarrow \langle \zeta^2 \rangle = \frac{H^4}{f_\pi^4} \frac{1}{2k^3}$$

Almost could have guessed by dimensional analysis



# Scalar Power Spectrum



# Scalar Power Spectrum

Again, think about error in length of inflation

$$a(t, x) = a(t)e^{\zeta(x)} \rightarrow \zeta \sim H\delta t = H \frac{\delta\phi}{\dot{\phi}} = -H\pi$$

Amplitude of fluctuations set by  $\delta\phi \sim H$

Faster rolling = fluctuations have a smaller impact

$$\langle (H\delta t)^2 \rangle \sim \frac{H^4}{\dot{\phi}^2} = \frac{H^4}{f_\pi^2}$$

# Scalar Power Spectrum

Time dependence is what generates the tilt

$$\langle \zeta^2 \rangle = \frac{H^4(t)}{M_{\text{pl}}^2 |\dot{H}(t)| c_s(t)} \Big|_{a(t_*) H(t_*) = k}$$

We evaluate time dependent functions at freeze-out

$$d \log k \simeq H dt$$

$$n_s - 1 = \frac{\partial \log \langle \zeta^2 \rangle}{\partial \log k} = \frac{4\dot{H}}{H^2} - \frac{\ddot{H}}{H|\dot{H}|} - \frac{\dot{c}_s}{c_s H}$$

# Tensor Power Spectrum

Just like scalar, gravitons are also excited

$$\langle h_{ij} h^{ij} \rangle = 4 \frac{H^2}{M_{\text{pl}}^2} = 2\pi^2 \Delta_h^2$$

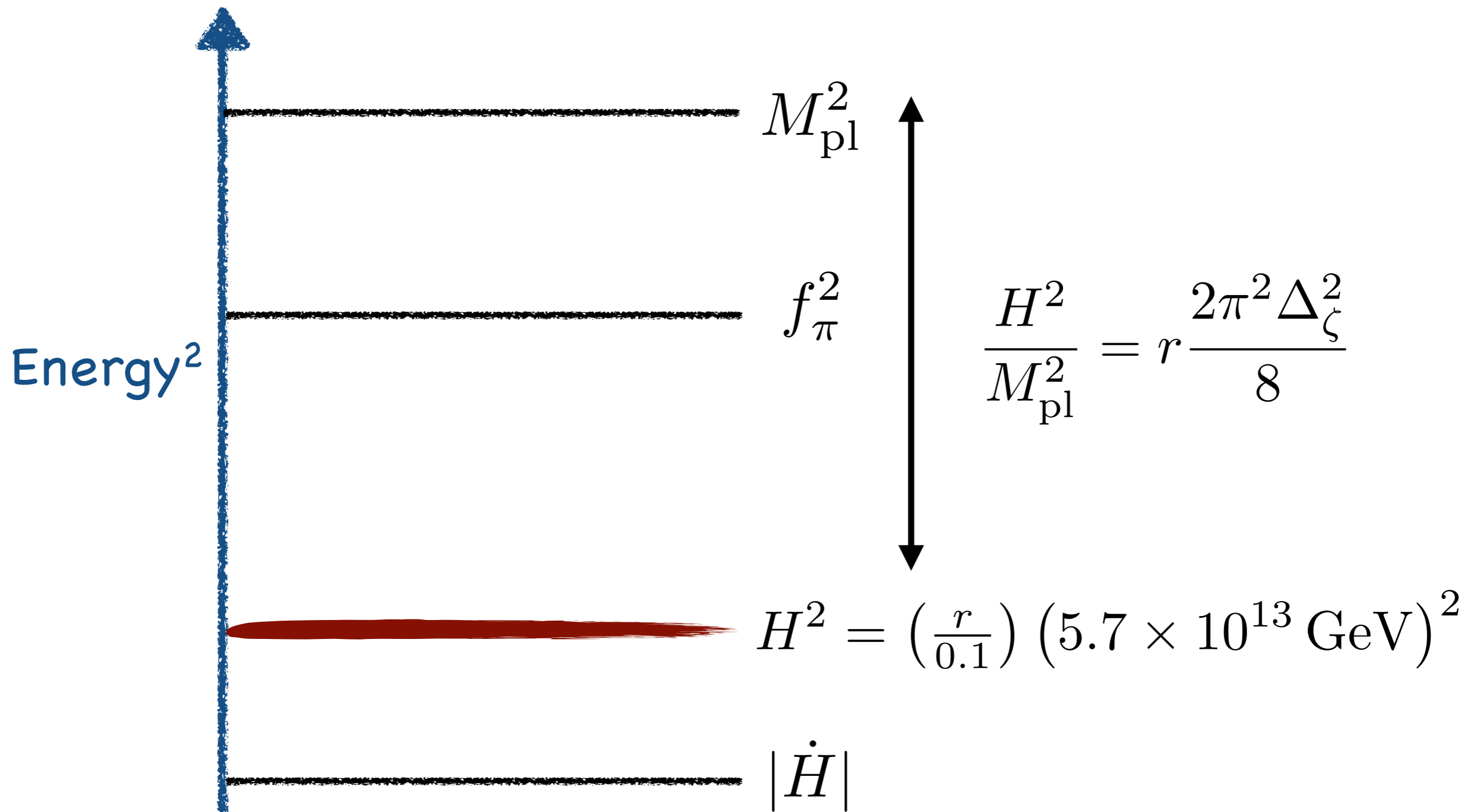
Typically expressed as  $r = \frac{\Delta_h^2}{\Delta_\zeta^2} = 16 \frac{|\dot{H}|}{H^2} c_s$

Current limit  $r < 0.09$  (95%)

If detectable, all the scales were VERY high

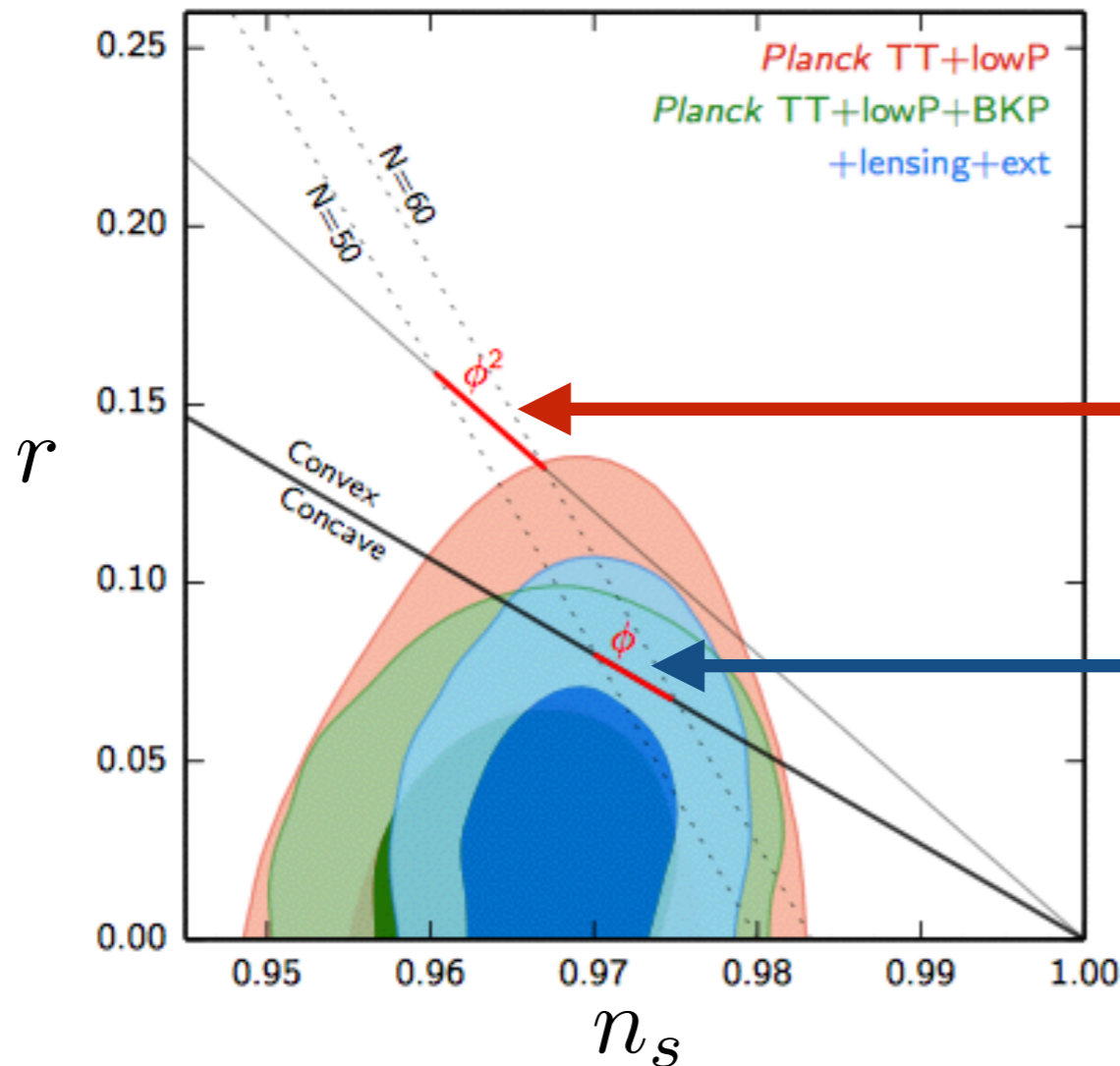
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# Tensor Power Spectrum



# Tensor Power Spectrum

Often these get combine into one plot



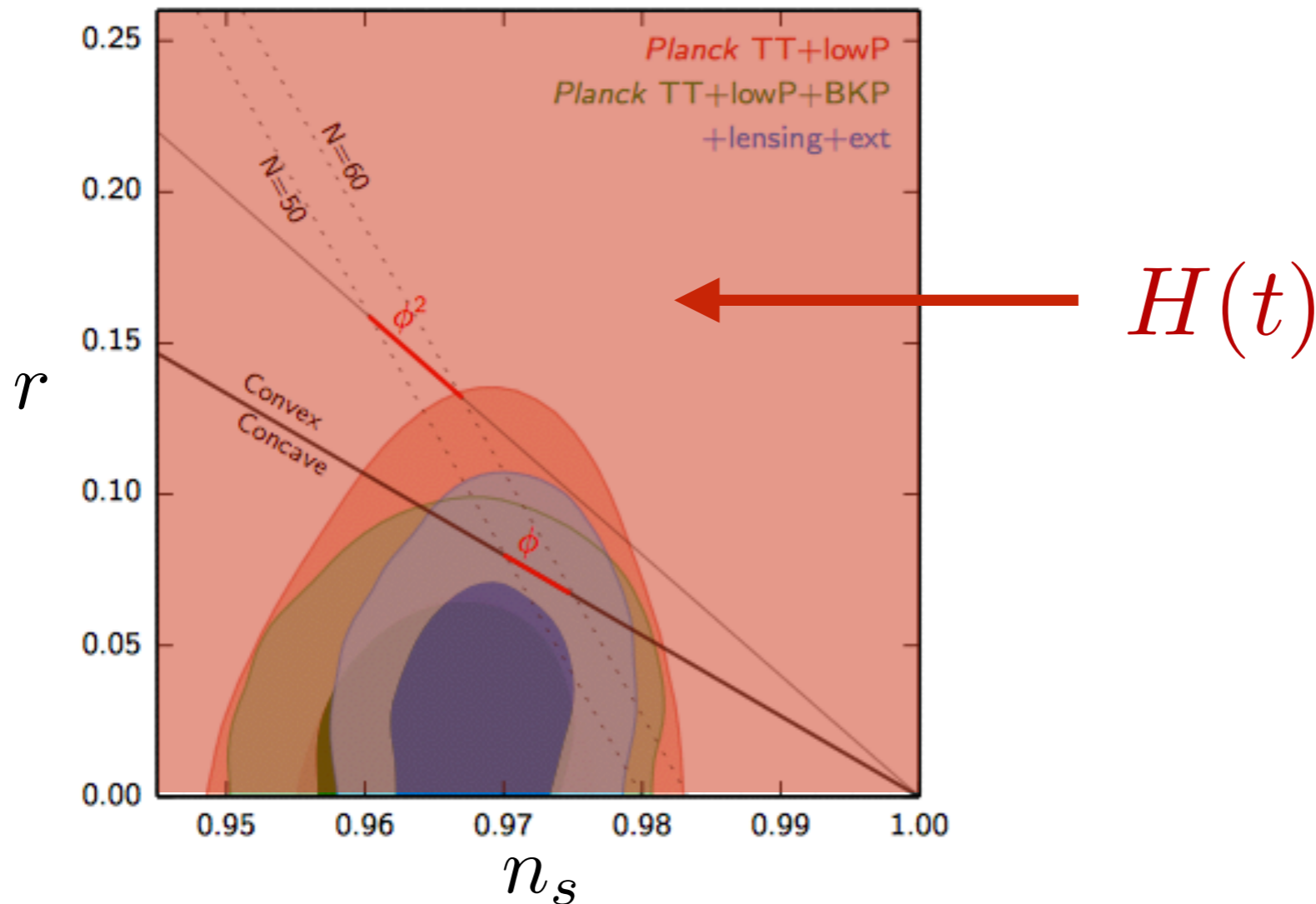
$$V(\phi) = \frac{1}{2} m^2 \phi^2$$

$$V(\phi) = \mu^3 \phi$$

This plot is very important for simple models

# Tensor Power Spectrum

Every point is possible in EFT of inflation



Less useful from a general perspective

# Non-Gaussianity

Quadratic action leads to gaussian statistics

(i.e. 2-pt function determines everything)

We saw that interactions are allowed

$$\tilde{\mathcal{L}}_3 = \frac{1}{\Lambda^2} \left[ \dot{\tilde{\pi}} \tilde{\partial}_i \pi \tilde{\partial}^i \pi - c_s^2 \dot{\tilde{\pi}}^2 \right]$$

At horizon crossing, size of interaction  $\frac{\omega^2 \sim H^2}{\Lambda^2}$

This effect is naturally small (irrelevant)



# Non-Gaussianity

Using perturbation theory, we compute bispectrum

$$\langle \zeta_{k_1} \zeta_{k_2} \zeta_{k_3} \rangle = (2\pi)^3 B(k_1, k_2, k_3) \delta(\vec{k}_1 + \vec{k}_2 + \vec{k}_3)$$

The precise function is called the “shape”

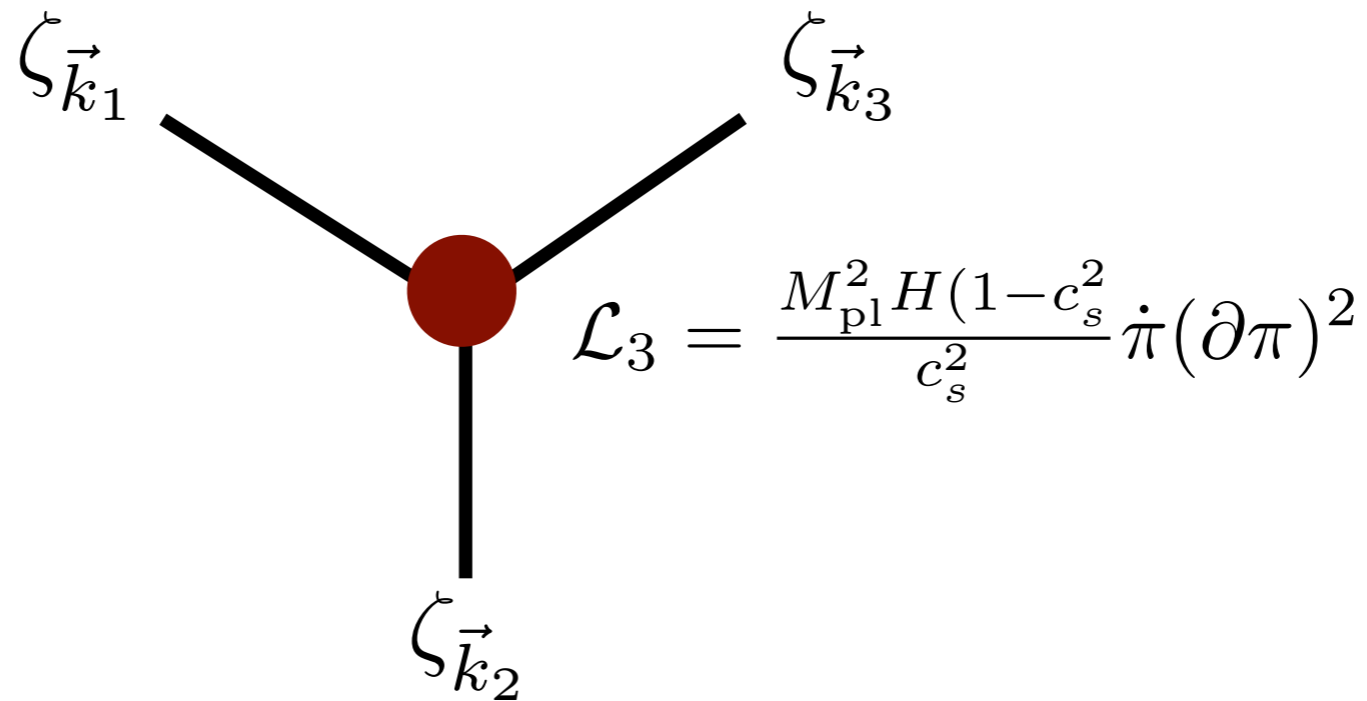
The amplitude is typically given in terms of

$$f_{\text{NL}} = \frac{5}{18} \frac{B(k, k, k)}{P_{\zeta}^2(k)}$$

Order one non-gaussian means  $f_{\text{NL}} \sim 10^5$

# Non-Gaussianity

Intuitively, we should expect  $f_{\text{NL}}\Delta_\zeta \sim \frac{H^2}{\Lambda^2}$

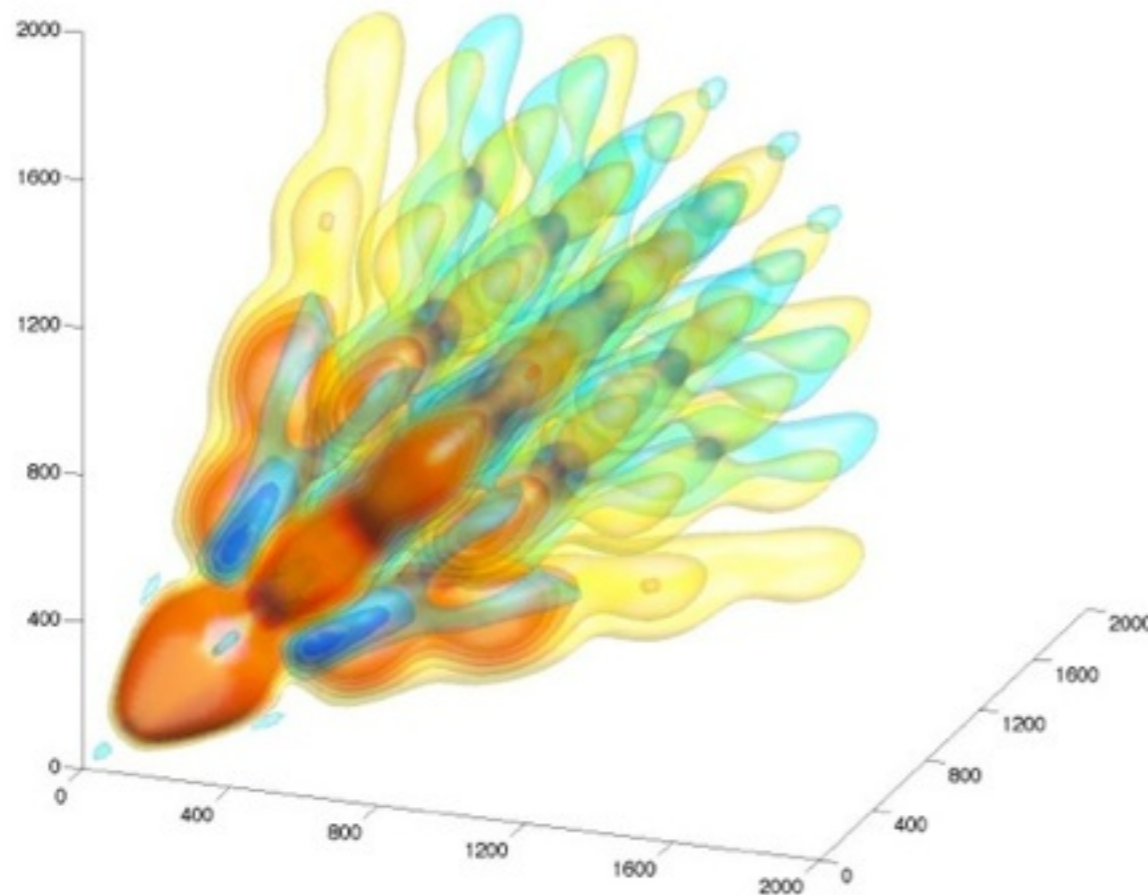


For the speed of sound term, we get

$$f_{\text{NL}}^{\text{equilateral}} = -\frac{85}{325} \frac{H^2}{\Lambda^2} (2\pi\Delta_\zeta)^{-1} = -\frac{85}{325} \frac{f_\pi^2}{\Lambda^2}$$

# Non-Gaussianity

Planck looks for this shape in the data

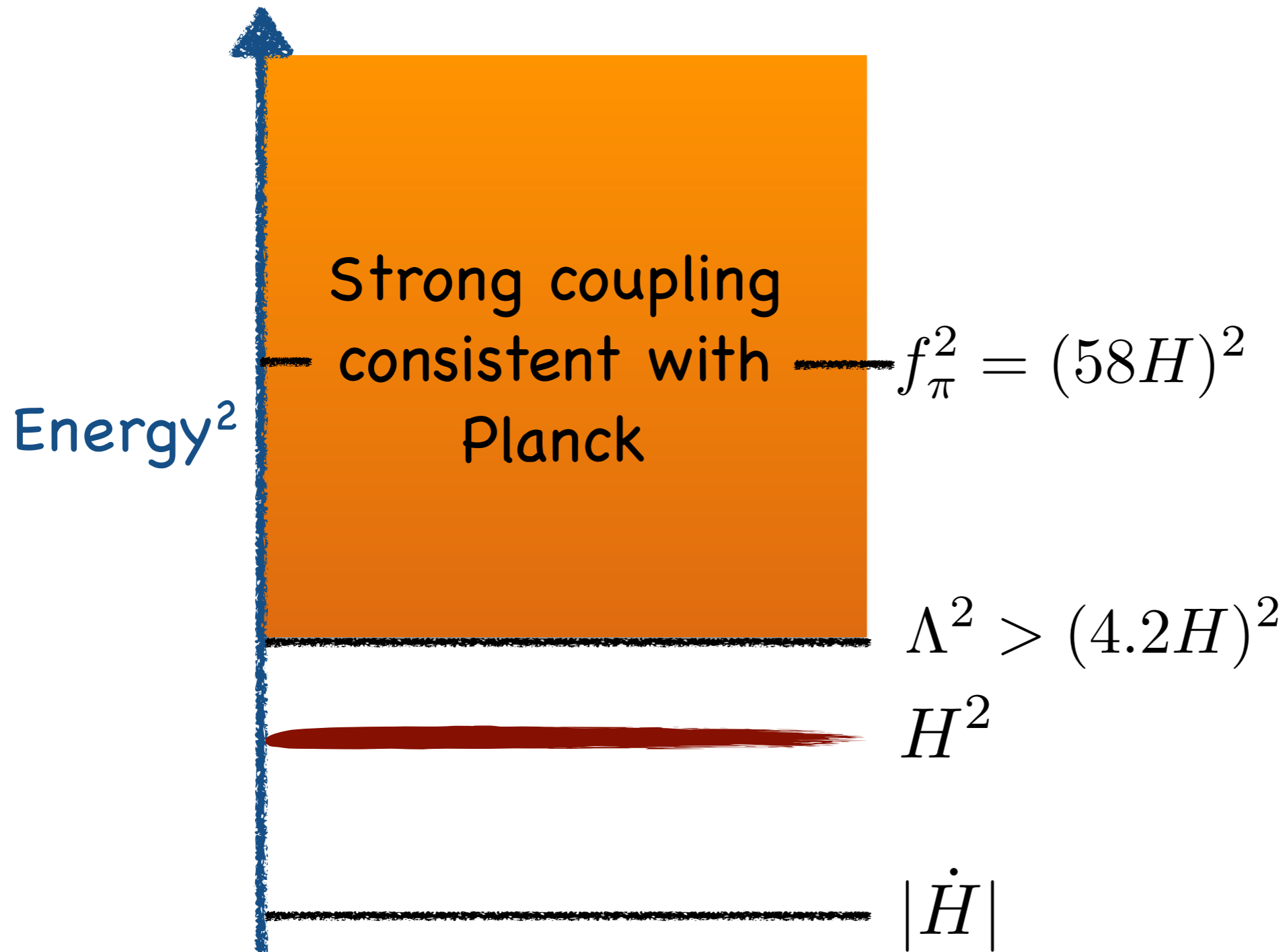


Equilateral

Peaked at:  
 $k_1 = k_2 = k_3$

$$f_{\text{NL}}^{\text{equil.}} = -4 \pm 43 \quad (68\% \text{ C.I.})$$

# Non-Gaussianity



# Non-Gaussianity

What would we expect from slow-roll ?

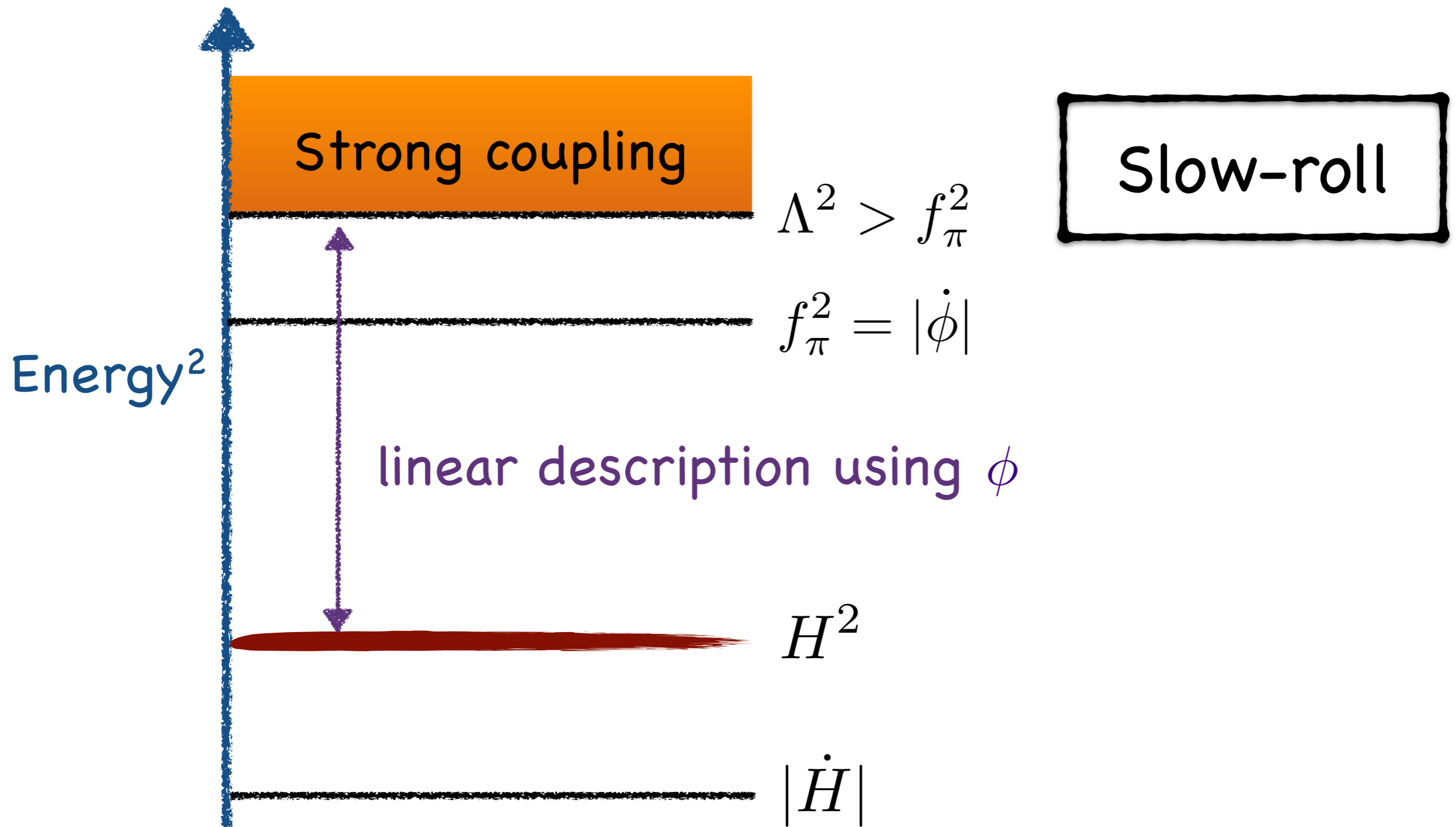
$$\mathcal{L} = -\frac{1}{2}\partial_\mu\phi\partial^\mu\phi - V(\phi) - \frac{1}{\tilde{\Lambda}^4}(\partial_\mu\phi\partial^\mu\phi)^2$$

Control of background requires  $\tilde{\Lambda}^2 > \dot{\phi}$

Expand in fluctuations to find bispectrum

$$\mathcal{L}_3 \sim \frac{\dot{\phi}}{\tilde{\Lambda}^4}\delta\dot{\phi}(\partial\delta\phi)^2 \quad f_{\text{NL}} \sim \frac{f_\pi^2\dot{\phi}}{\tilde{\Lambda}^4} = \frac{\dot{\phi}^2}{\tilde{\Lambda}^4} \ll 1$$

# Non-Gaussianity



# Non-Gaussianity

Non-trivial UV  
Completion

Non-slow roll

Energy<sup>2</sup>

Not possible in  
slow roll

$$f_{\pi}^2 = (58H)^2$$

$$\Lambda^2 > (4.2H)^2$$

$$H^2$$

$$|\dot{H}|$$

EFT of Inflation  
is still valid



# Non-Gaussianity

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General take-aways:

NG is like precision EW tests of the Standard model

NG is an IR probe of higher dim. effective operators

Current constraints are  $\Lambda > \mathcal{O}(5)H$

Current tests are not sensitive enough to suggest inflation is weakly coupled (i.e. slow-roll)

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# Summary: Lecture 1



# Summary

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Single-field inflation is well describe by SSB

A field gets a time dependent vev around dS

The goldstone boson is produced during inflation

Goldstone boson eaten by the metric  $\zeta = -H\pi$

Current data does not point to a UV completion

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# Summary

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These few assumptions explain:

- Gaussianity of fluctuations (irrelevant interactions)
- Small amplitude of fluctuations (hierarchy of scales)
- Near scale invariance (near de Sitter background)
- Small tensor amplitude (scale of inflation)

These features are generic (but can be violated with work)

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